

ON THE LIE IDEALS OF C^* -ALGEBRAS

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ABSTRACT. Various questions on Lie ideals of C^* -algebras are investigated. They fall roughly under the following topics: relation of Lie ideals to closed two-sided ideals; Lie ideals spanned by special classes of elements such as commutators, nilpotents, and the range of polynomials; characterization of Lie ideals as similarity invariant subspaces.

KEYWORDS: *Lie ideals, C^* -algebras, commutators, nilpotents, polynomials, similarity invariant subspaces.*

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INTRODUCTION

This paper deals with Lie ideals in C^* -algebras. Like other investigations on this topic ([5], [16]), we use, and take inspiration from, Herstein's work on the Lie ideals of semiprime rings. The abundance of semiprime ideals in a C^* -algebra—e.g., the norm-closed ideals—plus a number of C^* -algebra techniques—approximate units, polar decompositions, functional calculus—make it possible to further develop the results of the purely algebraic setting in the C^* -algebraic setting.

The contributions in the present paper, though varied, revolve around the following themes: the commutator equivalence of Lie ideals to two-sided ideals; the study of Lie ideals generated by special elements such as nilpotents and projections and by the range of polynomials; the characterization of Lie ideals as subspaces invariant by similarities. These topics have been studied before, and this paper is a direct beneficiary of works such as [5], [6] and [14].

A selection of results in this paper follows: Let A be a C^* -algebra. We show below that the following are true:

- (i) The closed two-sided ideal generated by the commutators of A is also the C^* -algebra generated by the commutators of A (Theorem 1.3).
- (ii) The closure of the linear span of the square zero elements agrees with the closure of the linear span of the commutators. If A is unital and without

1-dimensional representations, then the linear span of the square zero elements agrees with the linear span of the commutators (Corollary 2.3 and Theorem 4.2).

(iii) If A is unital and has no bounded traces and f is a nonconstant polynomial in noncommuting variables with coefficients in \mathbb{C} , then there exists N such that every element of A is a linear combination of at most N values of f on A . If $f(\mathbb{C}) = \{0\}$ (e.g., $f(x, y) = [x, y]$), then there exist C^* -algebras where the least such N can be arbitrarily large (Corollary 3.10 and Example 3.11).

(iv) If A is unital and either simple, or without bounded traces, or a von Neumann algebra, then a subspace U of A is a Lie ideal of A if and only if $(1 + x)U(1 - x) \subseteq U$ for all square zero elements x in A (Corollaries 4.3 and 4.6).

1. FROM PURE ALGEBRA TO C^* -ALGEBRAS

Let us fix some notation:

Throughout the paper A denotes a C^ -algebra.*

Let x and y be elements in A . Then $[x, y]$ denotes the element $xy - yx$ (the commutator of x and y). Let X and Y be subsets of A . Then $X + Y$, XY , and $[X, Y]$ denote the linear spans of the elements of the form $x + y$, xy , and $[x, y]$, with $x \in X$ and $y \in Y$, respectively. The linear span of X is denoted by $\text{span}(X)$. The C^* -algebra and the closed two-sided ideal generated by X are denoted by $C^*(X)$ and $\text{Id}(X)$, respectively. (For the 2-sided ideal algebraically generated by X we simply write AXA .) From the identity $[xy, a] = [x, ya] + [y, ax]$, used inductively, we deduce that

$$(1.1) \quad [X^n, A] \subseteq [X, A]$$

for any set $X \subseteq A$ and all $n \in \mathbb{N}$. We sometimes refer to this fact as the “linearizing property of $[\cdot, A]$ ”.

A subspace L of A is called a Lie ideal if it satisfies that $[L, A] \subseteq L$. We will make frequent use of the following elementary lemma:

LEMMA 1.1. *Let L be a Lie ideal of A . Then $A[L, L]A \subseteq L + L^2$.*

Proof. We have $[[L, L], A] \subseteq [L, L]$, by Jacobi’s identity. Thus,

$$[L, L]A \subseteq A[L, L] + [[L, L], A] \subseteq A[L, L] + [L, L].$$

Multiplying by A on the left we get $A[L, L]A \subseteq A[L, L]$. Finally, from the identity $a[l_1, l_2] = [al_1, l_2] - [a, l_2]l_1$ we deduce that $A[L, L] \subseteq L + L^2$, as desired. ■

The following theorem of Herstein is the basis of many of our arguments in this section (it holds for semiprime rings without 2-torsion):

THEOREM 1.2 ([13], Theorem 1). *Let L be a Lie ideal of A . Then $[t, [t, L]] = 0$ implies $[t, L] = 0$ for all $t \in A$.*

Combining Herstein’s theorem and Lemma 1.1 we get the following theorem:

THEOREM 1.3. *The closed two-sided ideal generated by $[A, A]$ agrees with the C^* -algebra generated by $[A, A]$. In fact, $\text{Id}([A, A]) = \overline{[A, A]} + [A, A]^2$.*

Proof. Let $I = \text{Id}([A, A])$. Then $[[x, y], [[x, y], A/I]] = 0$ for all $x, y \in A/I$. Herstein’s theorem implies that $[[x, y], A/I] = 0$ for all $x, y \in A/I$. That is, $[[A/I, A/I], A/I] = 0$. Herstein’s theorem again implies that $[A/I, A/I] = 0$; i.e., $[A, A] \subseteq I$. On the other hand, $I \subseteq \overline{[A, A]} + [A, A]^2$, by Lemma 1.1. So,

$$\text{Id}([A, A]) \subseteq I \subseteq \overline{[A, A]} + [A, A]^2 \subseteq C^*([A, A]).$$

Since $C^*([A, A]) \subseteq \text{Id}([A, A])$, these inclusions must be equalities. ■

The following lemma is easily derived from the existence of approximately central approximate units for the closed two-sided ideals of A :

LEMMA 1.4 ([16], Lemma 1, [5], Proposition 5.25). *Let I be a closed two-sided ideal of A . Then*

$$\overline{[I, I]} = \overline{[I, A]} = I \cap \overline{[A, A]}.$$

Brešar, Kissin, and Shulman show in Theorem 5.27 of [5] that $\overline{[L, A]} = \overline{[\text{Id}([L, A]), A]}$ for any Lie ideal L of A . In the theorem below we give a short proof of this important theorem:

THEOREM 1.5. *Let L be a Lie ideal of A . Then*

- (i) $\text{Id}([L, A]) = \overline{[L, A]} + [L, A]^2$.
- (ii) $\overline{[\text{Id}([L, A]), A]} = \overline{[L, A]} = \overline{[[L, A], A]}$.

Proof. (i) We follow a line of argument similar to the proof of Theorem 1.3. Let $M = [L, A]$ and $I = \text{Id}([M, M])$. Let \tilde{L} and \tilde{M} denote the images of L and M in A/I by the quotient map. Then $[\tilde{M}, [\tilde{M}, A/I]] = 0$. By Herstein’s theorem, $[\tilde{M}, A/I] = 0$; i.e., $[[\tilde{L}, A/I], A/I]$. By Herstein’s theorem again, $[\tilde{L}, A/I] = 0$; i.e., $[L, A] \subseteq I$. On the other hand, $I \subseteq \overline{M + M^2} = \overline{[L, A]} + [L, A]^2$, by Lemma 1.1. So,

$$\text{Id}([L, A]) \subseteq I \subseteq \overline{[L, A]} + [L, A]^2 \subseteq C^*([L, A]).$$

Since $C^*([L, A]) \subseteq \text{Id}([L, A])$, all these inclusions must be equalities.

(ii) By (i) and the linearizing property of $[\cdot, A]$ recalled in (1.1), we have that

$$[\text{Id}([L, A]), A] = \overline{[[L, A] + [L, A]^2, A]} \subseteq \overline{[[L, A], A]}.$$

Thus, $\overline{[\text{Id}([L, A]), A]} \subseteq \overline{[[L, A], A]} \subseteq \overline{[L, A]}$. On the other hand,

$$[L, A] \subseteq \text{Id}([L, A]) \cap [A, A] \subseteq \overline{[\text{Id}([L, A]), A]},$$

(the second inclusion by Lemma 1.4). This completes the proof. ■

LEMMA 1.6. *Let L be a closed Lie ideal of A such that $\text{Id}(L) = \text{Id}([L, A])$ and $L \subseteq \overline{[A, A]}$. Then $L = \overline{[\text{Id}(L), A]}$.*

Proof. The inclusion $L \subseteq \overline{[\text{Id}(L), A]}$ follows from $L \subseteq \overline{[A, A]} \cap \text{Id}(L)$ and Lemma 1.4. As for the opposite inclusion, we have $\overline{[\text{Id}(L), A]} = \overline{[\text{Id}([L, A]), A]}$, by assumption, and $\overline{[\text{Id}([L, A]), A]} \subseteq L$, by Theorem 1.5. ■

The following is an improvement on Theorem 1.5(ii) obtained by the same technique:

THEOREM 1.7. *Let K and L be Lie ideals of A . Then $\overline{[K, L]} = \overline{[\text{Id}([K, L]), A]}$.*

Proof. Let $M = [K, L]$. Notice that M is again a Lie ideal (by Jacobi’s identity). We will deduce that $\overline{M} = \overline{[\text{Id}(M), A]}$ from the previous lemma. We clearly have that $\overline{M} \subseteq \overline{[A, A]}$. Let $I = \text{Id}([M, A])$ and let \tilde{K}, \tilde{L} , and \tilde{M} denote the images of K, L , and M in the quotient by this ideal. From $[\tilde{M}, A/I] = 0$ and $[\tilde{K}, \tilde{L}] = \tilde{M}$ we get that $[[\tilde{K}, \tilde{L}], \tilde{L}] = 0$. By Herstein’s theorem, $[\tilde{K}, \tilde{L}] = 0$; i.e. $M = [K, L] \subseteq I$. It follows that $\text{Id}(M) = \text{Id}([M, A])$. By Lemma 1.6, $M = \overline{[\text{Id}(M), A]}$, as desired. ■

REMARK 1.8. The arguments in Theorems 1.3, 1.5, and 1.7 rely crucially on the fact that the closed two-ideals of a C^* -algebra are semiprime. This makes it possible to apply Herstein’s theorem in the quotient by a closed two-sided ideal. Turning to non-closed Lie ideals, if we impose the semiprimeness of a suitable non-closed two-sided ideal at the outset, part of those same arguments still goes through. We may obtain in this way, for instance, the following result: *If L is a Lie ideal of A such that the two-sided ideal generated by $[[L, A], [L, A]]$ is semiprime then (i) $A[L, A]A = [L, A] + [L, A]^2$, and (ii) $[A[L, A]A, A] = [[L, A], A]$.* To get (i) we proceed as in Theorem 1.5(i): Setting $M = [L, A]$ and $I = A[M, M]A$ and applying Herstein’s theorem in A/I in much the same way as we did in Theorem 1.5(i) we arrive at $[L, A] \subseteq I$. We then have the inclusions $A[L, A]A \subseteq I \subseteq [L, A] + [L, A]^2$, which must in fact be equalities. To get (ii) we apply (i) and the linearizing property of $[\cdot, A]$:

$$[A[L, A]A, A] = [[L, A] + [L, A]^2, A] = [[L, A], A].$$

Next we discuss another variation on Theorem 1.5 for non-closed Lie ideals. This time we make use of the Pedersen ideal. Recall that the Pedersen ideal of a C^* -algebra is the smallest dense two-sided ideal of the algebra (see 5.6 of [17]). Given a C^* -algebra B , we denote its Pedersen ideal by $\text{Ped}(B)$.

LEMMA 1.9. *Let I be a closed two-sided ideal of A . Then*

$$[\text{Ped}(I), \text{Ped}(I)] = [\text{Ped}(I), A].$$

Proof. Let $P = \text{Ped}(I)$. The subspace P^2 is a dense two-sided ideal of I . Since P is the minimum such ideal, we must have that $P = P^2$. From $[P, A] = [P^2, A]$ and the identity $[xy, a] = [x, ya] + [y, ax]$ we get that $[P^2, A] \subseteq [P, P]$. ■

THEOREM 1.10. *Let L be a Lie ideal of A and let $P = \text{Ped}(\text{Id}([L, A]))$. Then*

$$[P, P] = [L, P] = [[L, A], P].$$

Furthermore, if $L \subseteq P$ then $[L, A] = [P, P]$.

Proof. In the course of proving Theorem 1.5 we have shown that $\text{Id}([L, A]) = \text{Id}([L, A], [L, A])$. Therefore, the two-sided ideal $A[[L, A], [L, A]]A$ is dense in $\text{Id}([L, A])$. Since P is the smallest such ideal, $P \subseteq A[[L, A], [L, A]]A$. Hence,

$$[P, P] \subseteq [A[[L, A], [L, A]]A, P] \subseteq [[L, A] + [L, A]^2, P] \subseteq [[L, A], P] \subseteq [L, P].$$

But $[L, P] \subseteq [P, P]$, by Lemma 1.9. Thus, the inclusions above must be equalities.

Suppose now that $L \subseteq P$. Then $[L, P] \subseteq [L, A] \subseteq [P, A] = [P, P]$, the latter equality by Lemma 1.9. Since $[L, P] = [P, P]$, these inclusions must be equalities. ■

COROLLARY 1.11. *Among the Lie ideals L such that $\overline{[L, A]} = \overline{[A, A]}$, the Lie ideal*

$$[\text{Ped}(\text{Id}([A, A])), \text{Ped}(\text{Id}([A, A]))]$$

is the smallest.

Proof. Let $P = \text{Ped}(\text{Id}([A, A]))$. Then

$$\begin{aligned} \overline{[P, P], A} &= \overline{[\text{Id}([A, A]), \text{Id}([A, A])], A} \\ &= \overline{[\text{Id}([A, A]), A], A} = \overline{[\text{Id}([A, A]), A]} = \overline{[A, A]}. \end{aligned}$$

The second equality holds by Lemma 1.4 and the third and fourth by Theorem 1.5. Thus, $[P, P]$ is a Lie ideal satisfying that $\overline{[L, A]} = \overline{[A, A]}$.

Suppose now that L is a Lie ideal such that $\overline{[L, A]} = \overline{[A, A]}$. By Theorem 1.10, $[P, P] = [L, P] \subseteq L$. So L contains $[P, P]$. ■

It seems possible that under some C^* -algebra regularity condition, such as A being pure (i.e. having almost unperforated and almost divisible Cuntz semi-group), it is the case that for every Lie ideal L there exists a two-sided—possibly non-closed—ideal I such that $[L, A] = [I, A]$ (in the language of [5], L and I are called commutator equal). At present, we do not even have an answer to the following question:

QUESTION 1.12. Is there a C^* -algebra A and a Lie ideal L of A , such that $[L, A] \neq [I, A]$ for all two-sided (possibly non-closed) ideals I of A ?

We turn now to Lie ideals of $[A, A]$. A linear subspace $U \subseteq A$ is called a Lie ideal of $[A, A]$ if $[U, [A, A]] \subseteq U$. Herstein’s Theorem 1.12 of [12] implies that if A is simple and unital then a Lie ideal of $[A, A]$ is automatically a Lie ideal of A (this holds for simple rings without 2-torsion). In Theorem 1.15 below we show that the simplicity assumption can be dropped for *closed* Lie ideals of $[A, A]$. The key of the argument is again to apply a theorem of Herstein (Lemma 1.14 below) in the quotient by a suitable closed two-sided ideal.

LEMMA 1.13. *Let U be a Lie ideal of $[A, A]$. Let $V = [U, U]$, $W = [V, V]$, and $X = [W, W]$. Then $A[X, X]A \subseteq [U, U] + [U, U]^2$.*

Proof. (Cf. Lemma 1.7 of [12].) In the following inclusions we make use of Jacobi's identity and the fact that U is a Lie ideal of $[A, A]$:

$$\begin{aligned} [[U, U], A] &\subseteq [U, [A, A]] \subseteq U, \\ [[U, U], [A, A]] &\subseteq [[U, [A, A]], U] \subseteq [U, U]. \end{aligned}$$

That is, $[V, A] \subseteq U$ and V is a Lie ideal of $[A, A]$. We deduce similarly that $[A, W] \subseteq V$ and that W and X are Lie ideals of $[A, A]$. Finally, since $V \subseteq [A, A]$ we have that $[V, V] \subseteq V$; i.e., $W \subseteq V$. We deduce similarly that $[X, X] \subseteq X$. Having made this preparatory remarks, we attack the lemma:

$$[X, X]A \subseteq A[X, X] + [[X, X], A] \subseteq A[X, X] + X \subseteq AX + X.$$

Hence, $A[X, X]A \subseteq AX = A[W, W]$. Using now that $a[w_1, w_2] = [aw_1, w_2] - [a, w_2]w_1$ we get that

$$A[W, W] \subseteq [A, W] + [A, W]W \subseteq V + VW \subseteq V + V^2.$$

Thus, $A[X, X]A \subseteq V + V^2$, as desired. ■

LEMMA 1.14. *Let U be a Lie ideal of $[A, A]$. If $[[U, U], A] = 0$ then $[U, A] = 0$.*

Proof. See Theorem 1.11 of [12] for the case of simple rings without 2-torsion. See Exercise 17, page 344 of [21] for the extension to semiprime rings without 2-torsion (e.g., C^* -algebras). ■

THEOREM 1.15. *A (norm) closed Lie ideal of $[A, A]$ is a Lie ideal of A .*

Proof. Let U be a closed Lie ideal of $[A, A]$. Consider the sets $V = [U, U]$, $W = [V, V]$ and $X = [W, W]$. Let $I = \text{Id}([X, X])$. Let \tilde{U} denote the image of U in A/I by the quotient map. Define \tilde{V} , \tilde{W} , and \tilde{X} similarly. Then $[\tilde{X}, \tilde{X}] = 0$, which, by Lemma 1.14, implies that $[\tilde{X}, A/I] = 0$. That is, $[[\tilde{W}, \tilde{W}], A/I] = 0$. Again by Lemma 1.14 we get that $[\tilde{W}, A/I] = 0$. That is, $[[\tilde{V}, \tilde{V}], A/I] = 0$. Two more applications of Lemma 1.14 then yield that $[\tilde{U}, A/I] = 0$. That is, $[U, A] \subseteq I$. Hence,

$$\text{Id}([U, A]) \subseteq I \subseteq \overline{[U, U] + [U, U]^2} \subseteq \text{Id}([U, U]).$$

In the second inclusion we have used Lemma 1.13. Since $\text{Id}([U, U]) \subseteq \text{Id}([U, A])$, all these must be equalities. Taking commutators with A and using (1.1) we get

$$\overline{[\text{Id}([U, A]), A]} = \overline{[[U, U] + [U, U]^2, A]} = \overline{[[U, U], A]} \subseteq U.$$

Lemma 1.4, on the other hand, implies that

$$[U, A] \subseteq \text{Id}([U, A]) \cap \overline{[A, A]} = \overline{[\text{Id}([U, A]), A]}.$$

Hence, $[U, A] \subseteq U$; i.e., U is a Lie ideal of A . ■

2. NILPOTENTS AND POLYNOMIALS

In this section we look at closed Lie ideals spanned by nilpotents and by the range of polynomials.

For each natural number $k \geq 2$ let N_k denote the set of nilpotent elements of A of order exactly k . Since the set N_k is invariant by unitary conjugation (and by similarity), the closed subspace $\overline{\text{span}(N_k)}$ is a Lie ideal of A (see [17] and Theorem 2.6 below).

The following lemma is surely well known:

LEMMA 2.1. *Every element of N_k is a sum of $k - 1$ commutators for all $k \geq 2$.*

Proof. Let x be a nilpotent of order at most k (i.e., in $\bigcup_{j \leq k} N_j$). Let $x = v|x|$ be the polar decomposition of x in A^{**} . Let $\tilde{x} = |x|^{1/2}v|x|^{1/2}$ (the Aluthge transform of x). Observe that $x = [v|x|^{1/2}, |x|^{1/2}] + \tilde{x}$. Also,

$$\tilde{x}^{k-1}(\tilde{x}^{k-1})^* = |x|^{1/2}x^{k-1}v^*(x^{k-2})^*|x|^{1/2} = 0,$$

where we have used that $|x|^{1/2}x^{k-1} = 0$ (since $|x|^{1/2} \in C^*(x^*x)$ and $(x^*x)x^{k-1} = 0$). Thus \tilde{x} is a nilpotent of order at most $k - 1$. Continuing this process inductively we arrive at the desired result. ■

For each $k \in \mathbb{N}$ let I_k denote the intersection of the kernels of all representations of A of dimension at most k . Notice that $I_1 = \text{Id}([A, A])$ and that $I_1 \supseteq I_2 \supseteq \dots$. It is not hard to show that I_k is the smallest closed two-sided ideal the quotient by which is a k -subhomogeneous C^* -algebra (i.e., one whose irreducible representations are at most k -dimensional).

THEOREM 2.2. $\overline{\text{span}(N_k)} = \overline{[I_{k-1}, A]}$ for all $k \geq 2$.

Proof. It is well known that $\text{Id}(N_k) = I_{k-1}$ (e.g., see Lemma 6.1.3 of [3]). We must then show that $\overline{\text{span}(N_k)} = \overline{[\text{Id}(N_k), A]}$. Let $I = \text{Id}([N_k, A])$. Let $x \in N_k$. Since $[x, A] \subseteq I$, the quotient map sends x to the center of A/I . But the center, being a commutative C^* -algebra, cannot contain nonzero nilpotents. Thus, $x \in I$. This shows that $N_k \subseteq \text{Id}([N_k, A])$. On the other hand, $N_k \subseteq [A, A]$ by Lemma 2.1. Thus, $\overline{\text{span}(N_k)} = \overline{[\text{Id}(N_k), A]}$ by Lemma 1.6. ■

COROLLARY 2.3. $\overline{\text{span}(N_2)} = \overline{[A, A]}$.

Proof. The previous theorem implies that $\overline{\text{span}(N_2)} = \overline{[\text{Id}([A, A]), A]}$. On the other hand, $\overline{[\text{Id}([A, A]), A]} = \overline{[A, A]}$, by Theorem 1.5(ii) applied with $L = A$. ■

The following corollary is merely a restatement of Corollary 2.3

COROLLARY 2.4. *A positive bounded functional on A is a trace if and only if it vanishes on N_2 .*

QUESTION 2.5. Is $[A, A] = \overline{\text{span}(N_2)}$? Is $\overline{\text{span}(N_2)}$ a Lie ideal?

We will return to these questions in Section 4.

Combining Corollary 2.3 and Theorem 1.15 of the previous section we can prove the following C^* -algebraic version of a theorem of Amitsur for simple rings ([1], Theorem 1):

THEOREM 2.6. *A closed subspace U of A is a Lie ideal if and only if $(1 + x)U(1 - x) \subseteq U$ for all $x \in N_2$.*

Proof. Say U is a Lie ideal. Let $u \in U$ and $x \in N_2$. Then

$$(1 + x)u(1 - x) = u + [x, u] + \frac{1}{2}[x, [x, u]] \in U.$$

Suppose now that $(1 + x)U(1 - x) \subseteq U$ for all $x \in N_2$. Let $u \in U$ and $x \in N_2$. Then

$$\begin{aligned} [x, u] - xux &= (1 + x)u(1 - x) - u \in U, \\ [x, u] + xux &= -(1 - x)u(1 + x) + u \in U. \end{aligned}$$

Hence $[u, x] \in U$. That is, $[U, N_2] \subseteq U$. Passing to the span of N_2 and taking closure we get from Corollary 2.3 that $[U, [A, A]] \subseteq U$. That is, U is a closed Lie ideal of $[A, A]$. By Theorem 1.15, U is a Lie ideal of A . ■

Let $f(x_1, \dots, x_n)$ be a polynomial in noncommuting variables with coefficients in \mathbb{C} . Let us denote by $f(A, \dots, A)$, or $f(A)$ for short, the range of f on A . (If A is non-unital we assume that f has no independent term.) Since the set $f(A)$ is invariant by similarity, $\overline{\text{span}(f(A))}$ is a Lie ideal. It is shown in Theorem 2.3 of [6] that even $\text{span}(f(A))$ is Lie ideal.

In the sequel by a polynomial we always understand a polynomial in noncommuting variables with coefficients in \mathbb{C} .

Recall that for each $k \in \mathbb{N}$ we let I_k denote the intersection of the kernels of all representations of A of dimension at most k . In the following theorem we use the conventions $I_0 = A$ and $M_0(\mathbb{C}) = \{0\}$. We regard every polynomial as an identity on $M_0(\mathbb{C})$. By a nonconstant polynomial we mean one with positive degree in at least one of its variables.

THEOREM 2.7. *Let f be a nonconstant polynomial. Suppose that $f(A) \subseteq \overline{[A, A]}$. Then $\overline{\text{span}(f(A))} = \overline{[I_k, A]}$, where $k \geq 0$ is the largest number such that f is an identity on $M_k(\mathbb{C})$ (such a number must exist since no polynomial is an identity on all matrix algebras).*

Proof. Let $I = \text{Id}([f(A), A])$. Then A/I is a subhomogeneous C^* -algebra, since it satisfies the (nontrivial) polynomial identity $[f(x_1, \dots, x_n), y]$ (see Proposition IV.1.4.6 of [4]). The range of f on A/I is both in the center of A/I and in $\overline{[A/I, A/I]}$, as $f(A) \subseteq \overline{[A, A]}$. But in a subhomogeneous C^* -algebra the center and the closure of the span of the commutators have zero intersection (since this is true in every finite dimensional representation). Hence, $f(A/I) = \{0\}$; i.e.,

$f(A) \subseteq I$. Thus, $\text{Id}(f(A)) = I = \text{Id}([f(A), A])$. By assumption, we also have that $f(A) \subseteq \overline{[A, A]}$. It follows that $\overline{\text{span}(f(A))} = \overline{[I, A]}$ by Lemma 1.6.

Let us now show that $I = I_k$, with $k \geq 0$ as in the statement of the theorem. Let $\pi : A \rightarrow M_l(\mathbb{C})$ be a representation of A with $l \leq k$. By assumption, $f(M_l(\mathbb{C})) = \{0\}$. Hence, $f(A) \subseteq \ker \pi$, and so $I = \text{Id}(f(A)) \subseteq \ker \pi$. Since, by definition, I_k is the intersection of the kernels of all such π , we get that $I \subseteq I_k$. To prove the opposite inclusion notice first that A/I must be a k -subhomogeneous C^* -algebra. For suppose that there exists an irreducible representation $\pi : A/I \rightarrow M_m(\mathbb{C})$, with $m > k$. Since f is an identity on A/I and π is onto, we get that f is an identity on $M_m(\mathbb{C})$. This contradicts our choice of k . Hence, every irreducible representation of A/I has dimension at most k ; i.e., A/I is k -subhomogeneous. Since I_k may be alternatively described as the smallest closed two-sided ideal the quotient by which is k -subhomogeneous, $I_k \subseteq I$. ■

Let s_k denote the standard polynomial in k noncommuting variables. That is,

$$s_k(x_1, \dots, x_k) = \sum_{\sigma \in S_k} \text{sign}(\sigma) x_{\sigma(1)} \cdots x_{\sigma(k)},$$

where S_k denotes the symmetric group on k elements. The Amitsur–Levitzky theorem states that s_{2k} is a polynomial identity of minimal degree on $M_k(\mathbb{C})$ [2]. Define $\pi_1(x, y) = [x, y]$ and

$$\pi_{k+1}(x_1, \dots, x_{2k+1}) = [\pi_k(x_1, \dots, x_{2k}), \pi_k(x_{2k+1}, \dots, x_{4k+1})]$$

for all $k \geq 1$. The following two special cases of the previous theorem are worth remarking upon:

COROLLARY 2.8. $\overline{\text{span}(\sigma_{2k}(A))} = \overline{[I_k, A]}$ and $\overline{\text{span}(\pi_k(A))} = \overline{[A, A]}$ for all $k \geq 1$.

Proof. Let $k \in \mathbb{N}$. It is well known that s_{2k} is expressible as a sum of commutators in the algebra of polynomials in $2k$ noncommuting variables. Hence, $s_{2k}(A) \subseteq [A, A]$. We can thus apply Theorem 2.7 to s_{2k} . By the Amitsur–Levitzky theorem, s_{2k} is a polynomial identity of $M_k(\mathbb{C})$ but not of $M_{k+1}(\mathbb{C})$. Thus, by Theorem 2.7, $\overline{\text{span}(\sigma_{2k}(A))} = \overline{[I_k, A]}$.

The polynomial π_k is an identity on \mathbb{C} but not on $M_2(\mathbb{C})$. (In fact, by Theorem 2 of [13], if π_k is a polynomial identity on a semiprime ring without 2-torsion then the ring must be commutative.) Thus, by Theorem 2.7, $\overline{\text{span}(\pi_k(A))} = \overline{[A, A]}$. ■

Let’s now give a characterization of the polynomials whose range is contained in $\overline{[A, A]}$. Following [6], we say that two polynomials f and g (in noncommuting variables, with coefficients in \mathbb{C}) are cyclically equivalent if $f - g$ is a sum of commutators in the ring $\mathbb{C}\langle X_1, X_2, \dots \rangle$ of polynomials in noncommuting variables. If a polynomial is cyclically equivalent to 0 then its range is clearly in $\overline{[A, A]}$. On the other hand, if A has no bounded traces then $A = \overline{[A, A]}$ (see

[8]) and so any polynomial has range in $\overline{[A, A]}$. The general case is a mixture of these two. In the following theorem we maintain the conventions that $I_0 = A$, $M_0(\mathbb{C}) = \{0\}$, and that every polynomial is an identity on $M_0(\mathbb{C})$.

THEOREM 2.9. *Let $k \geq 0$ be the smallest number such that the closed two-sided ideal I_k has no bounded traces (set $k = \infty$ if this is never the case). Let f be a nonconstant polynomial.*

- (i) *If $k = \infty$ then $f(A) \subseteq \overline{[A, A]}$ if and only if f is cyclically equivalent to 0.*
- (ii) *If $k < \infty$ then $f(A) \subseteq \overline{[A, A]}$ if and only if f is cyclically equivalent to a polynomial identity on $M_k(\mathbb{C})$.*

Proof. Let us first prove the forward implications. If f is cyclically equivalent to 0 then clearly $f(A) \subseteq \overline{[A, A]}$. Suppose that $k < \infty$ and that f is cyclically equivalent to a polynomial g which is an identity on $M_k(\mathbb{C})$. Then $g(A) \subseteq I_k$ and $I_k = \overline{[I_k, I_k]}$, since I_k has no bounded traces. Thus, $g(A) \subseteq \overline{[A, A]}$. But $(f - g)(A) \subseteq [A, A]$. Thus, $f(A) \subseteq \overline{[A, A]}$, as desired.

Let us suppose now that $f(A) \subseteq \overline{[A, A]}$. We will follow closely the proof of Theorem 4.5 in [6] where the result is obtained for the range of polynomials on matrix algebras. If the independent term of f is nonzero then $1 \in f(A) \subseteq \overline{[A, A]}$. Hence, A has no bounded traces; i.e., $k = 0$. Since, by convention, any polynomial is an identity on $M_0(\mathbb{C})$, we are done. Let us assume now that f has no independent term. Let $f = \sum_{i=1}^m f_i$ be the decomposition of f into multihomogeneous polynomials. Then, by the proof of Theorem 2.3 in [6], $f_i(A) \subseteq \text{span}(f(A))$ for all i . This reduces the proof to the case that f is multihomogeneous. We prove the theorem for multihomogeneous polynomials by induction on the smallest degree of its variables. Suppose that the degree of f on x_1 is 1. Then f is cyclically equivalent to a polynomial of the form $x_1g(x_2, \dots, x_n)$. Hence $Ag(A) \subseteq \overline{[A, A]}$, which in turn implies that $\text{Id}(g(A)) \subseteq \overline{[A, A]}$. If g is 0, then f is cyclically equivalent to 0 and we are done. If g is constant and nonzero, then $A = \text{Id}(g(A)) \subseteq \overline{[A, A]}$. That is, $A = \overline{[A, A]}$, $k = 0$, and f is an identity on $M_0(\mathbb{C})$; again we are done. If g is nonconstant then $\text{Id}(g(A)) = I_{k'}$ for some k' and furthermore g is an identity on $M_{k'}(\mathbb{C})$ (see the proof of Theorem 2.7). From $I_{k'} \subseteq \overline{[A, A]}$ and Lemma 1.4 we deduce that $I_{k'} = \overline{[I_{k'}, I_{k'}]}$. Hence, $I_{k'}$ has no bounded traces; i.e., $k' \geq k$. It follows that g is an identity on $M_k(\mathbb{C})$, and since $f = x_1g$, so is f . This completes the first step of the induction.

Suppose now that $f(x_1, \dots, x_n)$ is a multihomogeneous polynomial whose variable of smallest degree, x_n , has degree d , with $d > 1$. Consider the polynomial

$$\begin{aligned} &g(x_1, \dots, x_n, x_{n+1}) \\ &= f(x_1, \dots, x_{n-1}, x_n + x_{n+1}) - f(x_1, \dots, x_{n-1}, x_{n+1}) - f(x_1, \dots, x_n). \end{aligned}$$

Then $g(A) \subseteq \overline{[A, A]}$ and the degree of g on x_n is less than d . By induction, g is cyclically equivalent to a polynomial identity on $M_k(\mathbb{C})$ (if $k < \infty$) or cyclically

equivalent to 0 (if $k = \infty$). Since $f(x_1, \dots, x_n) = \frac{1}{2^d - 2} g(x_1, \dots, x_n, x_n)$, the same holds for f . ■

3. FINITE SUMS AND SUMS OF PRODUCTS

Recall the following basic fact: a dense two-sided ideal in a unital C^* -algebra must agree with the whole C^* -algebra (because it would intersect the ball of radius one centered at the unit, all whose elements are invertible). It follows that if A is unital and $A = \text{Id}(X)$ then $A = AXA$. Here we exploit this fact to obtain quantitative versions of some of the results from the previous sections.

THEOREM 3.1. *Let A be unital and let L be a Lie ideal of A such that $\text{Id}([L, A]) = A$. Suppose that L is linearly spanned by a set $\Gamma \subseteq A$; i.e., $L = \text{span}(\Gamma)$. Suppose furthermore that there exists $M \in \mathbb{N}$ such that for all $l \in \Gamma$ and $z \in A$ the commutator $[l, z]$ is a linear combination of at most M elements of the set Γ . The following are true:*

- (i) *There exists N such that every element of A is expressible as a linear combination of N elements of Γ and N products of two elements of Γ .*
- (ii) *There exists K such that every single commutator $[x, y]$ in A is expressible as a linear combination of K elements of Γ .*

Proof. We have shown that $\text{Id}([L, A]) = \text{Id}([L, L])$ in the proof of Theorem 1.5(i). (Indeed, after setting $I = \text{Id}([L, A], [L, A])$, we proceeded to show that $[L, A] \subseteq I$, which implies that $\text{Id}([L, A]) \subseteq I \subseteq \text{Id}([L, L])$. Clearly, these inclusions must be equalities.) Therefore, $A = \text{Id}([L, L]) = \text{Id}([\Gamma, \Gamma])$. Since A is unital, it is algebraically generated as a two-sided ideal by $[\Gamma, \Gamma]$. Hence,

$$1 = \sum_{i=1}^n x_i [k_i, l_i] y_i,$$

for some $x_i, y_i \in A$ and $k_i, l_i \in \Gamma$. Let $a \in A$. Then

$$a = \sum_{i=1}^n (ax_i) [k_i, l_i] y_i.$$

It suffices to show that each term of the sum on the right is a linear combination of a fixed number of elements of Γ and of products of two elements of Γ . We have the following identity (derived from the arguments in the proof of Lemma 1.1(i)):

$$x[l, m]y = [xyl, m] - [xy, m]l + [xm, [y, l]] - [x, [y, l]]m + [xl, [m, y]] - [x, [m, y]]l,$$

for all $x, y \in A$ and $l, m \in \Gamma$. Observe that each of the terms on the right side are of either one of the following forms: $[z, l]$, $[z, l]l'$, $[z, [z', l]]$, or $[z, [z', l]]l'$, where $z, z' \in A$ and $l, l' \in \Gamma$. Recall now that, by assumption, the commutators $[z, l]$, with $z \in A$ and $l \in \Gamma$, are expressible as linear combinations of at most M elements of Γ . This implies that elements of either one of the forms mentioned before are

linear combinations of either M or M^2 elements of Γ or products of two elements of Γ .

(ii) Let $x \in A$. By (i), $x = \sum_{i=1}^N \lambda_i l_i + \sum_{i=1}^N \mu_i m_i n_i$ for some scalars λ_i, μ_i and some $l_i, m_i, n_i \in \Gamma$. Let $y \in A$. Then,

$$[x, y] = \sum_{i=1}^N \lambda_i [l_i, y] + \sum_{i=1}^N \mu_i [m_i, n_i y] + \sum_{i=1}^N \mu_i [n_i, y m_i].$$

Appealing to the fact that every commutator of the form $[l, z]$, with $l \in \Gamma$ and $z \in A$ is a linear combination of at most M elements of Γ , we deduce that the right side is a linear combination of $3MN$ elements of Γ . ■

THEOREM 3.2. *Let A be unital and without 1-dimensional representations. Then there exists $N \in \mathbb{N}$ such that every element of A is expressible as a sum of the form*

$$\sum_{i=1}^N [a_i, b_i] + \sum_{i=1}^N [c_i, d_i] \cdot [c'_i, d'_i].$$

Proof. The quotient $A/\text{Id}([A, A])$ is a commutative C^* -algebra. If it were nonzero, it would have non-trivial 1-dimensional representations. But we have assumed that A has no 1-dimensional representations, Thus, $A = \text{Id}([A, A])$. The previous theorem is then applicable to $L = [A, A]$ and $\Gamma = \{[x, y] : x, y \in A\}$, yielding the desired result. ■

We can link the constant N in Theorem 3.2 to a certain notion of “divisibility” studied in [19]. A unital C^* -algebra A is called weakly $(2, N)$ -divisible if there exist $x_1, \dots, x_N \in N_2$ and $d_1, \dots, d_N \in A$ such that

$$1 = \sum_{i=1}^N d_i^* x_i^* x_i d_i.$$

(The definition of weakly $(2, N)$ -divisible in [19] is in terms of the Cuntz semi-group of A but can be seen to be equivalent to this one.) A unital C^* -algebra without 1-dimensional representations must be weakly $(2, N)$ -divisible for some N ([19], Corollary 5.4). This fact, combined with the following proposition, gives another proof of Theorem 3.2.

PROPOSITION 3.3. *If A is unital and weakly $(2, N)$ -divisible then every element of A is expressible as a sum of the form $\sum_{i=1}^N [a_i, b_i] + \sum_{i=1}^N [c_i, d_i] \cdot [c'_i, d'_i]$.*

Proof. Suppose that $1 = \sum_{i=1}^N d_i^* x_i^* x_i d_i$, with $x_i \in N_2$ for all i . Let $a \in A$. Then

$$a = \left(\sum_{i=1}^N d_i^* x_i^* x_i d_i \right) \cdot a = \sum_{i=1}^N [d_i^* x_i^*, x_i d_i a] + \sum_{i=1}^N x_i d_i a d_i^* x_i^*.$$

It thus suffices to show that xbx^* is a product of 2 commutators for all $x \in N_2$ and $b \in A$. Say $x = v|x|$ is the polar decomposition of x in A^{**} . Then $xbx^* = (xb|x|^{1/2}) \cdot |x|^{1/2}v^*$. But both $xb|x|^{1/2}$ and $|x|^{1/2}v^*$ belong to N_2 . (Let us prove this for the latter: We have $|x|^{1/2} \in C^*(x^*x) \subseteq \overline{|x|Ax}$. Multiplying by v on the left we get that $v|x|^{1/2} \in \overline{xAx}$. Since x is a square zero element, we deduce that $v|x|^{1/2}$, and its adjoint, are square zero elements as well.) By Lemma 2.1, both $xb|x|^{1/2}$ and $|x|^{1/2}v^*$ are commutators. ■

REMARK 3.4. If $1 \in B \subseteq A$ and B is weakly $(2, N)$ -divisible then so is A . This observation can be used to find upper bounds on N for specific examples (e.g., when B is a dimension drop C^* -algebra; see Example 3.12 of [19]).

Let $P \subseteq A$ denote the set of projections of A . Let us apply Theorem 3.1 to $\text{span}(P)$. To see that this is a Lie ideal, recall that the linear span of the idempotents is Lie ideal and that, by a theorem of Davidson (see paragraph after Theorem 4.2 of [15]), every idempotent is a linear combination of five projections. In Davidson’s theorem, the number of projections can be reduced to four:

LEMMA 3.5. *Every idempotent of A is a linear combination of four projections.*

Proof. Let $e \in A$ be an idempotent and let $p \in A$ denote its range projection. Then $e = p + x$, with $x \in pA(1 - p)$. Let us show that x is a linear combination of three projections. It suffices to assume that $\|x\| < \frac{1}{2}$. For each $x \in pA(1 - p)$ such that $\|x\| < \frac{1}{2}$ let us define

$$q(x) = \begin{pmatrix} \frac{1+\sqrt{1-4xx^*}}{2} & x \\ x^* & \frac{1-\sqrt{1-4x^*x}}{2} \end{pmatrix} \in \begin{pmatrix} pAp & pA(1-p) \\ (1-p)Ap & (1-p)A(1-p) \end{pmatrix}.$$

A straightforward computation shows that $q(x)$ is a projection and, furthermore, that

$$x = \frac{1+i}{4}q(x) + \frac{-1+i}{4}q(-x) - \frac{i}{2}q(ix). \quad \blacksquare$$

THEOREM 3.6. *Suppose that the C^* -algebra A is unital and that $\text{Id}([P, A]) = A$. The following are true:*

- (i) *There exists N such that every element of A is expressible as a linear combination of N projections and N products of two projections.*
- (ii) *There exists K such that every commutator $[x, y]$, with $x, y \in A$, is expressible as a linear combination of K projections.*

Proof. Both (i) and (ii) will follow once we show that Theorem 3.1 is applicable to the Lie ideal $\text{span}(P)$ and the generating set P . It suffices to show that a commutator of the form $[p, z]$, with p a projection, is a linear combination of projections with a uniform bound on the number of terms. But

$$[p, z] = (p + pz(1 - p)) - (p + (1 - p)zp),$$

where $p + pz(1 - p)$ and $p + (1 - p)zp$ are idempotents. Each of them is a linear combination of four projections by Lemma 3.5. ■

REMARK 3.7. If B is a unital C^* -subalgebra of A and $\text{Id}([P_B, B]) = B$, then

$$1 = \sum_{i=1}^n x_i [p_i, q_i] z_i,$$

for $x_i, y_i, z_i \in B$ and projections $p_i, q_i \in P_B$. It follows that the constants N and K that one finds for B following the proof of Theorem 3.1 applied to $L = \text{span}(P_B)$ also work for the C^* -algebra A . This observation can be used to obtain concrete estimates of these constants in cases where B is rather simple.

An element of a C^* -algebra is called full if it generates the C^* -algebra as a closed two-sided ideal. Recall also that a unital C^* -algebra is said to have real rank zero if its invertible selfadjoint elements are dense in the set of selfadjoint elements. By Theorem V.7.3 of [9], this is equivalent to asking that every hereditary C^* -subalgebra of A has an approximate unit consisting of projections.

COROLLARY 3.8. *Suppose that A is unital and either contains two full orthogonal projections or has real rank zero and no 1-dimensional representations. Then there exist N and K such that (i) and (ii) of the previous theorem hold for A .*

Proof. Let us show in both cases that $\text{Id}([P, A]) = A$.

Say p is a projection such that p and $1 - p$ are full; i.e. $A = \text{Id}(p) = \text{Id}(1 - p)$.

Then

$$A = \text{Id}(p) \cdot \text{Id}(1 - p) = \overline{pA(1 - p)A} = \text{Id}(pA(1 - p)).$$

On the other hand, $\text{Id}(pA(1 - p)) = \text{Id}([p, A])$. Indeed,

$$pA(1 - p) = [p, A](1 - p) \subseteq \text{Id}([p, A]),$$

and conversely

$$[p, A] = \{pa(1 - p) - (1 - p)ap : a \in A\} \subseteq \text{Id}(pA(1 - p)).$$

(We have $(1 - p)ap \in \text{Id}(pA(1 - p))$ since closed two-sided ideals are selfadjoint.) Hence, $A = \text{Id}(pA(1 - p)) = \text{Id}([p, A])$, as desired.

Suppose now that A has real rank zero and no 1-dimensional representations, i.e., $A = \text{Id}([A, A])$. Since $\text{Id}([A, A]) = \text{Id}(N_2)$ (where, as before, N_2 denotes the set of nilpotents of order two), $A = \text{Id}(N_2)$. Furthermore, since A is unital there exist $x_1, \dots, x_n \in N_2$ such that $A = \text{Id}(x_1, \dots, x_n)$, for it suffices to choose these elements such that $\sum_{i=1}^n a_i x_i b_i$ is invertible for some $a_i, b_i \in A$. Since A has real rank-zero, the hereditary subalgebras $\overline{x_i^* A x_i}$ have approximate units consisting of projections for all i . Using this, we can find projections $p_i \in \overline{x_i^* A x_i}$ for $i = 1, \dots, n$ such that $A = \text{Id}(p_1, \dots, p_n)$. We claim that p_i is Murray-von Neumann subequivalent to $1 - p_i$ for all i . To prove this, let $x_i = v_i |x_i|$ be the polar decomposition of x_i in A^{**} . Since $p_i \in \overline{x_i^* A x_i}$ we have $p_i \leq v_i^* v_i$. On the other hand,

$x_i^2 = 0$ implies that $v_i^*v_i$ and $v_iv_i^*$ are orthogonal projections. Hence, $v_iv_i^* \leq 1 - p_i$. It follows that $p_i = (p_iv_i^*)(v_iv_i^*)$ and $(v_iv_i^*)(p_iv_i^*) = v_iv_i^*v_i^* \leq v_iv_i^* \leq 1 - p_i$. This proves the claim. We now have that $\text{Id}(p_i) \subseteq \text{Id}(1 - p_i)$ for all $i = 1, \dots, n$. Hence,

$$\text{Id}(p_i) = \text{Id}(p_i) \cdot \text{Id}(1 - p_i) = \text{Id}(p_iA(1 - p_i)) = \text{Id}([p_i, A]),$$

for all $i = 1, \dots, n$. So $A = \text{Id}(p_1, \dots, p_n) = \text{Id}([p_1, A], \dots, [p_n, A])$, as desired. ■

Next we turn to the Lie ideals generated by polynomials already investigated in the previous section. As before, by a polynomial we understand a polynomial in noncommuting variables with coefficients in \mathbb{C} .

THEOREM 3.9. *Let $k \in \mathbb{N}$. Suppose that the C^* -algebra A is unital and has no representations of dimension less than or equal to k . Let f be a nonconstant polynomial such that $f(A) \subseteq \overline{[A, A]}$ and which is not a polynomial identity on $M_k(\mathbb{C})$. The following are true:*

- (i) *There exists N such that each element of A is expressible as a linear combination of N values of f on A and N products of two values of f on A .*
- (ii) *There exists K such that each commutator $[x, y]$ in A is expressible as a linear combination of K values of f on A .*

Proof. Both (i) and (ii) will follow from Theorem 3.1 applied to the Lie ideal $\text{span}(f(A))$, with generating set $f(A)$, once we show the hypotheses of that theorem are valid in this case.

Since all representations of A have dimension at least $k + 1$, we have $A = I_k$, where I_k is as defined in the previous section. Also, by the proof of Theorem 2.7, $\text{Id}(f(A)) = I_{k'}$, where k' is the largest number such that f is an identity on $M_{k'}(\mathbb{C})$. But f is not an identity on $M_k(\mathbb{C})$, so we must have that $k' \leq k$. Hence $\text{Id}(f(A)) = A$. Furthermore, as argued in the proof of Theorem 2.7, $\text{Id}([f(A), A]) = \text{Id}(f(A))$. Thus, $A = \text{Id}([f(A), A])$.

To complete the proof, it remains to show that there is a uniform bound on the number of terms expressing a commutator $[f(\bar{a}), y]$ as a linear combination of elements of $f(A)$. This is indeed true, and can be derived from the proof of Theorem 2.3 in [6] (showing that $\text{span}(f(A))$ is a Lie ideal). We only sketch the argument here: Say $f = \sum_{i=1}^m f_i$ is the decomposition of f into a sum of multihomogeneous polynomials. Then, as argued in the proof of Theorem 2.3 in [6], relying on Lemma 2.2 of [6], each evaluation $f_i(\bar{a})$ is expressible as a linear combination of at most $(d + 1)^n$ values of f . Here d is the maximum of the degrees of f on its variables and n the number of variables. It thus suffices to prove the desired result for each f_i , or put differently, to assume that f is multihomogeneous. If f is a constant polynomial then $[f(\bar{a}), y] = 0$ and the desired conclusion holds trivially. Let us assume that f is multihomogeneous and has nonzero degree. We can furthermore reduce ourselves to the multilinear case. For suppose that f has

degree $d > 1$ on x_n . Let

$$g(x_1, \dots, x_n, x_{n+1}) = f(x_1, \dots, x_{n-1}, x_n + x_{n+1}) - f(x_1, \dots, x_{n-1}, x_{n+1}) - f(x_1, \dots, x_n).$$

Then the degree of g on x_n is less than d and $f(x_1, \dots, x_n) = \frac{1}{2^d - 2} g(x_1, \dots, x_n, x_n)$. This reduces the proof to g . Continuing in this way, we arrive at a multilinear polynomial. Finally, if f is multilinear then the identity

$$[f(a_1, \dots, a_n), y] = f([a_1, y], \dots, a_n) + f(a_1, [a_2, y], \dots, a_n) + \dots + f(a_1, \dots, [a_n, y])$$

shows that there is a uniform bound on the number of terms expressing $[f(\bar{a}), y]$ as a linear combination of values of f . ■

A theorem of Pop ([18], Theorem 1) says that if A is unital and without bounded traces then there exists $M \in \mathbb{N}$ such every element of A is a sum of M commutators. Combining this with the previous theorem yields the following corollary:

COROLLARY 3.10. *Let A be unital and without bounded traces. Let f be a non-constant polynomial. Then there exists $N \in \mathbb{N}$ such that each element of A is expressible as a linear combination of N values of f on A .*

Proof. Since A has no bounded traces it has no finite dimensional representations. Hence $I_k = A$ for all $k \in \mathbb{N}$. Furthermore, $f(A) \subseteq A = \overline{[A, A]}$ by Pop’s theorem. Thus, by the preceding theorem, every commutator is a linear combination of K values of f . On the other hand, every element of A is a sum of M commutators (by Pop’s theorem). So every element of A is a linear combination of KM values of f . ■

In [7], Brešar and Klep reach the conclusion of the preceding corollary for $K(H)$ and $B(H)$ (the compact and bounded operators on a Hilbert space) and for certain rings obtained as tensor products.

Next we construct examples showing that if $f(\mathbb{C}) = \{0\}$ then the number N in Corollary 3.10 can be arbitrarily large. Taking $f(x, y) = [x, y]$ this shows that in Pop’s theorem the number of commutators can be arbitrarily large. Taking $f(x_1, \dots, x_6) = [x_1, x_2] + [x_3, x_4] \cdot [x_5, x_6]$ this shows that the N in Theorem 3.2 can be arbitrarily large as well.

EXAMPLE 3.11. Let f be a polynomial in n noncommuting variables such that $f(\mathbb{C}) = \{0\}$. Let $K \in \mathbb{N}$. We will construct a C^* -algebra A , unital and without bounded traces, and an element $e \in A$ not expressible as a linear combination of K values of f . Let S^2 denote the 2-dimensional sphere. Let $\eta \in M_2(C(S^2))$ be a rank one non-trivial projection (i.e, one not Murray–von Neumann equivalent to a constant rank one projection). Choose $N \geq 2Kn$. Let $\eta_N = \eta^{\otimes N} \in M_{2N}(C((S^2)^N))$. It is well know that the vector bundle associated to $\eta_N^{\otimes N}$ has non-trivial Euler class. In particular, any N sections of the vector bundle associated to η_N have a common vanishing point.

Let $X = \prod_{i=1}^{\infty} (S^2)^N$. Let 1_X denote the unit of $C(X)$. Let e, p , and q be projections in $C(X, B(\ell^2(\mathbb{N})))$ defined as follows:

$$\begin{aligned} e &= \text{diag}(1_X, 0, 0, \dots), \\ q(x_1, x_2, \dots) &= \text{diag}(0, \eta_N(x_1), \eta_N(x_2), \dots), \\ p(x_1, x_2, \dots) &= \text{diag}(1_X, \eta_N(x_1), \eta_N(x_2), \dots), \end{aligned}$$

where $x_i \in (S^2)^N$ for all $i = 1, 2, \dots$. The following facts are known (see Théorème 6 of [10] and Section 4 of [20]):

- (i) $q^{\oplus N+1}$ is a properly infinite projection (i.e., $q^{\oplus N+2}$ is Murray–von Neumann subequivalent to $q^{\oplus N+1}$),
- (ii) e is not Murray–von Neumann subequivalent to $q^{\oplus N}$. Thus, for any N elements of $qC(X, B(\ell^2(\mathbb{N})))e$ (i.e., “sections” of q) there exists $x \in X$ on which they all vanish.

Since $p = e \oplus q$, we have that $p^{\oplus N+1}$ is also a properly infinite projection. Let us define $A = pC(X, B(\ell^2(\mathbb{N})))p$. Notice first that A cannot have bounded traces, since its unit is stably properly infinite. Let us show that $e \in A$ cannot be approximated within a distance less than one by a linear combination of K elements of $f(A)$. Suppose, for the sake of contradiction, that

$$\left\| e - \sum_{i=1}^K \lambda_i f(\bar{a}_i) \right\| < 1.$$

Multiplying by e on the left and on the right we get

$$(3.1) \quad \left\| e - \sum_{i=1}^K \lambda_i e f(\bar{a}_i) e \right\| < 1.$$

Say $\bar{a}_i = (a_{i,1}, \dots, a_{i,n})$ for $i = 1, \dots, K$. Since $p = e \oplus q$, we may regard each $a_{i,j} \in A$ as an “ $e \times q$ ” matrix:

$$a_{i,j} = \begin{pmatrix} b_{i,j} & c_{i,j} \\ d_{i,j} & e_{i,j} \end{pmatrix} \in \begin{pmatrix} eC(X, B(\ell^2))e & eC(X, B(\ell^2))q \\ qC(X, B(\ell^2))e & qC(X, B(\ell^2))q \end{pmatrix}$$

for all $i = 1, \dots, K$ and $j = 1, \dots, n$. Since $N \geq 2nK$, there exists $x \in X$ such that $c_{i,j}(x) = d_{i,j}(x) = 0$ for all i, j . But $eC(X, B(\ell^2))e \cong \mathbb{C}$ and $f(\mathbb{C}) = 0$. So $ef(\bar{a}_i(x))e = f(\bar{b}_i(x)) = 0$ for all $i = 1, \dots, K$. Evaluating at $x \in X$ in (3.1) we then get $\|e(x) - 0\| < 1$, which is clearly impossible.

REMARK 3.12. The previous example shows also that the existence of a unit cannot be dropped neither in Theorem 3.2 nor in Corollary 3.10. Indeed, consider $A = \bigoplus_{N=1}^{\infty} A_N$, with A_N as in the example above. Then A has no bounded traces (whence no 1-dimensional representations) but $A \neq \text{span}(f(A))$ for any polynomial f in noncommuting variables such that $f(\mathbb{C}) = 0$.

4. SIMILARITY INVARIANCE AND THE SPAN OF N_2

Let $U \subseteq A$ be a linear subspace. In this section we investigate the equivalence between the following two properties of U :

- (i) $(1+x)U(1-x) \subseteq U$ for all $x \in N_2$,
- (ii) U is a Lie ideal.

We have seen in Theorem 2.6 that if U is closed then (i) and (ii) are indeed equivalent. Furthermore, the proof of (ii) \Rightarrow (i) in Theorem 2.6 is valid for any subspace U of A . Thus, we are interested in the implication (i) \Rightarrow (ii) when U is not necessarily closed. In the closed case, the proof of (i) \Rightarrow (ii) in Theorem 2.6 can be split into two steps: In the first step we showed that U is a Lie ideal of $[A, A]$. This was done as follows: (i) readily implies that $[U, N_2] \subseteq U$. Then using that $[A, A] \subseteq \overline{\text{span}(N_2)}$ (by Corollary 2.3) and that U is closed, we arrived at $[U, [A, A]] \subseteq U$. In the second step we appealed to Theorem 1.15, showing that a closed Lie ideal of $[A, A]$ is a Lie ideal of A .

Let us first address the passage from $[U, N_2] \subseteq U$ to $[U, [A, A]] \subseteq U$ in the non-closed case. Let A_+ denote the positive elements of A . Let us define

$$N_2^c = \{x \in A : xe = fx = x \text{ for some } e, f \in A_+ \text{ such that } ef = 0\}.$$

One readily checks that $N_2^c \subseteq N_2$. Let us show that N_2^c is dense in N_2 . Let $x \in N_2$. Observe that for each $\phi \in C_0(0, 1]$ we have $\phi(|x|)\phi(|x^*|) = 0$, since $\phi(|x|) \in C^*(x^*x)$ and $\phi(|x^*|) \in C^*(xx^*)$. Let us choose $\phi_1, \phi_2, \dots \in C_0(0, 1]$, an approximate unit of $C_0(0, 1]$ such that $\phi_{n+1}\phi_n = \phi_n$ for all n . Then $\phi_n(|x^*|)x\phi_n(|x|) \in N_2^c$ for all n , since we can set $e = \phi_{n+1}(|x|)$ and $f = \phi_{n+1}(|x^*|)$. Furthermore, $\phi_n(|x^*|)x\phi_n(|x|) \rightarrow x$. Thus, N_2^c is dense in N_2 .

Let us define

$$SN_2^c = \bigcup_{x \in N_2 \cup \{0\}} (1+x)N_2^c(1-x).$$

Notice that we still have $SN_2^c \subseteq N_2$.

LEMMA 4.1. $\text{span}(SN_2^c)$ is a Lie ideal.

Proof. It suffices to show that $[A, x] \subseteq \text{span}(SN_2^c)$ for all $x \in N_2^c$. For then, conjugating by the algebra automorphism $a \mapsto (1+y)a(1-y)$, with $y \in N_2$, and using the invariance of SN_2^c under such automorphisms, we get that $[A, (1+y)x(1-x)] \subseteq \text{span}(SN_2^c)$ for all $x \in N_2^c$ and $y \in N_2$, as desired.

Let $x \in N_2^c$. Let e and f be positive elements such that $xe = fx = x$ and $ef = 0$. Using functional calculus on e , let us find positive contractions $e_0, e_1, e_2, e_3 \in C^*(e)$ such that $e_0e_1 = e_1$, $e_1e_2 = e_2$, $e_2e_3 = e_3$ and $xe_3 = x$. Similarly, let us find positive contractions $f_0, f_1, f_2, f_3 \in C^*(f)$ such that $f_0f_1 = f_1$, $f_1f_2 = f_2$, $f_2f_3 = f_3$ and $f_3x = x$. Note that $xe_i = f_jx = x$ and $e_if_j = 0$ for all $i, j = 0, 1, 2, 3$. Now let $a \in A$. Then

$$ax - xa = ax - e_1ax + e_1ax - xaf_1 + xaf_1 - xa = (1-e_1)ax + [e_1af_1, x] - xa(1-f_1).$$

The term $(1 - e_1)ax$ is in N_2^c . Indeed, $1 - e_2$ and e_3 act as multiplicative units on the left and on the right of $(1 - e_1)ax$ and $(1 - e_2)e_3 = 0$. We check similarly that $xa(1 - f_1)$ is in N_2^c . As for $[e_1af_1, x]$ (a commutator of elements in N_2^c), we have that

$$[e_1af_1, x] = (1 + e_1af_1)x(1 - e_1af_1) + (e_1af_1)x(e_1af_1) - x.$$

The first term on the right belongs to SN_2^c . The other two have multiplicative units e_0 and f_0 on the left and on the right and thus belong to N_2^c . ■

The following theorem answers Question 2.5 affirmatively when A is unital and without 1-dimensional representations.

THEOREM 4.2. *Suppose that A has no 1-dimensional representations. Then*

$$\text{span}(SN_2^c) = [\text{Ped}(A), \text{Ped}(A)].$$

If in addition A is unital, then $\text{span}(N_2) = [A, A]$. Furthermore, in the unital case there exists $K \in \mathbb{N}$ such that every single commutator $[x, y]$ in A is a sum of at most K square zero elements.

Proof. Let $P = \text{Ped}(A)$. Let us first show that $SN_2^c \subseteq [P, P]$. By the similarity invariance of $[P, P]$, it suffices to show that $N_2^c \subseteq [P, P]$. Let $x \in N_2^c$ and let $e, f \in A_+$ be such that $xe = x = fx$ and $ef = 0$. From the description of the Pedersen ideal in Theorem 5.6.1 of [17] we know that $g(e) \in P$ for any $g \in C_0(0, \infty)_+$ of compact support, and since $xg(e) = xg(1)$, we deduce that $x \in P$. Hence, $x = [x, e] \in [P, A]$. Since $[P, A] = [P, P]$ by Lemma 1.9, $x \in [P, P]$. This shows that $\text{span}(SN_2^c) \subseteq [P, P]$. Notice now that

$$\overline{[\text{span}(SN_2^c), A]} = \overline{[\text{span}(N_2), A]} = \overline{[[A, A], A]} = \overline{[A, A]}.$$

But $[P, P]$ is the smallest Lie ideal such that $\overline{[L, A]} = \overline{[A, A]}$, by Corollary 1.11. (To apply Corollary 1.11 we have used that $\text{Id}([A, A]) = A$, since A has no 1-dimensional representations.) Thus, $[P, P] \subseteq \text{span}(SN_2^c)$.

Let us now assume that A is unital. In this case $P = A$, so $[A, A] = \text{span}(SN_2^c)$. But $\text{span}(SN_2^c) \subseteq \text{span}(N_2) \subseteq [A, A]$. Thus, $\text{span}(N_2) = [A, A]$.

To deduce the existence of K we will apply Theorem 3.1 to the Lie ideal $[A, A]$, with generating set SN_2^c . Notice first that $\text{Id}([A, A], A) = \text{Id}([A, A]) = \text{Id}(A)$, since A has no 1-dimensional representations. It remains to show that there is a uniform bound on the number of terms expressing a commutator of the form $[x, a]$, with $x \in SN_2^c$ and $a \in A$, as a linear combination of elements of SN_2^c . The proof of Lemma 4.1 shows that such commutators are sums of at most five elements of SN_2^c . ■

For infinite von Neumann algebras, the following corollary is Theorem 2 of [16]. (Miers also considered closed subspaces of von Neumann algebras, which we have already dealt with in Theorem 2.6.)

COROLLARY 4.3. *Suppose that A is either unital and without bounded traces or a von Neumann algebra. Then a subspace U of A is a Lie ideal if and only if $(1 + x)U(1 - x) \subseteq U$ for all $x \in N_2$.*

Proof. That a Lie ideal satisfies the similarity invariance of the statement has already been shown in the proof of Theorem 2.6. So let us suppose that U is a subspace such that $(1 + x)U(1 - x) \subseteq U$ for all $x \in N_2$. As remarked at the start of this section, this implies that $[U, N_2] \subseteq U$. Let us consider first the case that A is unital and without bounded traces. Then $\text{span}(N_2) = [A, A]$, since A is unital and has no 1-dimensional representations (since it has no bounded traces). Thus, $[U, [A, A]] \subseteq U$. Furthermore, $[A, A] = A$, by Pop’s theorem. Hence, $[U, A] \subseteq U$; i.e., U is a Lie ideal.

Suppose now that A is a von Neumann algebra. Let us show again that $\text{span}(N_2) = [A, A]$ and that if U is a Lie ideal of $[A, A]$ then it is a Lie ideal of A . The latter is Lemma 3 of [16] and can be proven as follows: In a von Neumann algebra we have $A = Z(A) + [A, A]$, where $Z(A)$ denotes the center of A (if A is infinite, because $A = [A, A]$, and if A is finite, by Theorem 3.2 of [11]); so $[U, A] = [U, [A, A]]$ for any subset U of A . Let us now show that $\text{span}(N_2) = [A, A]$. The ideal $\text{Id}([A, A])$ is also a von Neumann algebra. (If p is the unit of the type I_1 direct summand of A , then $\text{Id}([A, A]) = (1 - p)A$; see Section 2.2 of [22]). Thus, $\text{Id}([A, A])$ is unital and without 1-dimensional representations. Hence,

$$\text{span}(N_2) = [\text{Id}([A, A]), \text{Id}([A, A])] \supseteq [[A, A], [A, A]].$$

From $A = [A, A] + Z(A)$ we get that $[A, A] = [[A, A], [A, A]]$. Hence, $\text{span}(N_2) = [A, A]$. ■

The passage from U being a Lie ideal of $[A, A]$ to being a Lie ideal of A can also be made assuming that A is unital and that $[U, A]$ is full:

LEMMA 4.4. *Suppose that A is unital. If U is a Lie ideal of $[A, A]$ such that $\text{Id}([U, A]) = A$ then $[A, A] \subseteq U$ (so U is a Lie ideal of A).*

Proof. Let $V = [U, U]$, $W = [V, V]$, and $X = [W, W]$. We have shown in the proof of Theorem 1.15 that $\text{Id}([U, A]) = \text{Id}([X, X])$. So $A = \text{Id}([X, X])$. Since A is unital, the set $[X, X]$ generates A algebraically as a two-sided ideal. But $A[X, X]A \subseteq [U, U] + [U, U]^2$, by Lemma 1.13. Hence, $A = [U, U] + [U, U]^2$. Then,

$$[A, A] = [[U, U] + [U, U]^2, A] = [[U, U], A] \subseteq [U, [U, A]] \subseteq U. \quad \blacksquare$$

THEOREM 4.5. *Suppose that A is unital and without 1-dimensional representations. Let U be a subspace of A such that $\text{Id}([U, A]) = A$. If $(1 + x)U(1 - x) \subseteq U$ for all $x \in N_2$ then $[A, A] \subseteq U$.*

Proof. The similarity invariance of U implies that $[U, N_2] \subseteq U$ and by Theorem 4.2 we get that $[U, [A, A]] \subseteq U$. The previous lemma then shows that $[A, A] \subseteq U$. ■

COROLLARY 4.6. *Let A be simple and unital. A subspace U of A is a Lie ideal if and only if $(1+x)U(1-x) \subseteq U$ for all $x \in N_2$.*

Proof. Since A is simple we have either $\text{Id}([U, A]) = 0$ or $\text{Id}([U, A]) = A$. If $\text{Id}([U, A]) = 0$ then U is a subset of the center, which by the simplicity of A is \mathbb{C} . If $\text{Id}([U, A]) = A$ then by the previous theorem $[A, A] \subseteq U$. In either case it follows that U is a Lie ideal of A . ■

Amitsur's Theorem 1 of [1] (that a similarity invariant subspace of a simple algebra must be a Lie ideal) requires the existence of a nontrivial idempotent in the algebra. An example in [1] shows that this hypothesis cannot be dropped. Corollary 4.6 shows, however, that for simple unital C^* -algebras this assumption is not necessary (even though they may well fail to have any nontrivial idempotents).

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