

IDEALS IN THE MULTIPLIER ALGEBRA OF A STABLE C^* -ALGEBRA

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1. INTRODUCTION

G. Elliott proved in [6] that if B is a stable non-elementary matroid C^* -algebra, then $\mathcal{M}(B)$ contains exactly one non-trivial ideal apart from B : the norm closure of the set for all X in $\mathcal{M}(B)$ where X^*X is of finite trace. H. Lin [8] extends Elliott's result to more general simple AF-algebras B , and he proves for example that if B is stable and has exactly n distinct extremal traces (with respect to some normalization), then $\mathcal{M}(B)$ has precisely $2^n - 1$ non-trivial ideals apart from B , each being the norm closure of the set of X in $\mathcal{M}(B)$ with $\tau(X^*X) < \infty$ for some trace τ on B .

Elliott extends these results further in [7], where he proves that the lattice of ideals of $\mathcal{M}(B)$ is isomorphic to the lattice of ideals in $\mathcal{D}(\mathcal{M}(B))$, the abelian local semigroup of equivalence classes of projections in $\mathcal{M}(B)$, for all separable AF-algebras B . For simple B , this again is related to traces on B (however, in general in a more complicated way than above, where the set of extreme traces was assumed to be finite). These results have been further generalized by S. Zhang [10] to include all C^* -algebras B with property FS.

This paper concerns the ideal structure of $\mathcal{M}(A \otimes K)$ for unital C^* -algebras A . Given a bounded sequence $\{x_n\}_{n=1}^\infty$ in (a matrix algebra over) A , one can in a natural way associate a "diagonal" element $X = \text{Diag}(x_1, x_2, \dots)$ in $\mathcal{M}(A \otimes K)$. Given another diagonal element $Y = \text{Diag}(y_1, y_2, \dots)$ in $\mathcal{M}(A \otimes K)$, it is determined when Y belongs to $I(X)$, the ideal in $\mathcal{M}(A \otimes K)$ generated by X , in terms of asymptotic comparison in $A \otimes K$ of the sequences $\{x_n\}_{n=1}^\infty$, and $\{y_n\}_{n=1}^\infty$ (see Theorem 2.6).

In Section 3, it is proved that for unital C^* -algebras A , the corona algebra $\mathcal{M}(A \otimes K)/A \otimes K$ is simple if, and only if, either $A \cong M_n(\mathbb{C})$ for some $n \in \mathbb{N}$ or

A is a purely infinite simple C^* -algebra. (Recall that a unital simple C^* -algebra A , different from \mathbf{C} , is said to be purely infinite if for all non-zero $x \in A^+$ there is $r \in A$ such that $r^*xr = 1$.) In Section 4 it is proved that two ideals of $\mathcal{M}(A \otimes K)$ both arising from traces, as described above, are equal if and only if the traces are majorized by a multiple of each other. Moreover, it is proved that all non-trivial ideals in $\mathcal{M}(A \otimes K)$ apart from $A \otimes K$ arise from a trace, under the assumptions that A has only finitely many extremal traces and the comparison theory of A is determined by the traces on A .

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2. IDEALS OF $\mathcal{M}(A \otimes K)$ AND ASYMPTOTIC COMPARISON THEORY

Following the lines of J. Cuntz [3], [4], [5] and B. Blackadar [1] we consider below comparison theory of positive elements (rather than the projections) in a C^* -algebra.

For each $\varepsilon > 0$ define continuous functions $f_\varepsilon : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ and $g_\varepsilon : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ by

$$f_\varepsilon(t) = \begin{cases} 0 & t \leq \varepsilon \\ \varepsilon^{-1}(t - \varepsilon) & \varepsilon \leq t \leq 2\varepsilon, \\ 1 & t \geq 2\varepsilon \end{cases}, \quad g_\varepsilon(t) = \begin{cases} \varepsilon^{-1} & t \leq \varepsilon \\ t^{-1} & t \geq \varepsilon \end{cases}.$$

In the following, let A be a unital C^* -algebra. Let $x, y \in A^+$. Write $y \overset{\sim}{\sim}_n x$ if

$$y \leq \sum_{j=1}^n r_j x r_j^*$$

for some $r_j \in A$, and let $y \overset{\sim}{\sim} x$ denote that $y \overset{\sim}{\sim}_1 x$. Let further $y \overset{\sim}{\sim} x$ and $y \overset{\sim}{\sim}_n x$ denote that $f_\varepsilon(y) \overset{\sim}{\sim} x$, respectively $f_\varepsilon(y) \overset{\sim}{\sim}_n x$, for every $\varepsilon > 0$. Write $x \sim y$, respectively $x \approx y$, if $x \overset{\sim}{\sim} y$ and $y \overset{\sim}{\sim} x$, respectively $x \overset{\sim}{\sim} y$ and $y \overset{\sim}{\sim}_n x$. Let $C(y, x)$ denote the least integer n for which $y \overset{\sim}{\sim}_n x$, and put $C(y, x) = \infty$ if no such n exists.

LEMMA 2.1. *Let $x, x_0 \in A^+$ with $x \leq x_0$ and put $r_\delta = x^{\frac{1}{2}} g_\delta(x_0)$, $\delta > 0$. Then*

$$\lim_{\delta \rightarrow \infty} \|x - r_\delta x_0 r_\delta^*\| = 0.$$

Proof. Put $t_\delta = x^{\frac{1}{2}}(1 - g_\delta(x_0)x_0)^{\frac{1}{2}}$. Then

$$x - r_\delta x_0 r_\delta^* = t_\delta t_\delta^*,$$

and

$$t_\delta^* t_\delta = (1 - g_\delta(x_0)x_0)^{\frac{1}{2}} x (1 - g_\delta(x_0)x_0)^{\frac{1}{2}} \leq$$

$$\leq (1 - g_\delta(x_0)x_0)^{\frac{1}{2}}x_0(1 - g_\delta(x_0)x_0)^{\frac{1}{2}}.$$

Hence

$$\lim_{\delta \rightarrow 0} \|x - r_\delta x_0 r_\delta^*\| = \lim_{\delta \rightarrow 0} \|t_\delta^* t_\delta\| = 0.$$

■

LEMMA 2.2. Let $x, y \in A^+$ with $\|x - y\| \leq \varepsilon$. Then $f_{2\varepsilon}(y) \leq r x r^*$ for some $r \in A$ with $\|r\| \leq \varepsilon^{\frac{1}{2}}$.

Proof. Since $y - \varepsilon \cdot 1 \leq x$, we get

$$\varepsilon f_{2\varepsilon}(y) \leq f_{2\varepsilon}(y)^{\frac{1}{2}}(y - \varepsilon 1) f_{2\varepsilon}(y)^{\frac{1}{2}} \leq f_{2\varepsilon}(y)^{\frac{1}{2}} x f_{2\varepsilon}(y)^{\frac{1}{2}},$$

and so we may take $r = \varepsilon^{-\frac{1}{2}} f_{2\varepsilon}(y)^{\frac{1}{2}}$.

■

LEMMA 2.3. Let $x, y \in A^+$, let $\varepsilon > 0$ and $\delta > 0$, and assume that $y \overset{\sim}{\sim}_n f_{2\delta}(x)$. Then

$$f_\varepsilon(y) \leq \sum_{j=1}^n r_j f_\delta(x) r_j^*$$

for some $r_j \in A$ with $\|r_j\| \leq (\varepsilon^{-1} \|y\| + 1)^{\frac{1}{2}}$.

Proof. We have

$$y \leq y_0 = \sum_{j=1}^n s_j f_{2\delta}(x) s_j^*$$

for some $s_j \in A$. By Lemma 2.1, there is $t_1 \in A$ such that $\|y - t_1 y_0 t_1^*\| \leq \varepsilon/2$, and from Lemma 2.2 $f_\varepsilon(y) \leq t_2 t_1 y_0 t_1^* t_2^*$ for some $t_2 \in A$ with $\|t_2\| \leq \varepsilon^{-\frac{1}{2}}$. Put $r_j = t_2 t_1 s_j f_{2\delta}(x)^{\frac{1}{2}}$. Then

$$r_j f_\delta(x) r_j^* = t_2 t_1 s_j f_{2\delta}(x) s_j^* t_1^* t_2^*,$$

and so $f_\varepsilon(y) \leq \sum_{j=1}^n r_j f_\delta(x) r_j^*$. Also $r_j r_j^* \leq t_2 t_1 y_0 t_1^* t_2^*$, whence

$$\|r_j\|^2 \leq \|t_2\|^2 \cdot \|t_1 y_0 t_1^*\| \leq \varepsilon^{-1} (\|y\| + \varepsilon/2).$$

■

LEMMA 2.4. Let $x, x_0 \in A^+$ with $x \leq x_0$, and let $\varepsilon > 0$. Then $f_{4\varepsilon}(x) \overset{\sim}{\sim} f_\varepsilon(x_0)$.

Proof. Put $r = x^{\frac{1}{2}}(1 - f_\varepsilon(x_0))^{\frac{1}{2}}$. Then

$$r r^* = x - x^{\frac{1}{2}} f_\varepsilon(x_0) x^{\frac{1}{2}},$$

and

$$\begin{aligned} r^*r &= (1 - f_\epsilon(x_0))^{\frac{1}{2}}x(1 - f_\epsilon(x_0))^{\frac{1}{2}} \leq \\ &\leq (1 - f_\epsilon(x_0))^{\frac{1}{2}}x_0(1 - f_\epsilon(x_0))^{\frac{1}{2}} \leq 2\epsilon \cdot 1. \end{aligned}$$

Thus, from Lemma 2.2,

$$f_{4\epsilon}(x) \lesssim x^{\frac{1}{2}}f_\epsilon(x_0)x^{\frac{1}{2}} \lesssim f_\epsilon(x_0).$$

■

Let $I_A(x)$ — or just $I(x)$ — denote the closed two-sided ideal in A generated by $x \in A$.

LEMMA 2.5. *Let $x, y \in A^+$. Then $y \in I(x)$ if only if for each $\epsilon > 0$ there are $\delta > 0$ and $n \in \mathbb{N}$ such that $f_\epsilon(y) \lesssim_n f_\delta(x)$.*

Proof. Clearly $f_\delta(x) \in I(x)$ for all $\delta > 0$, and so if $f_\epsilon(y) \lesssim_n f_\delta(x)$ for some $\delta > 0$ and some $n \in \mathbb{N}$, then $f_\epsilon(y) \in I(x)$. Furthermore, if $f_\epsilon(y) \in I(x)$ for all $\epsilon > 0$, then $y \in I(x)$.

Suppose now that $y \in I(x)$, and let $\epsilon > 0$. Approximate $y^{\frac{1}{2}}$ closely enough with an element z in

$$\bigcup_{\delta > 0} I^{\text{alg}}(f_\delta(x))$$

where $I^{\text{alg}}(f_\delta(x))$ denotes the algebraic ideal in A generated by $f_\delta(x)$, to obtain $\|y - z^*z\| \leq \epsilon/2$. Now, $z^*z \in I^{\text{alg}}(f_\delta(x))$ for some $\delta > 0$, and so

$$z^*z = \sum_{j=1}^m r_j f_\delta(x) s_j \left(\leq \frac{1}{2} \sum_{j=1}^m r_j f_\delta(x) r_j^* + \frac{1}{2} \sum_{j=1}^m s_j^* f_\delta(x) s_j \right),$$

for some $m \in \mathbb{N}$ and $r_j, s_j \in A$. Hence, from Lemma 2.2,

$$f_\epsilon(y) \lesssim z^*z \lesssim_{2m} f_\delta(x).$$

■

Let $\{f_n\}$ be an approximate unit of projections for K , the C^* -algebra of compact operators, where $f_0 = 0$ and $f_n - f_{n-1}$ is one-dimensional. Let A be a unital C^* -algebra, and put $e_n = 1_A \otimes f_n$, so that $\{e_n\}$ is an approximate unit for $A \otimes K$. Call an element $X \in \mathcal{M}(A \otimes K)$ diagonal (with respect to $\{e_n\}$) if there is a strictly increasing sequence $\{\alpha(n)\}$ of integers with $\alpha(0) = 0$ such that

$$[X, e_{\alpha(n)} - e_{\alpha(n-1)}] = 0, \quad n \in \mathbb{N}.$$

Write $X = \text{Diag}(x_1, x_2, \dots)$, where

$$x_n = X(e_{\alpha(n)} - e_{\alpha(n-1)}), \quad n \in \mathbb{N}.$$

Conversely, if $\{x_n\}$ is a bounded sequence with $x_n \in M_{k_n}(A)$, then upon identifying $M_{k_n}(A)$ with

$$(e_{\alpha(n)} - e_{\alpha(n-1)})\mathcal{M}(A \otimes K)(e_{\alpha(n)} - e_{\alpha(n-1)})$$

for appropriate $\alpha(n)$, we have $X = \text{Diag}(x_1, x_2, \dots)$ for some $X \in \mathcal{M}(A \otimes K)$.

A finite segment $\text{Diag}(x_n, x_{n+1}, \dots, x_m)$, $m \geq n$, of a diagonal element may be viewed as an element of $A \otimes K$, or as an element of $M_k(A)$ for suitable k .

Let $\{x_j\}$ and $\{y_j\}$ be sequences of positive elements in matrix algebras over A . Write $\{y_j\} \stackrel{\sim}{\sim}_n \{x_j\}$ if $\forall m \exists k \forall k' \geq k \exists m' \geq m$:

$$\text{Diag}(y_k, y_{k+1}, \dots, y_{k'}) \stackrel{\sim}{\sim}_n \text{Diag}(x_m, x_{m+1}, \dots, x_{m'}).$$

(The comparison of the diagonal segments is relative to $A \otimes K$ or to some large enough matrix over A .)

THEOREM 2.6. *Let A be a unital C^* -algebra, and let $X = \text{Diag}(x_1, x_2, \dots)$ and $Y = \text{Diag}(y_1, y_2, \dots)$ be positive diagonal elements of $\mathcal{M}(A \otimes K)$. Then $Y \in I(X) + A \otimes K$, if and only if for each $\varepsilon > 0$ there are $\delta > 0$ and $n \in \mathbb{N}$ such that $\{f_\varepsilon(y_j)\} \stackrel{\sim}{\sim}_n \{f_\delta(x_j)\}$.*

Proof. Fix increasing sequences $\{\alpha(j)\}$ and $\{\beta(j)\}$ of integers such that

$$X = \sum_{j=1}^{\infty} x_j(e_{\alpha(j)} - e_{\alpha(j-1)}), \quad Y = \sum_{j=1}^{\infty} y_j(e_{\beta(j)} - e_{\beta(j-1)}).$$

Suppose that $Y \in I(X) + A \otimes K$. Upon replacing X by $\text{Diag}(1_A, x_1, x_2, \dots)$, which does not alter the equivalence class of $\{x_j\}$, we may assume that, in fact, $Y \in I(X)$. Let $\varepsilon > 0$. From Lemma 2.5 there are $\delta > 0$ and $n \in \mathbb{N}$ such that

$$f_{\varepsilon/2}(Y) \leq Y_0 = \sum_{i=1}^n R_i f_\delta(X) R_i^*,$$

for some $R_i \in \mathcal{M}(A \otimes K)$. Put $\mu = \sum_{i=1}^n \|R_i\|$. For each $m \in \mathbb{N}$ there is $k \in \mathbb{N}$ such that

$$\|(1 - e_{\beta(k-1)})R_i e_{\alpha(m-1)}\| \leq (64\mu)^{-1}, \quad i = 1, \dots, n;$$

and for each $k' \geq k$ there is $m' \geq m$ such that

$$\|e_{\beta(k')}R_i(1 - e_{\alpha(m')})\| \leq (64\mu)^{-1} \quad i = 1, \dots, n.$$

Put

$$\begin{aligned}
 z_i &= (e_{\beta(k')} - e_{\beta(k-1)})R_i(e_{\alpha(m')} - e_{\alpha(m-1)}), \\
 y' &= (e_{\beta(k')} - e_{\beta(k-1)})Y_0(e_{\beta(k')} - e_{\beta(k-1)}), \\
 y'' &= \sum_{i=1}^n z_i f_\delta(X) z_i^* \\
 &= \left(\sum_{i=1}^n z_i \text{Diag}(f_\delta(x_m), f_\delta(x_{m+1}), \dots, f_\delta(x_{m'})) z_i^* \right).
 \end{aligned}$$

Then $\text{Diag}(f_{\epsilon/2}(y_k), f_{\epsilon/2}(y_{k+1}), \dots, f_{\epsilon/2}(y_{k'})) \leq y'$, and a brief computation shows that

$$\begin{aligned}
 \|y' - y''\| &\leq \sum_{i=1}^n 2\|R_i\| [\|(1 - e_{\beta(k-1)})R_i e_{\alpha(m-1)}\| + \|e_{\beta(k')}R_i(1 - e_{\alpha(m')})\|] \leq \\
 &\leq 1/16.
 \end{aligned}$$

Hence, from Lemma 2.2, $f_{1/8}(y') \simeq y''$. Using Lemma 2.4 and the fact that $f_\epsilon \leq f_{1/2} \circ f_{\epsilon/2}$, we get

$$\begin{aligned}
 \text{Diag}(f_\epsilon(y_k), f_\epsilon(y_{k+1}), \dots, f_\epsilon(y_{k'})) &\leq f_{1/2}(\text{Diag}(f_{\epsilon/2}(y_k), f_{\epsilon/2}(y_{k+1}), \dots, f_{\epsilon/2}(y_{k'}))) \simeq \\
 &\simeq f_{1/8}(y') \simeq y'' \simeq_n \text{Diag}(f_\delta(x_m), f_\delta(x_{m+1}), \dots, f_\delta(x_{m'})),
 \end{aligned}$$

and so $\{f_\epsilon(y_j)\} \simeq_n \{f_\delta(x_j)\}$.

Suppose conversely that $\{f_\epsilon(y_j)\} \simeq_n \{f_\delta(x_j)\}$ for some $\epsilon, \delta > 0$ and $n \in \mathbb{N}$. Find integers $m_1, k_1, l_1, k_2, m_2, k_3, l_2, \dots$ (in that order), so that

$$\begin{aligned}
 1 &= m_1 < m_2 < \dots, \\
 1 &= l_1 < l_2 < \dots, \\
 k_1 &< k_2 < \dots,
 \end{aligned}$$

and

$$\begin{aligned}
 \text{Diag}(f_\epsilon(y_{k_1}), \dots, f_\epsilon(y_{k_2-1})) &\simeq_n \text{Diag}(f_\delta(x_1), \dots, f_\delta(x_{m_2-1})), \\
 \text{Diag}(f_\epsilon(y_{k_2}), \dots, f_\epsilon(y_{k_3-1})) &\simeq_n \text{Diag}(f_\delta(x_1), \dots, f_\delta(x_{l_2-1})), \\
 \text{Diag}(f_\epsilon(y_{k_3}), \dots, f_\epsilon(y_{k_4-1})) &\simeq_n \text{Diag}(f_\delta(x_{m_2}), \dots, f_\delta(x_{m_3-1})), \\
 &\dots
 \end{aligned}$$

By Lemma 2.3 and because $f_{2\epsilon} \leq f_{1/2} \circ f_\epsilon$, there are elements $r_{i,j}$ and $s_{i,j}$, $i = 1, \dots, n$, and $j \in \mathbb{N}$, in $A \otimes K$ with $\|r_{i,j}\|, \|s_{i,j}\| \leq (2\|Y\| + 1)^{\frac{1}{2}}$ such that

$$\begin{aligned} \text{Diag}(f_{2\epsilon}(y_{k_1}), \dots, f_{2\epsilon}(y_{k_2-1})) &\leq \sum_{i=1}^n r_{i,1} \text{Diag}(f_{\delta/2}(x_1), \dots, f_{\delta/2}(x_{m_2-1})) r_{i,1}^*, \\ \text{Diag}(f_{2\epsilon}(y_{k_2}), \dots, f_{2\epsilon}(y_{k_3-1})) &\leq \sum_{i=1}^n s_{i,1} \text{Diag}(f_{\delta/2}(x_1), \dots, f_{\delta/2}(x_{l_2-1})) s_{i,1}^*, \\ &\dots, \end{aligned}$$

and such that the sums

$$R_i = \sum_{j=1}^\infty r_{i,j}, \quad S_i = \sum_{j=1}^\infty s_{i,j}$$

are strictly convergent in $\mathcal{M}(A \otimes K)$. Hence

$$(1 - e_{\beta(k_1+1)}) f_{2\epsilon}(Y) \leq \sum_{i=1}^n R_i f_{\delta/2}(X) R_i^* + \sum_{i=1}^n S_i f_{\delta/2}(X) S_i^*,$$

which proves that $f_{2\epsilon}(Y) \in I(f_{\delta/2}(X)) + A \otimes K (\subseteq I(X) + A \otimes K)$. ■

COROLLARY 2.7. *Let A be a unital C^* -algebra, and let $X = \text{Diag}(x_1, x_2, \dots)$ be a diagonal element in $\mathcal{M}(A \otimes K)^+$. Then $I(X) = \mathcal{M}(A \otimes K)$ if and only if there are $\delta > 0$ and $n \in \mathbb{N}$ such that for each $m \in \mathbb{N}$ there is $m' \geq m$ with*

$$1_A \underset{n}{\sim} \text{Diag}(f_\delta(x_m), \dots, f_\delta(x_{m'})).$$

Proof. Set $y_j = 1_A$ for all j . Then $\{y_j\} \underset{n}{\sim} \{f_\delta(x_j)\}$ if and only if for each $m \in \mathbb{N}$ there is $m' \leq m$ with $1_A \underset{n}{\sim} \text{Diag}(f_\delta(x_m), \dots, f_\delta(x_{m'}))$. Since $\text{Diag}(y_1, y_2, \dots) = 1$, $f_\delta(y_j) = y_j$, $0 < \delta < 1$; and since $\{y_j\} \underset{n}{\sim} \{f_\delta(x_j)\}$ implies $I(f_\delta(X)) = \mathcal{M}(A \otimes K)$, the corollary follows from Theorem 2.6. ■

We end this section with a result, rephrasing G. Elliott [6], stating that $\mathcal{M}(A \otimes K)$ has a large supply of diagonal elements.

PROPOSITION 2.8. *Let A be a unital C^* -algebra.*

(i) *For every $Y \in \mathcal{M}(A \otimes K)$, there is a diagonal element $X \in \mathcal{M}(A \otimes K)^+$ such that $I(X) + A \otimes K = I(Y) + A \otimes K$.*

(ii) *Assume I and J are ideals of $\mathcal{M}(A \otimes K)$ both containing $A \otimes K$ and such that $I \not\subseteq J$. Then there is a positive diagonal element in $I \setminus J$.*

Proof. (i) We assume that $Y \notin A \otimes K$ (otherwise, take $X = 0$). As in Elliott's proof of [6, Theorem 3.1], we have

$$Y = y_0 + Y_1 + Y_2 + Y_3,$$

with $y_0 \in A \otimes K; Y_j \in I(Y)$ and

$$Y_j = \text{Diag}(y_{1j}, y_{2j}, \dots), \quad j = 1, 2, 3,$$

diagonal with respect to some fixed approximate unit $\{e_n\}$ for $A \otimes K$. Put

$$X = \text{Diag}(y_{1,1}, y_{1,2}, y_{1,3}, y_{2,1}, \dots).$$

Then

$$I(X) = I(Y_1, Y_2, Y_3) \subseteq I(Y) \subseteq I(y_0, Y_1, Y_2, Y_3) \subseteq I(X) + A \otimes K,$$

which proves $I(X) + A \otimes K = I(Y) + A \otimes K$. (Replace X by X^*X to get X positive.)

(ii) Choose $Y \in I \setminus J$ and use (i) to find a diagonal $X \in \mathcal{M}(A \otimes K)^+$ with $I(X) + A \otimes K = I(Y) + A \otimes K$. Then $X \in I \setminus J$. ■

3. SIMPLICITY OF $\mathcal{M}(A \otimes K)/A \otimes K$

It is decided in this section for which unital C^* -algebras A the corona algebra $\mathcal{M}(A \otimes K)/A \otimes K$ is simple (Theorem 3.2). Recall that A is finite if $u^*u = 1$ implies $uu^* = 1$ for $u \in A$, and that A is stably finite if $M_n(A)$ is finite for all $n \in \mathbb{N}$. A simple unital C^* -algebra A is purely infinite if $A \neq \mathbb{C}$ and for every $x, y \in A^+$ with $x \neq 0$ there is $r \in A$ with $y = r^*xr$. If A is purely infinite and simple, then so is $M_n(A)$ for all n .

LEMMA 3.1. *Let A be a unital simple C^* -algebra which is neither purely infinite nor finite dimensional. Then there is a sequence $\{x_n\}_{n=1}^\infty$ in A^+ satisfying*

- (i) $x_n x_m = 0, \quad n \neq m,$
- (ii) $\|x_n\| = 1,$
- (iii) $C(1_A, x_n) \geq 2^n,$
- (iv) $\tau(f_\epsilon(x_n)) \leq 2^{-n}$ for each normalized positive trace τ on A and each $\epsilon > 0,$

and

- (v) $\sum_{j=n+1}^m x_j \overset{\sim}{\sim} x_n$ for all n and all $m \geq n + 1.$

Proof. From the hypothesis that A is not purely infinite and $A \neq \mathbb{C}$, there is $y_0 \in A^+$ with $\|y_0\| = 1$ and $C(1_A, y_0) \geq 2$. We construct for each $n \in \mathbb{N}$, x_n, y_n and z_n in A^+ and $u_n \in U(A)$ such that

- (a) $x_n, y_n \in \overline{f_{1/3}(y_{n-1})A f_{1/3}(y_{n-1})},$
- (b) $x_n = x_n z_n$ and $y_n z_n = 0,$
- (c) $x_n = u_n y_n u_n^*$
- (d) $\|x_n\| (= \|y_n\|) = 1.$

Indeed, let $y_{n-1} \in A^+$ with $\|y_{n-1}\| = 1$ be given and note that $f_{1/3}(y_{n-1}) \neq 0$. Since A is simple and not finite dimensional we can find

$$x', y' \in \overline{f_{1/3}(y_{n-1})A f_{1/3}(y_{n-1})}^+$$

with $\|x'\| = \|y'\| = 1$ and $x' \perp y'$. Put $z_n = 1 - f_{1/6}(y')$ and $y'' = f_{1/3}(y') (\neq 0)$. From [9, Lemma 3.4] there is $u_n \in U(A)$ such that

$$(B =) \overline{x'A x'} \cap \overline{u_n y'' A y'' u_n^*} \neq \{0\}.$$

Choose $x_n \in B^+$ with $\|x_n\| = 1$ and set $y_n = u_n^* x_n u_n$. It is now easy to check that x_n, y_n, z_n and u_n satisfy (a) to (d).

We prove next that $\{x_n\}$ satisfy (i) to (v). Note that $x_m, y_m \in \overline{f_{1/3}(y_n)A f_{1/3}(y_n)}$ if $m \geq n + 1$, and so (using(d)),

$$x_m, y_m \leq f_{1/6}(y_n), \quad m \geq n + 1.$$

In particular, $x_m \perp x_n$ for $m \geq n + 1$, which proves (i). Also, for $m \geq n + 1$,

$$\sum_{j=n+1}^m x_j \leq f_{1/6}(y_n) = u_n^* f_{1/6}(x_n) u_n \lesssim x_n,$$

and this proves (v).

We also have $f_\epsilon(x_m) \perp f_\epsilon(y_m)$ and $f_\epsilon(x_m), f_\epsilon(y_m) \leq f_{1/6}(b_n)$ for $m \geq n + 1$ and for all $\epsilon > 0$. Let τ be a positive normalized trace on A , and put $\alpha_n = \lim_{\epsilon \rightarrow 0} \tau(f_\epsilon(x_n))$. Then

$$2\alpha_n \leq \tau(f_{1/6}(y_{n-1})) \leq \alpha_{n-1}, \quad (\alpha_0 = 1),$$

which proves $\alpha \leq 2^{-n}$ and (iv) follows.

Put $k_n = C(1_A, x_n)$ and $k_0 = C(1_A, y_0) (\geq 2)$. Let l_n be the least integer $\geq k_n/2$. Then there are elements r_1, \dots, r_{l_n} and s_1, \dots, s_{l_n} in A such that

$$1_A \leq \sum_{j=1}^{l_n} r_j x_n r_j^* + \sum_{j=1}^{l_n} s_j x_n s_j^*.$$

Put $t_j = r_j z_n + s_j z_n u_n^*$. Then

$$t_j(x_n + y_n) t_j^* = r_j x_n r_j^* + s_j x_n s_j^*,$$

and so $C(1_A, x_n + y_n) \leq l_n$. Since

$$x_n + y_n \leq f_{1/6}(y_{n-1}) = u_n^* f_{1/6}(x_{n-1}) u_n \lesssim x_{n-1},$$

we find that

$$2k_{n-1} = 2C(1_A, x_{n-1}) \leq 2C(1_A, x_n + y_n) \leq 2l_n \leq k_n + 1.$$

This proves that $k_n \geq 2^n$, and hence (iii). ■

THEOREM 3.2. *Let A be a unital C^* -algebra. Then $\mathcal{M}(A \otimes K)/A \otimes K$ is simple if and only if either $A \cong M_n(\mathbb{C})$ for some $n \in \mathbb{N}$ or A is a purely infinite simple C^* -algebra.*

Proof. If $A \cong M_n(\mathbb{C})$, then $A \otimes K \cong K$ and $\mathcal{M}(K)/K \cong B(H)/K$ is simple. Assume that A is simple and purely infinite, and let I be an ideal of $\mathcal{M}(A \otimes K)$ properly containing $A \otimes K$. Then from the Proposition 2.8, there is a positive diagonal element $X = \text{Diag}(x_1, x_2, \dots) \in I \setminus A \otimes K$. Now since $\lim_{j \rightarrow \infty} \|x_j\| \neq 0$, there is $\delta > 0$ such that $f_\delta(x_j) \neq 0$ for infinitely many $j \in \mathbb{N}$. Hence, for each $m \in \mathbb{N}$ there is $m' \geq m$ such that $f_\delta(x_j) \neq 0$ for some j with $m \leq j \leq m'$, and since A is purely infinite,

$$1_A \preceq \text{Diag}(f_\delta(x_m), \dots, f_\delta(x_{m'})).$$

From Corollary 2.7 it follows that $I(X) = \mathcal{M}(A \otimes K)$, and so $I = \mathcal{M}(A \otimes K)$, and this proves that $\mathcal{M}(A \otimes K)/A \otimes K$ is simple.

Suppose now that A is not simple, and let J be a non-trivial ideal in A . Then $J \otimes K$ is a non-trivial ideal in $A \otimes K$, and

$$I_0 = \{X \in \mathcal{M}(A \otimes K) \mid X(A \otimes K) \subseteq J \otimes K\}$$

is a closed two-sided ideal in $\mathcal{M}(A \otimes K)$. Put $I = I_0 + A \otimes K$. Choose $x \in J^+, x \neq 0$, and put $X = \text{Diag}(x, x, \dots)$. Then $X \in I_0 \subseteq I$ but $X \notin A \otimes K$, and so $I \neq A \otimes K$.

Assume — to reach a contradiction — that $I = \mathcal{M}(A \otimes K)$. Then

$$1_{\mathcal{M}(A \otimes K)} = X + y$$

for some $X \in I_0$ and $y \in A \otimes K$. Let $\{f_n\}$ be an approximate unit of projections for K , and put $e_n = 1_A \otimes f_n$. Choose n large enough such that $\|(1 - e_n)y(1 - e_n)\| < 1$. Then

$$\|(1 - e_n) - (1 - e_n)X(1 - e_n)\| < 1,$$

and since $1 - e_n$ is a projection, there is $Z \in \mathcal{M}(A \otimes K)$ such that $1 - e_n = Z(1 - e_n)X(1 - e_n)$. Thus $1 - e_n \in I_0$, contradicting the fact that

$$e_m - e_n = e_m(1 - e_n) \notin J \otimes K$$

for $m > n$. Hence $I \neq \mathcal{M}(A \otimes K)$ and $\mathcal{M}(A \otimes K)/A \otimes K$ is not simple.

Suppose finally that A is simple, but not finite dimensional and not purely infinite. Let $\{x_n\}$ be the sequence in A^+ constructed in Lemma 3.1, and put $X = \text{Diag}(x_1, x_2, \dots)$. Then for every $\delta > 0, m \in \mathbb{N}$ and $m' \geq m$ we have

$$C(1_A, \text{Diag}(f_\delta(x_m), \dots, f_\delta(x_{m'}))) = C\left(1_A, \sum_{j=m}^{m'} f_\delta(x_j)\right) \geq \\ \geq C\left(1_A, \sum_{j=m}^{m'} x_j\right) \geq C(1_A, x_{m-1}) \geq 2^{m-1}.$$

From Corollary 2.7, this implies $I(X) \neq \mathcal{M}(A \otimes K)$. Since $\|x_j\| = 1$ for all $j \in \mathbb{N}$, $X \notin A \otimes K$, and it follows that $A \otimes K \subseteq I(X) \subseteq \mathcal{M}(A \otimes K)$ and $I(X) \neq A \otimes K, \mathcal{M}(A \otimes K)$. ■

REMARK 3.3. The class of C^* -algebras B with $\mathcal{M}(B)/B$ simple, when B is not assumed to be isomorphic to $A \otimes K$ for some unital C^* -algebra A (in particular, when B is non-stable), is more complicated to describe:

If B is a simple AF-algebra and all traces on B extend to bounded traces on $\mathcal{M}(B)$, then $\mathcal{M}(B)/B$ is simple (see [6] and [8]).

If B is such that $\mathcal{M}(B)/B$ is simple and C is unital, then $\mathcal{M}(B \oplus C)/B \oplus C \cong \mathcal{M}(B)/B$ is simple.

Let A be a simple unital C^* -algebra which is not finite dimensional or purely infinite, so that $\mathcal{M}(A \otimes K)/A \otimes K$ is not simple. Let I be a maximal proper ideal of $\mathcal{M}(A \otimes K)$. Then $A \otimes K \subseteq I, A \otimes K \neq I$ and $\mathcal{M}(I) = \mathcal{M}(A \otimes K)$. Hence $\mathcal{M}(I)/I$ is simple and I has non-trivial essential ideal.

REMARK 3.4. In [2] it is proved that $A \otimes K$ is algebraically simple if and only if A is simple and not stably finite. It is not clear whether this relates to simplicity of $\mathcal{M}(A \otimes K)/A \otimes K$ (this certainly is not the case for $A \otimes K \cong K$). Still, it appears to add the mystery of the (maybe vacuous) class of simple C^* -algebras which are neither stably finite nor purely infinite (see also Remark 4.6).

4. IDEALS IN $\mathcal{M}(A \otimes K)$ AND TRACES ON A

Let A be a unital C^* -algebra, and let τ be a (positive, normalized) trace (or quasi-trace) on A . Extend τ to a semi-finite trace on $A \otimes K$, and to a trace function on $\mathcal{M}(A \otimes K)^+$ by

$$\tau(X) = \sup \tau(e_n X e_n)$$

where $\{e_n\}$ is any approximate unit for $A \otimes K$. As in [6,8], define I_τ to be the closure of the set of all elements X in $\mathcal{M}(A \otimes K)$ with $\tau(X^*X) < \infty$. It is easily checked

that I_τ is a closed two-sided ideal in $\mathcal{M}(A \otimes K)$. Note that if $X \in \mathcal{M}(A \otimes K)^+$, then $X \in I_\tau$ if and only if $\tau(f_\epsilon(X)) < \infty$ for all $\epsilon > 0$ (use Lemma 2.2 for this).

Let $T(A)$ denote the set of all positive normalized traces on A . If $\tau_1, \tau_2 \in T(A)$, then write $\tau_1 \lesssim \tau_2$ if there is $c > 0$ so that $c\tau_1 \leq \tau_2$. Write $\tau_1 \sim \tau_2$ if $\tau_1 \lesssim \tau_2$ and $\tau_2 \lesssim \tau_1$.

PROPOSITION 4.1. *Let A be a unital C^* -algebra, let τ and τ' be in $T(A)$, and let I_τ and $I_{\tau'}$ denote the corresponding ideals in $\mathcal{M}(A \otimes K)$. Then*

- (i) $A \otimes K \subseteq I_\tau$ and $I_\tau \neq \mathcal{M}(A \otimes K)$,
- (ii) $I_\tau \subseteq I_{\tau'}$ if and only if $\tau' \lesssim \tau$,
- (iii) $I_\tau = I_{\tau'}$ if and only if $\tau \sim \tau'$,
- (iv) if I_τ is a maximal ideal in $\mathcal{M}(A \otimes K)$, then τ is an extreme point in $T(A)$.

Proof. (i) obvious.

(ii) If $c\tau' \leq \tau$ for some $c > 0$ then

$$\tau'(f_\epsilon(X)) \leq c^{-1}\tau(f_\epsilon(X)) < \infty$$

for each $X \in I_\tau^+$ and each $\epsilon > 0$, and so $X \in I_{\tau'}$. Thus $I_\tau \subseteq I_{\tau'}$.

Suppose now that $\tau' \lesssim \tau$. Let $M = (\varphi_\tau \oplus \varphi_{\tau'})(A)''$, where φ_τ is the GNS-representation of A with respect to τ , and note that τ and τ' extend to traces $\tilde{\tau}$ and $\tilde{\tau}'$ on M with $\tilde{\tau}' \lesssim \tilde{\tau}$. For each $n \in \mathbb{N}$ find a projection p_n in M with $2^{-(n+1)}\tilde{\tau}'(p_n) > \tilde{\tau}(p_n)$. Put $\delta_n = \tilde{\tau}'(p_n)$ and choose $m_n \in \mathbb{N}$ such that

$$(*) \quad \frac{\frac{2}{3}\delta_n - \left(\frac{1}{2}\right)^{m_n}}{1 - \left(\frac{1}{2}\right)^{m_n}} \geq \frac{1}{2}\delta_n.$$

From Kaplansky's density theorem, $(\varphi_\tau \oplus \varphi_{\tau'})(A_1 \cap A^+)$ is strong operator dense in $M_1 \cap M^+$; and since the maps $x \rightarrow \tilde{\tau}(x)$ and $x \rightarrow \tilde{\tau}'(x^{m_n})$ are strong operator continuous, we can find $x_n \in A_1 \cap A^+$ with

$$\begin{aligned} |\tilde{\tau}(p_n - (\varphi_\tau \oplus \varphi_{\tau'})(x_n))| &\leq 2^{-(n+1)}\delta_n, \\ |\tilde{\tau}'(p_n - (\varphi_\tau \oplus \varphi_{\tau'})(x_n)^{m_n})| &\leq \frac{1}{3}\delta_n. \end{aligned}$$

Then $\tau(x_n) \leq 2^{-n}\delta_n$ and $\tau'(x_n^{m_n}) \geq \frac{2}{3}\delta_n$. There is a probability measure μ on $[0,1]$ such that

$$\tau'(f(x_n)) = \int_0^1 f(t)d\mu(t), \quad f \in C([0,1]).$$

It follows from (*) that $\mu([\frac{1}{2}, 1]) \geq (1/2)\delta_n$, and so $\tau'(f_{1/4}(x_n)) \geq (1/2)\delta_n$. Let k_n be an integer with $1 \leq k_n \delta_n \leq 2$, and put

$$X = \text{Diag}(\underbrace{x_1, \dots, x_1}_{k_1 \text{ times}}, \underbrace{x_2, \dots, x_2}_{k_2 \text{ times}}, \dots).$$

Then

$$\tau(X) = \sum_{n=1}^{\infty} k_n \tau(x_n) \leq \sum_{n=1}^{\infty} 2^{-n} k_n \delta_n \leq 2,$$

and so $X \in I_\tau$. On the other hand,

$$\tau'(f_{1/4}(X)) = \sum_{n=1}^{\infty} k_n \tau'(f_{1/4}(x_n)) \geq \sum_{n=1}^{\infty} \frac{1}{2} k_n \delta_n = \infty,$$

whence $X \notin I_{\tau'}$ and so $I_\tau \not\subseteq I_{\tau'}$.

(iii) follows from (ii).

(iv) Assume that τ is not extreme in $T(A)$. Then $M = \varphi_\tau(A)''$ is not a factor. Choose a non-trivial central projection p in the center of M and put

$$\tau_1(x) = \tau(p)^{-1} \tau(px), \quad \tau_2(x) = \tau(1-p)^{-1} \tau((1-p)x).$$

Then $\tau_1, \tau_2 \in T(A)$, $\tau_1 \not\sim \tau_2$ and $\tau_j \sim \tau$. Hence, by (ii) and (iii), $I_\tau \subseteq I_{\tau_j}$ and $I_{\tau_1} \neq I_{\tau_2}$, and so I_τ is not maximal. ■

REMARK 4.2. If A is a unital simple C^* -algebra which is not finite dimensional, and $\tau \in T(A)$, then one can use Lemma 3.1 (iv) to prove that $A \otimes K \neq I_\tau$. One has $I_\tau \neq A \otimes K$ under more general assumptions on A .

REMARK 4.3. If τ and τ' are distinct extreme points of $T(A)$, then $\tau \not\sim \tau'$ and so $I_\tau \neq I_{\tau'}$. If $T(A)$ has precisely n extreme points, then $T(A)$ contains exactly $2^n - 1$ equivalence classes, and there are exactly $2^n - 1$ distinct ideals of $\mathcal{M}(A \otimes K)$ of the form I_τ for some $\tau \in \tau(A)$.

For each $\tau \in T(A)$ associate a dimension function d_τ (cf. Cuntz [4]) on $(A \otimes \mathcal{F})^+$, where \mathcal{F} denotes the algebra of finite rank operators on a Hilbert space, by

$$d_\tau(x) = \lim_{\epsilon \rightarrow \infty} \tau(f_\epsilon(x)).$$

We say that A has comparison given by its traces if for $x, y \in (A \otimes \mathcal{F})^+$, $d_\tau(x) < d_\tau(y)$ for all $\tau \in T(A)$ implies $x \lesssim y$ (see also B. Blackadar [1]). It is known that von Neumann algebras, AF-algebras and purely infinite simple C^* -algebras have this property.

The following theorem which extends Theorem 2 of H. Lin [8], states that the lattice $T(A)/\sim$ is isomorphic to the lattice of ideals in $\mathcal{M}(A \otimes K)$ under certain (rather strong) assumption on A .

THEOREM 4.4. *Let A be a simple unital infinite dimensional C^* -algebra which has comparison given by its traces, and assume further that $T(A)$ has only finitely many extreme points. Then*

- (i) I is a maximal ideal in $\mathcal{M}(A \otimes K)$ if and only if $I = I_\tau$ for some extremal trace τ ,
- (ii) if I is a proper ideal in $\mathcal{M}(A \otimes K)$ properly containing $A \otimes K$, then $I = I_\tau$ for some $\tau \in T(A)$,
- (iii) there are exactly $2^n - 1$ proper ideals in $\mathcal{M}(A \otimes K)$ properly containing $A \otimes K$.

Proof. Let $\{\tau_1, \tau_2, \dots, \tau_n\}$ denote the set of extreme points $T(A)$.

(i). From (iii) of Proposition 4.1, $I_{\tau_k} \not\subseteq I_{\tau_l}$ if $k \neq l$. It suffices therefore to prove that if I is an ideal in $\mathcal{M}(A \otimes K)$ not contained in any I_{τ_j} , then $I = \mathcal{M}(A \otimes K)$.

Let I be such an ideal, and find $Y_j \in I \setminus I_{\tau_j}, Y_j \geq 0$. Put $Y = Y_1 + Y_2 + \dots + Y_n$, and find a diagonal $X = \text{Diag}(x_1, x_2, \dots)$ in $\mathcal{M}(A \otimes K)^+$ with $I(X) = I(Y)$ (cf. Proposition 2.8). Then $X \in I \setminus I_{\tau_j}, j = 1, 2, \dots, n$, and so, for some $\delta > 0$, $\tau_j(f_\delta(X)) = \infty$ for $j = 1, \dots, n$. Hence

$$\sum_{i=1}^{\infty} d_{\tau_j}(f_\delta(x_i)) = \infty \quad j = 1, \dots, n.$$

Using the comparison property of A and Corollary 2.7, we find that $I \supseteq I(X) = \mathcal{M}(A \otimes K)$.

(ii) and (iii). For each non-empty subset σ of $\{1, 2, \dots, n\}$ ($= \Sigma$), set

$$I_\sigma = \bigcap_{j \in \sigma} I_{\tau_j}, \quad \tau_\sigma = |\sigma|^{-1} \sum_{j \in \sigma} \tau_j,$$

and note that $I_\sigma = I_{\tau_\sigma}$. From (i) and the Chinese Remainder Theorem,

$$\mathcal{M}(A \otimes K)/I_\Sigma \cong \bigotimes_{j=1}^n \mathcal{M}(A \otimes K)/I_{\tau_j}.$$

Hence, if I is an ideal in $\mathcal{M}(A \otimes K)$ and $I_\Sigma \subseteq I$, then $I = I_\sigma (= I_{\tau_\sigma})$ for some $\sigma \subseteq \Sigma$; and $I_{\sigma_1} \neq I_{\sigma_2}$ if $\sigma_1 \neq \sigma_2$. It therefore suffices to prove that $I_\Sigma/A \otimes K$ is simple. Assume that I is an ideal properly containing $A \otimes K$. By Proposition 2.8 we can find a positive diagonal $X = \text{Diag}(x_1, x_2, \dots)$ in $I \setminus A \otimes K$. Assume — to reach a

contradiction — that $I_{\mathcal{E}} \not\subseteq I$. Then again by Proposition 2.8, there is a positive diagonal $Y = \text{Diag}(y_1, y_2, \dots)$ in $I_{\mathcal{E}} \setminus I$. Since $X \notin A \otimes K$, there is $\delta > 0$ so that $f_{\delta}(x_j) \neq 0$ for infinitely many $j \in \mathbb{N}$, and $\tau_j(f_{\epsilon}(Y)) < \infty$ for $j = 1, 2, \dots, n$ and for all $\epsilon > 0$ because $Y \in I_{\mathcal{E}}$. Hence

$$\sum_{i=m}^{\infty} d_{\tau_j}(f_{\delta}(x_i)) > 0 \quad j = 1, \dots, n,$$

for all $m \in \mathbb{N}$, and

$$\lim_{k \rightarrow \infty} \sum_{i=k}^{\infty} d_{\tau_j}(f_{\epsilon}(y_i)) = 0 \quad j = 1, \dots, n.$$

From the comparison property of A we conclude that $\{f_{\epsilon}(y_i)\} \lesssim \{f_{\delta}(x_i)\}$. This holds for all $\epsilon > 0$, and so from Theorem 2.6, $Y \in I(X) \subseteq I$, a contradiction. ■

REMARK 4.5. It is not true in general that maximal ideals of $\mathcal{M}(A \otimes K)$ are of the form I_{τ} for some extreme trace τ . Indeed, if $T(A)$ is not the norm closure of $\text{conv } E$, where E is the set of extreme points of $T(A)$, then there is $\tau \in T(A)$ for which $\tau \not\lesssim \tau'$ for all $\tau' \in E$. From Proposition 4.1, $I_{\tau} \not\subseteq I_{\tau'}$ for all $\tau' \in E$ and so, if I is a maximal ideal in $\mathcal{M}(A \otimes K)$ containing I_{τ} , then $I \neq I_{\tau'}$ for $\tau' \in E$ (or for $\tau' \in T(A)$).

REMARK 4.6. If A is a simple unital C^* -algebra which is neither stably finite nor purely infinite, then by Theorem 3.2, $\mathcal{M}(A \otimes K)$ has a proper ideal properly containing $A \otimes K$. However, A has no traces (and even no quasi-traces).

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