REFLEXIVITY AND HYPERREFLEXIVITY OF THE SPACE OF LOCALLY INTERTWINING OPERATORS

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ABSTRACT. An operator *S* is a local intertwiner of operators *A* and *B* at vector *e* if SAe = BSe. We characterize the spaces of all local intertwiners I(A, B; e) that are reflexive (hyperreflexive). We show that in all interesting cases the reflexivity (hyperreflexivity) of I(A, B; e) depends only on *B* and is independent of *A* and *e*. This has consequences concerning the reflexivity of the space of intertwiners I(A, B) and of the commutant of an operator.

KEYWORDS: Space of intertwiners, space of local intertwiners, commutant, local commutant, reflexivity, hyperreflexivity.

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INTRODUCTION

For complex Banach spaces \mathcal{X} and \mathcal{Y} , let $B(\mathcal{X}, \mathcal{Y})$ be the Banach space of all bounded linear operators from \mathcal{X} to \mathcal{Y} ; similarly, let $B(\mathcal{X})$ be the Banach algebra of all bounded linear operators on \mathcal{X} . The topological dual of \mathcal{X} is denoted by \mathcal{X}^* .

Let $A \in B(\mathcal{X})$, $B \in B(\mathcal{Y})$, and $e \in \mathcal{X}$ be given. An operator $S \in B(\mathcal{X}, \mathcal{Y})$ intertwines A and B at e, if SAe = BSe. The set of all operators that intertwine A and B at e is denoted by I(A, B; e). In particular, if $\mathcal{X} = \mathcal{Y}$ and A = B, then C(A, e) := I(A, A; e) is the local commutant of A at e. Local commutants were introduced and studied by Larson [8], see also [3].

It is obvious that I(A, B; e) is a linear space of operators and it is not hard to see that I(A, B; e) is closed in the strong operator topology, which means, by convexity, that it is closed in the weak operator topology as well.

For a linear subspace $S \subseteq B(X, Y)$, the *reflexive closure* of S is given by

Ref $S = \{T \in B(\mathcal{X}, \mathcal{Y}); Tx \in [Sx] \text{ for all } x \in \mathcal{X}\},\$

where $Sx = \{Sx; S \in S\}$ is the orbit of S at x and [Sx] is its closure. It is obvious that Ref $S \supseteq S$. If Ref S = S, then the space S is said to be *reflexive*.

In Section 1 we give a complete description of subspaces I(A, B; e) that are reflexive. It is easy to see that this space is reflexive if *e* is an eigenvector of *A*. If *e* and *Ae* are linearly independent then the space I(A, B; e) is reflexive if and only if $\bigcap_{\lambda \in \mathbb{C}} [\operatorname{im} (B - \lambda)] = \{0\}$. It is interesting that this condition depends only on *B* and is independent of *A* and *e*. This has implications for the reflexivity of $I(A, B) := \{S \in B(\mathcal{X}, \mathcal{Y}); SA = BS\}$, the space of intertwiners between *A* and *B*.

Section 2 is devoted to the hyperreflexivity (for the definition see that section). It is well-known that any hyperreflexive subspace of operators is reflexive and that the converse does not hold, see Theorem 6 of [7]. We shall show that spaces of locally intertwining operators provide natural examples of spaces of operators that are reflexive but not hyperreflexive.

In the last section we discuss the *k*-reflexivity and *k*-hyperreflexivity of spaces of local intertwiners.

1. REFLEXIVITY OF THE SPACE OF LOCALLY INTERTWINING OPERATORS

In this section we shall characterize those spaces I(A, B; e) that are reflexive. The following proposition describes the orbits of spaces of local intertwiners.

PROPOSITION 1.1. Let $A \in B(\mathcal{X})$, $B \in B(\mathcal{Y})$, and $e, x \in \mathcal{X} \setminus \{0\}$ be arbitrary.

(i) If x is not in the linear span of vectors e and Ae, i.e. $x \notin \bigvee \{e, Ae\}$, then $I(A, B; e)x = \mathcal{Y}$.

(ii) If $Ae = \lambda e$, for some $\lambda \in \mathbb{C}$, and x is a scalar multiple of e, then $I(A, B; e)x = \ker (B - \lambda)$.

(iii) If e and Ae are linearly independent and $x = \alpha Ae + \beta e \ (\alpha, \beta \in \mathbb{C})$, then $I(A, B; e)x = im \ (\alpha B + \beta)$.

Proof. (i) Since $x \notin \bigvee \{e, Ae\}$ there exists $\xi \in \mathcal{X}^*$ that annihilates $\bigvee \{e, Ae\}$, that is $\xi \in (\bigvee \{e, Ae\})^{\perp}$, and $\langle x, \xi \rangle = 1$. Let $y \in \mathcal{Y}$ be arbitrary. The operator $y \otimes \xi$, which is given by $(y \otimes \xi)z = \langle z, \xi \rangle y$ for $z \in \mathcal{X}$, maps x into y and it is in I(A, B; e) because of $(y \otimes \xi)Ae = 0 = B(y \otimes \xi)e$.

(ii) Let $\mu \in \mathbb{C} \setminus \{0\}$ be such that $x = \mu e$. If $S \in I(A, B; e)$, then $(B - \lambda)Sx = \mu S(Ae - \lambda e) = 0$. Thus, $I(A, B; e)x \subseteq \ker(B - \lambda)$. For the opposite inclusion, let $y \in \ker(B - \lambda)$ be arbitrary. Then there exists $S \in B(\mathcal{X}, \mathcal{Y})$ such that Sx = y. Since $(B - \lambda)Se = \mu^{-1}(B - \lambda)y = 0$ we conclude that $S \in I(A, B; e)$. Namely, it is easily seen that $I(A, B; e) = \{S \in B(\mathcal{X}, \mathcal{Y}); Se \in \ker(B - \lambda)\}$.

(iii) If $S \in I(A, B; e)$, then $Sx = S(\alpha Ae + \beta e) = (\alpha B + \beta)Se$, which shows that $I(A, B; e)x \subseteq im(\alpha B + \beta)$. On the other hand, let $y = (\alpha B + \beta)w$, where $w \in \mathcal{Y}$, be an arbitrary vector in the range im $(\alpha B + \beta)$. Since *e* and *Ae* are linearly independent there exist ξ , $\eta \in \mathcal{X}^*$ such that $\langle e, \xi \rangle = 1 = \langle Ae, \eta \rangle$ and $\langle Ae, \xi \rangle =$ $0 = \langle e, \eta \rangle$. Set $S := w \otimes \xi + Bw \otimes \eta$. Then it is easily seen that $S \in I(A, B; e)$ and Sx = y.

Let $\sigma_p(T)$ be the point spectrum (the set of eigenvalues) of a given linear operator $T \in B(\mathcal{X})$. It is well-known that a number λ is in $\sigma_p(T^*)$ if and only if the range im $(T - \lambda)$ is not dense in \mathcal{X} . Recall that a nonempty set $S \subseteq B(\mathcal{X})$ is transitive if, for any $x \neq 0$, the orbit Sx is dense in \mathcal{X} . The following corollary is a consequence of Proposition 1.1.

COROLLARY 1.2. Let $A, B \in B(\mathcal{X})$ and $e \in \mathcal{X}$. Assume that e and Ae are linearly independent. Then the point spectrum of B^* is empty if and only if I(A, B; e) is transitive. In particular, the local commutant C(A, e) is transitive if and only if $\sigma_p(A^*) = \emptyset$.

Now we describe the reflexive closure of the space of local intertwiners.

PROPOSITION 1.3. Let $A \in B(\mathcal{X})$, $B \in B(\mathcal{Y})$, and $e \in \mathcal{X}$ be arbitrary. If e and Ae are linearly independent, then RefI $(A, B; e) = \{T \in B(\mathcal{X}, \mathcal{Y}); T(A - \lambda)e \in [im (B - \lambda)] \text{ for all } \lambda \in \mathbb{C}\}.$

Proof. Let $T \in \text{Ref I}(A, B; e)$ be arbitrary. Choose $\lambda \in \mathbb{C}$ and set $x_{\lambda} = Ae - \lambda e$. By Proposition 1.1 (iii), we have $I(A, B; e)x_{\lambda} = \text{im}(B - \lambda)$. Since $Tx \in [I(A, B; e)x]$ for any $x \in \mathcal{X}$ we conclude that $T(A - \lambda)e = Tx_{\lambda} \in [I(A, B; e)x_{\lambda}] = [\text{im}(B - \lambda)]$.

Now, assume that $T \in B(\mathcal{X}, \mathcal{Y})$ satisfies $T(A - \lambda)e \in [\operatorname{im} (B - \lambda)]$ for all $\lambda \in \mathbb{C}$. Let $x \in \mathcal{X}$ be arbitrary. It is obvious that $Tx \in [I(A, B; e)x]$ for x = 0. Suppose therefore that $x \neq 0$. If $x \notin [\{e, Ae\}]$, then, by Proposition 1.1 (i), $I(A, B; e)x = \mathcal{Y}$, which gives $Tx \in [I(A, B; e)x]$ in this case. If x is a scalar multiple of e, say $x = \beta e$ for some $\beta \neq 0$, then $I(A, B; e)x = \operatorname{im} (\beta I) = \mathcal{Y}$, by Proposition 1.1 (ii), and again $Tx \in [I(A, B; e)x]$. Finally assume that $x = \alpha Ae + \beta e$ with $\alpha \neq 0$. Then $Tx = \alpha T(A + \beta/\alpha)e = (B + \beta/\alpha)Te \in [\operatorname{im} (B + \beta/\alpha)]$. Since, by Proposition 1.1 (iii), $\operatorname{im} (B + \beta/\alpha) = I(A, B; e)(A + \beta/\alpha)e$ we conclude that $Tx \in [I(A, B; e)(A + \beta/\alpha)e] = [I(A, B; e)x]$.

COROLLARY 1.4. If e and Ae are linearly independent, then Ref I(A, B; e) = $B(\mathcal{X}, \mathcal{Y})$ if and only if $\sigma_{p}(B^{*}) = \emptyset$.

Proof. If $\sigma_{p}(B^{*}) = \emptyset$, then $[\operatorname{im} (B - \lambda)] = \mathcal{Y}$ for all $\lambda \in \mathbb{C}$. Thus, every $T \in B(\mathcal{X}, \mathcal{Y})$ satisfies the condition $T(A - \lambda)e \in [\operatorname{im} (B - \lambda)]$ ($\lambda \in \mathbb{C}$), which means, by Proposition 1.3, that $T \in I(A, B; e)$.

On the other hand, if there exists $\lambda \in \sigma_p(B^*)$, then $[\operatorname{im} (B - \lambda)] \neq \mathcal{Y}$. Since $(A - \lambda)e$ is a nonzero vector there exists $T \in B(\mathcal{X}, \mathcal{Y})$ such that $T(A - \lambda)e \notin [\operatorname{im} (B - \lambda)]$.

Let *e* be an eigenvector of *A*, say $Ae = \lambda e$, and assume that $T \in \text{Ref I}(A, B; e)$. Then $Te \in \text{ker}(B - \lambda)$, by Proposition 1.1. It follows that $BTe = \lambda Te = TAe$, i.e. $T \in I(A, B; e)$. We have proved the next proposition.

PROPOSITION 1.5. Let $A \in B(\mathcal{X})$ and $B \in B(\mathcal{Y})$. If $e \in \mathcal{X}$ is an eigenvector of A, then I(A, B; e) is reflexive.

For an operator $T \in B(\mathcal{X})$ such that $\sigma_p(T^*) \neq \emptyset$, let $\operatorname{Eig}(T^*)$ be the weak-* closure of the subspace of \mathcal{X}^* that is spanned by the eigenvectors of T^* . If $\sigma_p(T^*)$ is empty, then we set $\operatorname{Eig}(T^*) = \{0\}$.

THEOREM 1.6. Let $A \in B(\mathcal{X})$, $B \in B(\mathcal{Y})$, and $e \in \mathcal{X}$ be arbitrary. If e and Ae are linearly independent, then the following are equivalent:

- (i) I(*A*, *B*; *e*) *is reflexive;*
- (ii) $\operatorname{Eig}(B^*) = \mathcal{Y}^*$;
- (iii) $\bigcap_{\lambda \in \mathbb{C}} [\operatorname{im} (B \lambda)] = \{0\}.$

Proof. First about the equivalence of (ii) and (iii). It follows from the equality $\operatorname{Eig}(B^*)_{\perp} = \bigcap_{\lambda \in \mathbb{C}} [\operatorname{im} (B - \lambda)]$, where $\operatorname{Eig}(B^*)_{\perp}$ is the preannihilator of $\operatorname{Eig}(B^*)$. That the last equality holds is a consequence of the well known fact that $[\operatorname{im} (B - \lambda)] = \ker (B^* - \lambda)_{\perp}$. Namely, if $x \in [\operatorname{im} (B - \lambda)]$, for all $\lambda \in \mathbb{C}$, then $\langle x, \xi \rangle = 0$, for any eigenvector ξ of B^* . It follows that $x \in \operatorname{Eig}(B^*)_{\perp}$. On the other hand, if $x \in \mathcal{X}$ is not in the intersection $\bigcap_{\lambda \in \mathbb{C}} [\operatorname{im} (B - \lambda)]$, then there exists a number λ_0 such that $x \notin [\operatorname{im} (B - \lambda_0)] = \ker (B^* - \lambda_0)_{\perp}$. Thus, there exists an eigenvector ξ of B^* such that $\langle x, \xi \rangle \neq 0$, which means $x \notin \operatorname{Eig}(B^*)_{\perp}$.

Now we shall prove the equivalence of (i) and (ii). If $\text{Eig}(B^*)$ is a proper subspace of \mathcal{Y}^* , then there exists a non-zero vector $y \in \text{Eig}(B^*)_{\perp}$. Let $\xi \in \mathcal{X}^*$ be such that $\langle e, \xi \rangle = 0$ and $\langle Ae, \xi \rangle = 1$. Then $T := y \otimes \xi$ is not in I(A, B; e), since $TAe = y \neq 0 = BTe$. However, for an arbitrary number λ_0 , we have

$$T(A - \lambda_0)e = y \in \operatorname{Eig}(B^*)_{\perp} = \bigcap_{\lambda \in \mathbb{C}} [\operatorname{im}(B - \lambda)] \subseteq [\operatorname{im}(B - \lambda_0)],$$

which gives $T \in \text{Ref I}(A, B; e)$, by Proposition 1.3.

For the opposite implication, assume that $\operatorname{Eig}(B^*) = \mathcal{Y}^*$. Let *T* be an arbitrary operator in Ref I(*A*, *B*; *e*). By Proposition 1.3, we have $T(A - \lambda)e \in [\operatorname{im}(B - \lambda)]$ for all $\lambda \in \mathbb{C}$. Choose and fix $\lambda_0 \in \sigma_p(B^*)$. Then $\langle T(A - \lambda_0)e, \eta \rangle = 0$ for each $\eta \in \ker(B^* - \lambda_0)$. It follows that $\langle TAe, \eta \rangle = \lambda_0 \langle Te, \eta \rangle = \langle Te, B^*\eta \rangle = \langle BTe, \eta \rangle$. Thus, $\langle (BT - TA)e, \eta \rangle = 0$ for all $\eta \in \ker(B^* - \lambda_0)$. Since $\lambda_0 \in \sigma_p(B^*)$ is arbitrary and since $\operatorname{Eig}(B^*) = \mathcal{Y}^*$ we conclude that (BT - TA)e = 0, i.e. the operator *T* is in I(*A*, *B*; *e*).

Note that conditions (ii) and (iii) in Theorem 1.6 do not depend on the vector *e*. Thus, the following assertion holds.

COROLLARY 1.7. If I(A, B; e) is reflexive for $e \in \mathcal{X} \setminus \{0\}$ that is not an eigenvector for A, then I(A, B; f) is reflexive for any $f \in \mathcal{X}$.

Clearly $\bigcap_{e \in \mathcal{X}} I(A, B; e) = I(A, B)$. Since an arbitrary intersection of reflexive spaces is a reflexive space as well we have the following corollary, which extends Lemma 1 of [10].

COROLLARY 1.8. Let $A \in B(\mathcal{X})$ and $B \in B(\mathcal{Y})$. If $\operatorname{Eig}(B^*) = \mathcal{Y}^*$, then I(A, B) is reflexive.

Note however that the condition $\operatorname{Eig}(B^*) = \mathcal{Y}^*$ is not necessary for reflexivity of I(A, B). For instance, let N be a normal operator without eigenvalues on a complex Hilbert space \mathcal{H} . Then, of course, $\operatorname{Eig}(N^*) = \{0\}$. On the other hand, the commutant $\{N\}'$ is reflexive since it is a von Neumann algebra ([2], Proposition 56.6).

COROLLARY 1.9. Let $A \in B(\mathcal{X})$ be an arbitrary operator and let $B \in B(\mathcal{Y})$ be a non-zero nilpotent operator. If I(A, B; e) is reflexive for some non-zero $e \in \mathcal{X}$, then e is an eigenvector of A.

Proof. Since *B* is a non-zero nilpotent the adjoint operator B^* is a non-zero nilpotent as well. It follows that $\text{Eig}(B^*) \neq \mathcal{Y}^*$. By Theorem 1.6, I(A, B; e) cannot be reflexive if *e* is not an eigenvector of *A*.

In the first part of the proof of Theorem 1.6 we showed that the conditions (ii) and (iii) of that theorem are always equivalent, and it depends only on operator *B* whether they are fulfilled.

PROPOSITION 1.10. Let $T \in B(\mathcal{X})$ and $S \in B(\mathcal{Y})$ be operators such that there exists an injective operator $V \in I(T, S)$. If S satisfies the equivalent conditions (ii) and (iii) of Theorem 1.6, then T satisfies these conditions as well.

Proof. Assume that *T* does not satisfy the conditions. Then there exists a non-zero vector $x \in \bigcap_{\lambda \in \mathbb{C}} [\operatorname{im} (T - \lambda)]$. The intertwiner *V* is injective therefore $Vx \in \mathcal{Y}$ is also a non-zero vector. Let $\lambda \in \mathbb{C}$ be an arbitrary number. Since $x \in [\operatorname{im} (T - \lambda)]$ there exists a sequence (x_n) in \mathcal{X} such that $\lim_{n \to \infty} ||(T - \lambda)x_n - x|| = 0$. It follows

$$\lim_{n\to\infty} \|(S-\lambda)Vx_n - Vx\| \leqslant \|V\| \lim_{n\to\infty} \|(T-\lambda)x_n - x\| = 0,$$

which gives $Vx \in [im (S - \lambda)]$. We conclude that *S* does not satisfy the condition (iii) of Theorem 1.6.

Note that the condition in Proposition 1.10 is satisfied if T is a quasi-affine transform of S. In particular, the condition is weaker than the quasi-similarity of operators T and S.

Now we shall describe which operators satisfy the equivalent conditions (ii) and (iii) of Theorem 1.6. Our description is based on the idea presented in Solution 69 of [5].

Let Ω be a non-empty set and let $X(\Omega)$ be a Banach space of complexvalued functions on Ω satisfying the following two conditions:

(1.1) for each $\omega \in \Omega$, there exists $f \in X(\Omega)$ such that $f(\omega) \neq 0$; $|f(\omega)| \leq ||f||$, for $f \in X(\Omega)$ and $\omega \in \Omega$.

An operator $M \in B(X(\Omega))$ is a multiplication operator if there exists a complexvalued function φ on Ω such that $(Mf)(\omega) = \varphi(\omega)f(\omega)$ for all $\omega \in \Omega$. If Mis a multiplication operator, then the corresponding function φ is uniquely determined. In the sequel we shall write M_{φ} to indicate the connection between a multiplication operator and the corresponding function.

For each $\omega \in \Omega$, define the point evaluation ξ_{ω} on $X(\Omega)$ by $\langle f, \xi_{\omega} \rangle = f(\omega)$ ($f \in X(\Omega)$). Since

$$|\langle f, \xi_{\omega} \rangle| = |f(\omega)| \leq ||f|| \quad (f \in X(\Omega))$$

each ξ_{ω} is a linear functional with norm at most 1. By the first condition in (1.1), each ξ_{ω} is non-zero and it is not hard to see that the linear span of $\{\xi_{\omega}; \omega \in \Omega\}$ is weak-* dense in $X(\Omega)^*$. Let $M_{\varphi} \in B(X(\Omega))$ be an arbitrary multiplication operator. Then

$$\langle f, (M_{\varphi})^* \xi_{\omega} \rangle = \langle M_{\varphi} f, \xi_{\omega} \rangle = \varphi(\omega) f(\omega) = \langle f, \varphi(\omega) \xi_{\omega} \rangle \quad (f \in X(\Omega))$$

holds for any $\omega \in \Omega$. Thus, each ξ_{ω} is an eigenvector for $(M_{\varphi})^*$ (with $\varphi(\omega)$ as the corresponding eigenvalue) and consequently $\text{Eig}((M_{\varphi})^*) = X(\Omega)^*$.

Now, let \mathcal{X} be a Banach space that is isometrically isomorphic to $X(\Omega)$, i.e. there exists a (bijective) linear isometry $U : \mathcal{X} \to X(\Omega)$. Assume that $T \in B(\mathcal{X})$ is equivalent to a multiplication operator $M_{\varphi} \in B(X(\Omega))$, which means $T = U^{-1}M_{\varphi}U$. It is easily seen that the linear span of $\{U^*\xi_{\omega}; \omega \in \Omega\}$ is weak-* dense in \mathcal{X}^* and that $T^*U^*\xi_{\omega} = \varphi(\omega)U^*\xi_{\omega} \ (\omega \in \Omega)$. Thus, $\operatorname{Eig}(T^*) = \mathcal{X}^*$. We have proved one implication in the following theorem.

THEOREM 1.11. Let \mathcal{X} be a Banach space. An operator T satisfies $\operatorname{Eig}(T^*) = \mathcal{X}^*$ if and only if T is equivalent to a multiplication operator M_{φ} on a Banach space $X(\Omega)$ satisfying (1.1).

Proof. Let Ω be the set of all eigenvectors of T^* of norm 1. For each $x \in \mathcal{X}$, let Ux be the complex function on Ω defined by $(Ux)(\omega) = \langle x, \omega \rangle$. Of course $X(\Omega) := \{Ux; x \in \mathcal{X}\}$ is a linear space of complex-valued functions on Ω and $U : x \mapsto Ux$ is a linear surjection from \mathcal{X} to $X(\Omega)$. The map U is also injective since the weak-* closed linear span of Ω is $\operatorname{Eig}(T^*) = \mathcal{X}^*$. If we equip $X(\Omega)$ with the norm $||Ux|| := ||x|| \ (x \in \mathcal{X})$, then $X(\Omega)$ becomes a Banach space satisfying (1.1) and U becomes an isometry, which means that \mathcal{X} and $X(\Omega)$ are isometrically isomorphic Banach spaces. Define $\varphi : \Omega \to \mathbb{C}$ through $T^*\omega = \varphi(\omega)\omega$ and let $M_{\varphi} : X(\Omega) \to X(\Omega)$ be given by $(M_{\varphi}Ux)(\omega) = \varphi(\omega)(Ux)(\omega)$. Then

$$(M_{\varphi}Ux)(\omega) = \varphi(\omega)\langle x, \omega \rangle = \langle x, T^*\omega \rangle = (UTx)(\omega),$$

which gives $M_{\varphi} = UTU^{-1}$. Thus, M_{φ} is bounded and it is a multiplication operator equivalent to *T*.

We have an additional equivalent condition for reflexivity of the space of locally intertwining operators.

COROLLARY 1.12. Let $A \in B(\mathcal{X})$, $B \in B(\mathcal{Y})$, and $e \in \mathcal{X}$ be arbitrary. If e and Ae are linearly independent, then I(A, B; e) is reflexive if and only if B is equivalent to a multiplication operator M_{φ} on a Banach space $X(\Omega)$ satisfying (1.1).

Assume that a multiplication operator M_{φ} on $X(\Omega)$ (satisfying (1.1)) is also an algebraic operator. Let $m(z) = (z - \lambda_1)^{r_1} \cdots (z - \lambda_k)^{r_k}$ be its minimal polynomial. It is easily seen that the condition $m(M_{\varphi}) = 0$ is equivalent to the condition

$$(\varphi(\omega) - \lambda_1)^{r_1} \cdots (\varphi(\omega) - \lambda_k)^{r_k} = 0$$
 for all $\omega \in \Omega$.

However, $(\varphi(\omega) - \lambda_1)^{r_1} \cdots (\varphi(\omega) - \lambda_k)^{r_k} = 0$ if and only if $(\varphi(\omega) - \lambda_1) \cdots (\varphi(\omega) - \lambda_k) = 0$. Thus, if M_{φ} is an algebraic operator, then each zero of its minimal polynomial is simple. On the other hand, if $\varphi(\Omega) = \{\lambda_1, \dots, \lambda_k\}$,

then M_{φ} is an algebraic multiplication operator with the minimal polynomial $m(z) = (z - \lambda_1) \cdots (z - \lambda_k)$.

COROLLARY 1.13 (Cf. Section 3 of [1]). If $B \in B(\mathcal{Y})$ is an algebraic operator such that each zero of its minimal polynomial is simple, then I(A, B; e) is reflexive for any $A \in B(\mathcal{X})$ and any $e \in \mathcal{X}$. On the other hand, if B is algebraic and I(A, B; e) is reflexive for an operator $A \in B(\mathcal{X})$ and a vector $e \in \mathcal{X}$ that is not an eigenvector for A, then the minimal polynomial of B has only simple zeroes.

Proof. Let $m(z) = (z - \lambda_1) \cdots (z - \lambda_k)$ be the minimal polynomial of *B* (thus, $\lambda_i \neq \lambda_j$ if $i \neq j$). For each $1 \leq i \leq k$, let $q_i(z) := m(z)/(z - \lambda_i)$. Since m(B) = 0 we have $[\operatorname{im} (B - \lambda_i)] \subseteq \ker q_i(B)$ and consequently

$$\bigcap_{\lambda \in \mathbb{C}} [\operatorname{im} (B - \lambda)] \subseteq \bigcap_{i=1}^{k} [\operatorname{im} (B - \lambda_i)] \subseteq \bigcap_{i=1}^{k} \ker q_i(B).$$

However, the intersection $\bigcap_{i=1}^{k} \ker q_i(B)$ is trivial since the greatest common divisor of the polynomials q_i is equal to 1.

It follows, by Theorems 1.6 and 1.11, that *B* is equivalent to a multiplication operator M_{φ} . Of course, M_{φ} is an algebraic operator with the same minimal polynomial as *B*. By the observation above, we conclude that the minimal polynomial has only simple zeroes.

EXAMPLE 1.14. (i) An operator $B \in B(\mathcal{Y})$ will be called a *semi-shift* if it is bounded below and $\bigcap_{n=1}^{\infty} \operatorname{im} B^n = \{0\}$. Any semi-shift satisfies the equivalent conditions of Theorem 1.6. Indeed, there is an open neighbourhood U of 0 such that $B - \lambda$ is bounded below for $\lambda \in U$. Then, by Proposition 3.1.11 of [9] $\bigcap_{\lambda \in U} \operatorname{im} (B - \lambda) = \bigcap_{n=1}^{\infty} \operatorname{im} B^n = \{0\}$. Hence the spaces of local intertwiners I(A, B; e) are reflexive for all $A \in B(\mathcal{X})$ and $e \in \mathcal{X}$, which gives the reflexivity of I(A, B) for any $A \in B(\mathcal{X})$.

(ii) In particular, let $B \in B(\mathcal{H})$ be a unilateral weighted shift on a Hilbert space \mathcal{H} . Thus, $Be_i = w_i e_{i+1}$ (i = 0, 1, ...), where $e_0, e_1, ...$ is an orthonormal basis for \mathcal{H} and $(w_i) \subset \mathbb{C}$ is a bounded sequence. Suppose that im B is closed, i.e. $\inf_i |w_i| > 0$. Then B is a semi-shift and therefore it satisfies the conditions of Theorem 1.6.

The assumption that im *B* is closed is necessary. For example, let *B* be the weighted shift with weights $w_i = 1/(i+1)$. Then $||B^n|| = 1/n!$ and so *B* is quasinilpotent. Hence $\bigcap_{\lambda \in \mathbb{C}} [\operatorname{im} (B - \lambda)] = [\operatorname{im} B] = \bigvee \{e_i; i \ge 1\}$ and *B* does not satisfy the conditions of Theorem 1.6.

2. HYPERREFLEXIVITY OF THE SPACE OF LOCALLY INTERTWINING OPERATORS

Let $S \subseteq B(\mathcal{X}, \mathcal{Y})$ be a closed linear subspace. For an operator $T \in B(\mathcal{X}, \mathcal{Y})$, define

$$\alpha(T,\mathcal{S}) = \sup\{\operatorname{dist}(Tx,\mathcal{S}x); x \in \mathcal{X}, \|x\| = 1\}.$$

The space S is said to be *hyperreflexive* if there is a constant c > 0 such that the inequality dist $(T, S) \leq c \alpha(T, S)$ holds for all $T \in B(\mathcal{X}, \mathcal{Y})$. It is well known that hyperreflexivity is a stronger condition than reflexivity, that is, each hyperreflexive space is reflexive. In this section we shall show that some spaces of local intertwiners can serve as natural examples of spaces that are reflexive but not hyperreflexive.

First we give a characterization of hyperreflexive spaces of local intertwiners.

PROPOSITION 2.1. Let $A \in B(\mathcal{X})$ and $B \in B(\mathcal{Y})$ be arbitrary operators and assume that $Ae = \lambda e$ for some $\lambda \in \mathbb{C}$. Then I(A, B; e) is hyperreflexive.

Proof. Without loss of generality we may assume that ||e|| = 1. Let $S \in B(\mathcal{X}, \mathcal{Y})$. By Proposition 1.1, we have $\alpha(S, I(A, B; e)) = \text{dist}(Se, \text{ker}(B - \lambda))$.

We shall prove that $dist(S, I(A, B; e)) = dist(Se, ker (B - \lambda))$. Let $\varepsilon > 0$ and let $y \in ker (B - \lambda)$ satisfy $||Se - y|| < dist(Se, ker (B - \lambda)) + \varepsilon$. Let $y^* \in \mathcal{Y}^*$ satisfy $\langle e, y^* \rangle = 1 = ||y^*||$. Define $S_0 \in B(\mathcal{X}, \mathcal{Y})$ by $S_0e = y$ and $S_0|_{ker y^*} = S|_{ker y^*}$. Then $S_0 \in I(A, B; e)$ and $dist(S, I(A, B; e)) \leq ||S - S_0||$. Let $x \in \mathcal{X}$ have norm 1. Write $x = \alpha e + x_0$ with $\alpha \in \mathbb{C}$ and $x_0 \in ker y^*$. Then

$$\|(S-S_0)x\| = \|\alpha(S-S_0)e\| = |\langle x, y^*\rangle| \cdot \|Se-y\| \leq \|Se-y\| \leq \operatorname{dist}(S, \ker(B-\lambda)) + \varepsilon.$$

Hence dist(S, I(A, B; e)) \leq dist(Se, ker ($B - \lambda$)).

LEMMA 2.2. Let $A \in B(\mathcal{X})$ and $B \in B(\mathcal{Y})$ be arbitrary operators. Let $e \in \mathcal{X}$ and Ae be linearly independent. Then there exists a constant k > 0 such that for any $S \in B(\mathcal{X}, \mathcal{Y})$ it is possible to find $S_0 \in B(\mathcal{X}, \mathcal{Y})$ with the properties

$$S_0e = 0$$
, $S - S_0 \in I(A, B; e)$, and $||S_0|| \le k ||SAe - BSe||$.

Consequently, dist(S, I(A, B; e)) $\leq k ||SAe - BSe||$.

Proof. Since *e* and *Ae* are linearly independent there exists k > 0 such that $|\beta| \leq (k/2) ||\alpha e + \beta A e||$ for arbitrary α , $\beta \in \mathbb{C}$. Choose and fix an idempotent $P \in B(\mathcal{X})$ whose image is $\bigvee \{e, Ae\}$ and norm $||P|| \leq 2$. Let $S \in B(\mathcal{X}, \mathcal{Y})$ be arbitrary. Now let $S_0 \in B(\mathcal{X}, \mathcal{Y})$ be defined by conditions

$$S_0e = 0$$
, $S_0Ae = SAe - BSe$ and $S_0|_{\ker P} = 0$.

Since $(S - S_0)Ae = SAe - SAe + BSe = B(S - S_0)e$, the operator $S - S_0$ is in I(A, B; e). Let $x \in \mathcal{X}$ be an arbitrary vector of norm 1 and let $x = \alpha e + \beta Ae + x_0$ with $x_0 \in \ker P$. Then

$$\begin{aligned} \|S_0 x\| &= \|\beta S_0 A e\| = |\beta| \cdot \|SAe - BSe\| \leq \frac{k}{2} \|\alpha e + \beta A e\| \cdot \|SAe - BSe\| \\ &\leq \frac{k}{2} \|Px\| \cdot \|SAe - BSe\| \leq k \|SAe - BSe\|. \end{aligned}$$

It follows now that $dist(S, I(A, B; e)) \leq ||S_0|| \leq k ||SAe - BSe||$.

THEOREM 2.3. Let $A \in B(\mathcal{X})$ and $B \in B(\mathcal{Y})$ be arbitrary operators and assume that $e \in \mathcal{X}$ and Ae are linearly independent. Then I(A, B; e) is hyperreflexive if and only if there exists a number $\varepsilon > 0$ such that $\sup\{dist(y, im (B - \lambda)); \lambda \in \mathbb{C}\} > \varepsilon$, for all $y \in \mathcal{Y}$ with ||y|| = 1.

Proof. Note that there is no loss of generality if we assume that ||e|| = 1, $||A|| \leq 1$, and $||B|| \leq 1$.

Suppose that for any $\varepsilon > 0$ there exists a vector $y_{\varepsilon} \in \mathcal{Y}$ of norm one such that

(2.1)
$$\sup \{ \operatorname{dist}(y_{\varepsilon}, \operatorname{im}(B - \lambda)); \lambda \in \mathbb{C} \} < \varepsilon.$$

Since *e* and *Ae* are linearly independent there exists $\xi \in \mathcal{X}^*$ such that $\langle e, \xi \rangle = 0$ and $\langle Ae, \xi \rangle = 1$. Let $F_{\varepsilon} := y_{\varepsilon} \otimes \xi$. Thus, F_{ε} is a rank-one operator that maps *e* into 0 and *Ae* into y_{ε} . Let us show that dist $(F_{\varepsilon}, I(A, B; e)) \ge 1/2$. Towards contradiction suppose that there exists an operator $S \in I(A, B; e)$ such that $||F_{\varepsilon} - S|| < 1/2$. Then $||Se|| = ||F_{\varepsilon}e - Se|| \le ||F_{\varepsilon} - S|| < 1/2$ and therefore $||SAe|| = ||BSe|| \le$ ||B||||Se|| < 1/2. It follows $||(F_{\varepsilon} - S)Ae|| = ||y_{\varepsilon} - SAe|| \ge ||y_{\varepsilon}|| - ||SAe|| > 1 - 1/2 = 1/2$. Since $||Ae|| \le 1$ we conclude that $||F_{\varepsilon} - S|| > 1/2$, which contradicts the assumption.

We have seen that for any $\varepsilon > 0$ there exists a rank-one operator F_{ε} such that dist(F_{ε} , I(A, B; e)) $\ge 1/2$. Now we shall estimate $\alpha(F_{\varepsilon}$, I(A, B; e)).

If a vector $x \in \mathcal{X}$ is not in $[\{e, Ae\}]$, then $I(A, B; e)x = \mathcal{Y}$, by Proposition 1.1. Thus, dist $(F_{\varepsilon}x, I(A, B; e)x) = 0$ in this case. Assume therefore that $x = \alpha Ae + \beta e$, for some α , $\beta \in \mathbb{C}$, and ||x|| = 1. Of course, there is a number M > 0 such that $M \ge |\alpha|$ for all $\alpha \in \mathbb{C}$ that satisfy condition $||\alpha Ae + \beta e|| = 1$ for some $\beta \in \mathbb{C}$. Note that M does not depend on ε . By Proposition 1.1, if $x = \alpha Ae + \beta e$, then $I(A, B; e)x = im(\alpha B + \beta)$. Thus, dist $(F_{\varepsilon}x, I(A, B; e)x) = dist(\alpha y_{\varepsilon}, im(\alpha B + \beta)) \le M dist(y_{\varepsilon}, im(\alpha B + \beta))$ and therefore, by (2.1), dist $(F_{\varepsilon}x, I(A, B; e)x) < M\varepsilon$. We conclude that $\alpha(F_{\varepsilon}, I(A, B; e)) < M\varepsilon$. Now, since $\lim_{\varepsilon \to 0} \alpha(F_{\varepsilon}, I(A, B; e)) = 0$ and dist $(F_{\varepsilon}, I(A, B; e)) \ge 1/2$ for any $\varepsilon > 0$, the space I(A, B; e) is not hyperreflexive. For the opposite implication, let *S* be arbitrary and let S_0 be an operator that satisfies the conditions from Lemma 2.2, so $dist(S, I(A, B; e)) \leq ||S_0|| \leq k ||SAe - BSe||$. Since $S - S_0 \in I(A, B; e)$ we have $\alpha(S, I(A, B; e)) = \alpha(S_0, I(A, B; e))$. By the assumption, there is $\lambda \in \mathbb{C}$ such that $dist(S_0Ae, im(B - \lambda)) \geq \varepsilon ||S_0Ae||$. If $S_0A = 0$, then $S \in I(A, B; e)$ and $dist(S, I(A, B; e)) = 0 = \alpha(S, I(A, B; e))$. If $S_0Ae \neq 0$, then $\lambda \in \sigma(B)$, and so $|\lambda| \leq ||B||$. Note also that $I(A, B; e)(Ae - \lambda e) = im(B - \lambda)$, by Proposition 1.1. So we have

$$\begin{aligned} \alpha(S, \mathbf{I}(A, B; e)) &= \alpha(S_0, \mathbf{I}(A, B; e)) \ge \|Ae - \lambda e\|^{-1} \operatorname{dist}(S_0(Ae - \lambda e), \mathbf{I}(A, B; e)(Ae - \lambda e)) \\ &\ge \frac{\operatorname{dist}(S_0 Ae, \operatorname{im}(B - \lambda))}{(\|A\| + \|B\|) \|e\|} \ge \frac{\varepsilon \|S_0 Ae\|}{(\|A\| + \|B\|) \|e\|}. \end{aligned}$$

Recall that $S_0Ae = SAe - BSe$ (see the proof of Lemma 2.2) and so $\alpha(S, I(A, B; e)) \ge c ||SAe - BSe||$, where $c = \varepsilon/(||A|| + ||B||)||e||$.

EXAMPLE 2.4. Let $\mathcal{Y} = \ell^2$ and let $B \in B(\ell^2)$ be given by

$$B: (x_1, x_2, x_3, \ldots) \mapsto \Big(x_1, \frac{1}{2}x_2, \frac{1}{3}x_3, \ldots\Big).$$

It is easily seen that im $(B - 1/n) = \{(x_i) \in \ell^2; x_n = 0\}$, for any $n \in \mathbb{N}$, and that im $(B - \lambda) = \ell^2$ if $\lambda \neq 1/n$ ($\forall n \in \mathbb{N}$). Thus, *B* satisfies the condition (iii) of Theorem 1.6 and we conclude that I(A, B; e) is reflexive for any Banach space \mathcal{X} and arbitrary $A \in B(\mathcal{X})$ and $e \in \mathcal{X}$. On the other hand these spaces are hyperreflexive if and only if *e* is eigenvector of *A* or e = 0. Namely, we shall see that *B* does not satisfy the condition of Theorem 2.3.

For a positive integer k, let $f^{(k)} = (f_j^{(k)}) \in \ell^2$ be given by

$$f_j^{(k)} = \begin{cases} 1/k & 1 \leq j \leq k^2; \\ 0 & k^2 < j. \end{cases}$$

Then $||f^{(k)}|| = 1$ and $f^{(k)} \in im (B - \lambda)$ if $\lambda \notin \{1, 1/2, ..., 1/k^2\}$. Thus,

 $\operatorname{dist}(f^{(k)},\operatorname{im}(B-\lambda))=0\quad \text{if}\quad \lambda\notin\{1,\,1/2,\,\ldots,\,1/k^2\}.$

On the other hand, for $1 \leq n \leq k^2$,

$$dist(f^{(k)}, im(B-1/n)) = min\{||f^{(k)} - (x_j)||; x_n = 0\} = 1/k.$$

We conclude that $\sup\{\operatorname{dist}(f^{(k)}, \operatorname{im}(B - \lambda)); \lambda \in \mathbb{C}\} = 1/k$, which means that the condition of Theorem 2.3 is not fulfilled.

3. *k*-REFLEXIVITY AND *k*-HYPERREFLEXIVITY OF THE SPACE OF LOCALLY INTERTWINING OPERATORS

Let \mathcal{X} and \mathcal{Y} be complex Banach spaces and let $F(\mathcal{Y}, \mathcal{X})$ be the space of all operators of finite rank from \mathcal{Y} to \mathcal{X} , that is the linear span of all operators of rank 1. Thus, an operator $F \in B(\mathcal{Y}, \mathcal{X})$ is of finite rank if and only if there exist a positive integer n and $x_1, \ldots, x_n \in \mathcal{X}, \eta_1, \ldots, \eta_n \in \mathcal{Y}^*$ such that $F = x_1 \otimes \eta_1 + \cdots + x_n \otimes \eta_n$. The pair $(B(\mathcal{X}, \mathcal{Y}), F(\mathcal{Y}, \mathcal{X}))$ is a dual pair via the pairing

$$\langle T,F\rangle = \langle Tx_1,\eta_1\rangle + \cdots + \langle Tx_n,\eta_n\rangle,$$

where $T \in B(\mathcal{X}, \mathcal{Y})$ and $F = x_1 \otimes \eta_1 + \cdots + x_n \otimes \eta_n \in F(\mathcal{Y}, \mathcal{X})$ are arbitrary. If $\mathcal{U} \subseteq B(\mathcal{X}, \mathcal{Y})$, then let $\mathcal{U}^{\perp} := \{F \in F(\mathcal{Y}, \mathcal{X}); \langle S, F \rangle = 0 \text{ for all } S \in \mathcal{U}\}$ and, similarly, for $\mathcal{W} \subseteq F(\mathcal{Y}, \mathcal{X})$, let $\mathcal{W}_{\perp} := \{S \in B(\mathcal{X}, \mathcal{Y}); \langle S, F \rangle = 0 \text{ for all } F \in \mathcal{W}\}.$

For a positive integer k, let $F_k(\mathcal{Y}, \mathcal{X}) \subseteq F(\mathcal{Y}, \mathcal{X})$ be the subset of all operators from \mathcal{Y} to \mathcal{X} whose rank is at most k. Since $F_k(\mathcal{Y}, \mathcal{X})_{\perp} = \{0\}$ and $F_k(\mathcal{Y}, \mathcal{X})$ is closed under multiplication by the scalars, $(B(\mathcal{X}, \mathcal{Y}), F(\mathcal{Y}, \mathcal{X}), F_k(\mathcal{Y}, \mathcal{X}))$ satisfies the conditions of a reflexive triple (over \mathbb{C}) in the sense of [4]. Thus, for a linear subspace $S \subseteq B(\mathcal{X}, \mathcal{Y})$ we define the k-reflexive cover of S as $\operatorname{Ref}_k S := (S^{\perp} \cap$ $F_k(\mathcal{Y}, \mathcal{X}))_{\perp}$. The sets $\operatorname{Ref}_k S$ are linear subspaces of $B(\mathcal{X}, \mathcal{Y})$ closed in the weak operator topology. Of course, $S \subseteq \operatorname{Ref}_k S$. We shall say that S is k-reflexive if $S = \operatorname{Ref}_k S$. Clearly, the 1-reflexivity coincides with the notion of reflexivity. The reader is referred to [4] for details; especially for the relation to the classical notion of a reflexive algebra.

Let $S \subseteq B(\mathcal{X}, \mathcal{Y})$ be a weakly closed subspace such that $S = W_{\perp}$ with $W \subseteq F_k(\mathcal{Y}, \mathcal{X})$. Then $S^{\perp} \cap F(\mathcal{Y}, \mathcal{X}) = (W_{\perp})^{\perp} \cap F(\mathcal{Y}, \mathcal{X}) \supseteq W$ and consequently $\operatorname{Ref}_k S = (S^{\perp} \cap F(\mathcal{Y}, \mathcal{X}))_{\perp} \subseteq W_{\perp} = S$. It follows that S is *k*-reflexive. On the other hand, if S is *k*-reflexive, then $S = W_{\perp}$ with $W = S^{\perp} \cap F_k(\mathcal{Y}, \mathcal{X}) \subseteq F_k(\mathcal{Y}, \mathcal{X})$. Thus, S is *k*-reflexive if and only if there is a subset $W \subseteq F_k(\mathcal{Y}, \mathcal{X})$ such that $S = W_{\perp}$.

PROPOSITION 3.1. For arbitrary $A \in B(\mathcal{X})$, $B \in B(\mathcal{Y})$, and $e \in \mathcal{X}$, the subspace $I(A, B; e) \subseteq B(\mathcal{X}, \mathcal{Y})$ is 2-reflexive.

Proof. It is obvious that an operator $S \in B(\mathcal{X}, \mathcal{Y})$ satisfies SAe = BSe if and only if $\langle S, Ae \otimes \eta - e \otimes B^* \eta \rangle = 0$ holds for all $\eta \in \mathcal{Y}^*$. Thus, $I(A, B; e) = G(A, B; e)_{\perp}$, where $G(A, B; e) := \{Ae \otimes \eta - e \otimes B^* \eta; \eta \in \mathcal{Y}^*\} \subseteq F_2(\mathcal{Y}, \mathcal{X})$.

Let $S \subseteq B(X, Y)$ be a subspace and $T \in B(X, Y)$. For a positive integer k, define

$$\alpha_k(T,S) = \sup \Big\{ \inf_{A \in S} \sum_{i=1}^k \|Tx_i - Ax_i\|; x_1, \dots, x_k \in \mathcal{X}, \|x_1\| + \dots + \|x_k\| = 1 \Big\}.$$

In particular, for k = 1, we have $\alpha_1(T, S) = \alpha(T, S)$. The space S is said to be k-hyperreflexive if the seminorms dist (\cdot, S) and $\alpha_k(\cdot, S)$ are equivalent.

Denote by dist₁ the distance in the space \mathcal{Y}^k (the ℓ_1 -direct sum of k copies of \mathcal{Y}). We have

$$\begin{aligned} \alpha_{k}(T,\mathcal{S}) &= \sup_{\substack{x_{1},...,x_{k}\in\mathcal{X} \\ \|x_{1}\|+\cdots+\|x_{k}\|=1}} \operatorname{dist}_{1}((Tx_{1},...,Tx_{k}), \{(Ax_{1},...,Ax_{k}); A \in \mathcal{S}\}) \\ &= \sup_{\substack{x_{1},...,x_{k}\in\mathcal{X} \\ \|x_{1}\|+\cdots+\|x_{k}\|=1}} \sup_{\substack{y_{1}^{*}...,y_{k}^{*}\in\mathcal{Y}^{*} \\ \|y_{1}^{*}\| \leq 1,...,\|y_{k}^{*}\| \leq 1}} \left\{ \left|\sum_{i=1}^{k} \langle Tx_{i}, y_{i}^{*} \rangle\right|; \sum_{i=1}^{k} \langle Ax_{i}, y_{i}^{*} \rangle = 0 \text{ for all } A \in \mathcal{S} \right\} \\ &= \sup_{\substack{F \in F_{k}(\mathcal{Y},\mathcal{X}) \\ \|F\|_{1} \leq 1}} |\langle T, F \rangle|. \end{aligned}$$

Thus, this definition agrees with that given by Kliś and Ptak in [6] for Hilbert spaces.

Again, the notion of 1-hyperreflexivity coincides with that of hyperreflexivity.

THEOREM 3.2. For arbitrary $A \in B(\mathcal{X})$, $B \in B(\mathcal{Y})$, and $e \in \mathcal{X}$, the subspace $I(A, B; e) \subseteq B(\mathcal{X}, \mathcal{Y})$ is 2-hyperreflexive.

Proof. If *e* is an eigenvector of *A*, then I(*A*, *B*; *e*) is even hyperreflexive, by Proposition 2.1. Let $T \in B(\mathcal{X}, \mathcal{Y})$ be arbitrary. By Lemma 2.2, there is a constant k > 0 such that dist $(T, I(A, B; e)) \leq k ||TAe - BTe||$. On the other hand, let $y^* \in \mathcal{Y}^*$ satisfy $||y^*|| = 1$ and $\langle TAe - BTe, y^* \rangle = ||TAe - BTe||$. We have

$$\begin{aligned} \alpha_2(T, \mathbf{I}(A, B, e)) &\ge \|Ae \otimes y^* - e \otimes B^* y^*\|_1^{-1} |\langle T, Ae \otimes y^* - e \otimes B^* y^* \rangle| \\ &\ge ((\|A\| + \|B\|)\|e\|)^{-1} |\langle TAe - BTe, y^* \rangle| \\ &= ((\|A\| + \|B\|)\|e\|)^{-1} \|TAe - BTe\|. \end{aligned}$$

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