

## SHIFTS ON THE HYPERFINITE FACTOR OF TYPE II<sub>1</sub>

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### 0. INTRODUCTION

Following Powers, we call a shift on the hyperfinite II<sub>1</sub>-factor  $R$  a unit-preserving  $*$ -endomorphism  $\sigma$  of  $R$  such that  $\bigcap_{k=1}^{\infty} \sigma^k(R) = \mathbf{C}$ . We introduce a class of shifts, which we call group shifts, constructed by realizing  $R$  as a twisted group von Neumann algebra on a discrete abelian group. We obtain an intrinsic characterization of such shifts, and, for those satisfying  $\sigma(R)' \cap R = \mathbf{C}$ , a classification up to conjugacy. We then examine in detail the special case of the group  $\bigoplus_{i=0}^{\infty} \mathbf{Z}_n^{(i)}$  with the canonical shift, thereby unifying and generalizing results of R. Powers, G. Price and M. Choda.

In Section 1 we give the details of the construction of a group shift given a discrete abelian group  $G$ , a shift  $s$  on  $G$ , and an  $s$ -invariant 2-cocycle  $\omega$  on  $G$ . In Section 2 we give first an intrinsic characterization of group shifts (Proposition 2.1); secondly, when  $\sigma(R)' \cap R = \mathbf{C}$ , we determine the normalizer of  $\sigma$  (Proposition 2.2); finally we classify such  $\sigma$  (up to conjugacy) in terms of  $G$ ,  $s$ ,  $\omega$  (Proposition 2.5).

In Sections 3 and 4 we study  $n$ -shifts: group shifts with  $G = \bigoplus_{i=0}^{\infty} \mathbf{Z}_n^{(i)}$  and  $s$  the canonical shift on  $G$ . For  $n = 2$  these are the binary shifts of [5] and [6]; for general  $n$  these include the  $n$ -unitary shifts of [1]. In Section 3 we obtain a necessary and sufficient condition for  $W^*(G, \omega)$ , the twisted group von Neumann algebra determined by the group  $G$  and cocycle  $\omega$ , to be a factor (Proposition 3.1). This result was proved for  $n = 2$  in [6]. And in [7], a preprint which we received during the final preparations of this paper, G. Price proves a result equivalent to our Proposition 3.1 by somewhat different methods. In Section 4 we obtain an intrinsic characterization for  $n$ -shifts (Proposition 4.1) and we find an explicit conjugacy invariant when  $n$  is square-free (Proposition 4.4). In Section 5 we conclude with some simple examples of shifts with integer index which are not group shifts, and of group shifts of finite index  $n$  which are not  $n$ -shifts.

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1. GROUP SHIFTS

In this section we construct certain shifts of the hyperfinite  $II_1$ -factor  $R$  by realizing  $R$  as a twisted group von Neumann algebra. First let us recall some definitions.

DEFINITION 1.1. ([5]). A shift  $\sigma$  of a unital  $C^*$ -algebra  $A$  is a  $*$ -endomorphism of  $A$  such that  $\sigma(1) = 1$  and  $\bigcap_{k=1}^{\infty} \sigma^k(A) = \mathbb{C}$ .

DEFINITION 1.2. A shift  $s$  of a group  $G$  is an one-to-one endomorphism of  $G$  such that  $\bigcap_{k=1}^{\infty} s^k(G) = \{e\}$ .

In the following let  $G$  be a discrete abelian group,  $\omega$  a normalized 2-cocycle of  $G$  with values in the unit circle  $\mathbb{T}$  and  $s$  a shift of  $G$ . Assume  $s$  is compatible with  $\omega$ , that is  $\omega(s(g), s(h)) = \omega(g, h)$ , for  $g, h \in G$ . The (reduced) twisted group  $C^*$ -algebra of  $G$ ,  $C^*(G, \omega)$ , is the  $C^*$ -algebra generated by the left regular projective representation of  $G$ ,  $g \rightarrow U_g$ , associated with  $\omega$  on  $\ell^2(G)$ . These unitaries  $U_g$  satisfy the relation

$$(1.1) \quad U_g U_h = \omega(g, h) U_{gh}, \quad g, h \in G.$$

The weak closure of  $C^*(G, \omega)$  is the (reduced) twisted group von Neumann algebra of  $G$ ,  $W^*(G, \omega)$ . The shift  $s$  of  $G$  induces a  $*$ -endomorphism  $\sigma$  of  $W^*(G, \omega)$ , as well as of  $C^*(G, \omega)$ , by  $\sigma(U_g) = U_{s(g)}$ ,  $g \in G$ . If  $H$  is a subgroup of  $G$ ,  $W^*(H, \omega|_H)$  can be identified in a natural way with the von Neumann subalgebra of  $W^*(G, \omega)$  generated by  $\{U_g : g \in H\}$ .

PROPOSITION 1.1.  $\sigma$  is a shift of  $W^*(G, \omega)$  as well as of  $C^*(G, \omega)$ .

*Proof.* It is obvious that  $\sigma^k(W^*(G, \omega)) = W^*(s^k(G), \omega)$ . If  $\{H_i\}$ ,  $i \in I$ , is a family of subgroups of  $G$ , then  $\bigcap_i W^*(H_i, \omega) = W^*(\bigcap_i H_i, \omega)$  which follows from  $\bigcap_i \ell^2(H_i) = \ell^2(\bigcap_i H_i)$ . Hence  $\bigcap_{k=0}^{\infty} \sigma^k(W^*(G, \omega)) = W^*(\bigcap_{k=0}^{\infty} s^k(G), \omega) = \mathbb{C}$ . Q.E.D.

The 2-cocycle  $\omega$  of  $G$  gives rise to a character  $\rho$  of the second exterior product  $G \wedge G$  via

$$(1.2) \quad \rho(g \wedge h) = \omega(g, h) \overline{\omega(h, g)}, \quad g, h \in G.$$

PROPOSITION 1.2. *If  $H$  is a subgroup of  $G$ , then*

$$W^*(H, \omega)' \cap W^*(G, \omega) = W^*(D_H, \omega),$$

where  $D_H$  is the subgroup  $\{g \in G : \rho(g \wedge H) = 1\}$  of  $G$ .

*Proof.* From (1.1),  $U_g U_h = \rho(g \wedge h) U_h U_g$ . Hence  $W^*(D_H, \omega)$  is the relative commutant of  $W^*(H, \omega)$ . For the reverse inclusion, let  $T \in W^*(H, \omega)' \cap W^*(G, \omega)$  and let  $\{f_g : g \in G\}$  be the canonical orthonormal basis of  $\ell^2(G)$ , so that  $U_g(f_h) = \omega(g, h) f_{gh}$ . Assume  $Tf_e = \sum_{g \in G} c_g f_g$ , where  $e$  is the identity of  $G$ ,  $c_g \in \mathbb{C}$ ,  $\sum_{g \in G} |c_g|^2 < \infty$ . For any  $h \in H$ , we have

$$(U_h T)f_e = \sum_g c_g \omega(h, g) f_{hg},$$

$$(T U_h)f_e = T(R_{h^{-1}} f_e) = R_{h^{-1}}(Tf_e) = \sum_g c_g \omega(g, h) f_{gh},$$

where  $k \rightarrow R_k$  is the right regular  $\omega$ -representation of  $G$ :  $R_k(f_g) = \omega(g, k^{-1}) f_{gk^{-1}}$  with  $R_k$  commuting with the  $U_g$ . Since  $U_h T = T U_h$ , we get  $\sum_g c_g \omega(h, g) f_{hg} = \sum_g c_g \omega(g, h) f_{gh}$ . Therefore  $c_g \omega(g, h) = c_g \omega(h, g)$ ,  $g \in G$ ,  $h \in H$ . If  $c_g \neq 0$ , then  $\rho(g \wedge H) = 1$ . This shows that  $T$  is supported on  $D_H$ . It follows that  $T \in W^*(D_H, \omega)$ . Q.E.D.

COROLLARY 1.3. (i)  $W^*(G, \omega)$  is a factor if and only if  $\rho(g \wedge G) = 1$  implies  $g = e$ .

(ii)  $(\sigma^k(W^*(G, \omega)))' \cap W^*(G, \omega) = \mathbb{C}$  if and only if  $\rho(g \wedge s^k(G)) = 1$  implies  $g = e$ .

When  $\omega$  (resp.  $\rho$ ) satisfies the condition of Corollary 1.3(i), we say it is *non-degenerate*.

PROPOSITION 1.4. *Suppose that  $G$  is a countable discrete abelian group, that  $\omega$  is a nondegenerate 2-cocycle of  $G$  and that  $s$  is a shift of  $G$  compatible with  $\omega$ . Let  $\sigma$  be the shift of  $W^*(G, \omega)$  induced by  $s$ . Then*

- (i)  $W^*(G, \omega) = R$ , the hyperfinite  $\text{II}_1$ -factor;
- (ii) The Jones index  $[R : \sigma(R)] = [G : s(G)]$ ;
- (iii)  $\sigma(R)' \cap R = \mathbb{C}$  provided  $[G : s(G)]$  is a prime number.

*Proof.* (i) The nondegeneracy of  $\omega$  implies that  $W^*(G, \omega)$  is a (finite) factor and that  $s$  is one-to-one on  $G$ . Then  $\bigcap_k s^k(G) = \{e\}$  implies that  $G$  is an infinite group. Hence  $W^*(G, \omega)$  is a  $\text{II}_1$ -factor. The dual group of  $G$ ,  $\hat{G}$ , acts on  $W^*(G, \omega)$  via  $\theta(U_g) = \theta(g)U_g$ ,  $\theta \in \hat{G}$ ,  $g \in G$ . It is a standard result that this action is ergodic. Thus by [4; 5.15],  $W^*(G, \omega) = R$ , the unique hyperfinite  $\text{II}_1$ -factor.

(ii) This follows from [2; 2.32]. In fact, we can replace the crossed products there by twisted crossed products and the proof still works.

(iii) By Corollary 1.3 (ii), it is enough to show that  $\rho(g \wedge s(G)) = 1$  implies  $g = e$ . Assume the contrary. Then the subgroup  $E = \{g \in G: \rho(g \wedge s(G)) = 1\}$  is nontrivial. We have  $E \cap s(G) = \{e\}$ . For, if  $s(g) \in E$ , then  $\rho(g \wedge G) = \rho(s(g) \wedge s(G)) = 1$  by the compatibility. Since  $\omega$  is nondegenerate, we get  $g = e$  and so  $s(g) = e$ . Therefore the restriction of the quotient map  $\pi: G \rightarrow G/s(G)$  to  $E$  is one-to-one. Since  $G/s(G)$  has order  $[G: s(G)]$  a prime number, it follows that  $E$  is a cyclic group of order the same prime, and that  $G = E \oplus s(G)$ . Let  $g$  be a generator of  $E$ ; then  $\rho(g \wedge G) = \rho(g \wedge E) \rho(g \wedge s(G)) = \rho(g \wedge E) = 1$ . The nondegeneracy of  $\omega$  implies that  $g = e$ . A contradiction. Q.E.D.

The shift  $\sigma$  of  $R$  constructed in Proposition 1.4 will be called a group shift and denoted by  $\sigma(G, s, \omega)$  when there is need to indicate the data  $G, s, \omega$ . Note that for a group shift  $\sigma(G, s, \omega)$ ,  $\omega$  is always nondegenerate by assumption.

We record the following result for future reference.

PROPOSITION 1.5. *The following are equivalent:*

- (i)  $C^*(G, \omega)$  is simple;
- (ii)  $C^*(G, \omega)$  has trivial centre;
- (iii)  $C^*(G, \omega)$  has unique tracial state;
- (iv)  $\omega$  is nondegenerate;
- (v)  $W^*(G, \omega)$  is a factor.

This proposition is essentially proved in [8]. The proof given in [5] can be viewed as an alternative proof in the case  $G = \bigoplus_{\infty} \mathbb{Z}_2$ .

Finally we remark that some results in this section are also true for non-abelian groups.

## 2. CHARACTERIZATION AND CLASSIFICATION OF GROUP SHIFTS

In this section we first determine when a given shift  $\sigma$  of  $R$  is conjugate to a group shift  $\sigma(G, s, \omega)$  as constructed in Section 1. Secondly, for those group shifts  $\sigma$  of  $R$  satisfying  $\sigma(R)' \cap R = \mathbb{C}$ , we calculate the normalizer of  $\sigma$  and its conjugacy class in terms of  $(G, s, \omega)$ .

PROPOSITION 2.1. *A shift  $\sigma$  of  $R$  is conjugate to a group shift  $\sigma(G, s, \omega)$  if and only if there exists a set  $S$  of unitaries of  $R$  such that*

- (i)  $\{S, \sigma(S), \sigma^2(S), \dots\}'' = R$  and
- (ii)  $uvu^*v^* \in \mathbb{C}$  for all  $u, v$  in  $\{S, \sigma(S), \sigma^2(S), \dots\}$ .

Denote by  $G_\sigma(S)$  the group of unitaries generated by  $\{S, \sigma(S), \sigma^2(S), \dots\}$ , and let  $\pi: G_\sigma(S) \rightarrow G_\sigma(S)/G_\sigma(S) \cap \mathbb{C}$  be the quotient map. Then under conditions

(i) and (ii)  $\sigma$  is conjugate to  $\sigma(G, s, \omega)$ , where:

$$G = G_\sigma(S)/G_\sigma(S) \cap \mathbf{C},$$

$$s(\pi(u)) = \pi(\sigma(u)),$$

and  $\omega$  is a suitable nondegenerate 2-cocycle.

*Proof.* If  $\sigma$  is a group shift  $\sigma(G, s, \omega)$ , we can take  $S = \{U_g : g \in G\}$ . For the converse, assume  $S$  satisfying (i) and (ii) is given. By (ii),  $G$  is an abelian group. The map  $s$  on  $G$  is naturally induced by  $\sigma$  and is a shift of  $G$  since  $\sigma$  is a shift of  $R$ . It is easy to see that  $s$  is one-to-one. Define a cross-section  $\delta$  of  $\pi$  as follows: let  $\delta(0) = 1$ . For all  $g \in G \setminus s(G)$ , let  $\delta$  satisfy  $\delta(g^{-1}) = \delta(g)^{-1}$ . For any  $g \in s^k(G) \setminus s^{k+1}(G)$ , there is a unique element  $g' \in G \setminus s(G)$  such that  $s^k(g') = g$ . Then define  $\delta(g) = \sigma^k(\delta(g'))$ . Write  $\delta(g) = V_g, g \in G$ . Our choice of  $\delta$  ensures  $\sigma(V_g) = V_{s(g)}$ . Since  $\pi(V_g V_h) = gh = \pi(V_{gh})$ , we have  $V_g V_h = \omega(g, h)V_{gh}$  for some  $\omega(g, h) \in T$ . It is routine to check that  $\omega$  is a normalized 2-cocycle of  $G$ . Applying  $\sigma$  to the both sides of the equation  $\omega(g, h)V_{gh} = V_g V_h$ , we obtain

$$\omega(g, h)V_{s(gh)} = V_{s(g)}V_{s(h)} = \omega(s(g), s(h))V_{s(gh)}.$$

This shows that  $s$  is compatible with  $\omega$ . If  $g \in G$  is such that  $\rho(g \wedge G) = 1$ , then  $V_g$  commutes with all  $V_h, h \in G$ . It follows from (i) that  $V_g$  is a scalar. So  $g = 0$ . This proves that  $\omega$  is nondegenerate. By the universal property of twisted group  $C^*$ -algebras, there exists a  $*$ -homomorphism  $\beta: C^*(G, \omega) \rightarrow R$  such that  $\beta(U_g) = V_g, g \in G$ . Since  $\omega$  is nondegenerate, it follows from Proposition 1.5 that  $\beta$  is a  $*$ -isomorphism onto the  $C^*$ -subalgebra of  $R$  generated by  $\{V_g : g \in G\}$ , and  $\beta$  extends to a  $*$ -isomorphism of  $W^*(G, \omega)$  onto  $R$  by the uniqueness of tracial state. Finally let  $\tilde{\sigma}$  be the group shift associated to  $(G, s, \omega)$ . We check  $\sigma \circ \beta = \beta \circ \tilde{\sigma}$ . So  $\sigma$  is conjugate to  $\tilde{\sigma}$ . Q.E.D.

DEFINITION 2.1. ([5]). The *normalizer*,  $N(\sigma)$ , of a shift  $\sigma$  of  $R$  is the group of unitaries  $w$  of  $R$  such that  $w\sigma^k(R)w^* = \sigma^k(R), k = 1, 2, \dots$ .

PROPOSITION 2.2. Suppose  $\sigma = \sigma(G, s, \omega)$  is a group of  $R$ . Then  $N(\sigma) = \{\lambda U_g : \lambda \in \mathbf{T}, g \in G\}$  if and only if  $\sigma(R)' \cap R = \mathbf{C}$ .

*Proof.* First assume  $\sigma(R)' \cap R = \mathbf{C}$ . Since  $U_g \sigma^k(U_h) U_g^* = U_g U_{s^k(h)} U_g^* = \rho(g \wedge s^k(h)) U_{s^k(h)} \in \sigma^k(R)$ , and since  $\{U_h : h \in G\}'' = R$ , we see that  $U_g \in N(\sigma)$ . Now let  $K = \{\theta \in \hat{G} : \theta(s(G)) = 1\}$ . The group  $K$  acts on  $R = W^*(G, \omega)$  via  $\theta(U_g) = \theta(g)U_g, \theta \in K, g \in G$ . The fixed point subalgebra of  $K, R^K$ , is just

$$\bigcap_{\theta \in K} W^*(\ker \theta, \omega) = W^*(\bigcap_{\theta \in K} \ker \theta, \omega) = W^*(s(G), \omega) = \sigma(R)$$

(cf. [4], [9]). Assume  $W \in N(\sigma)$ . Since the linear span of  $\{U_g : g \in G\}$  is weakly dense in  $R$ , we can find some  $g \in G$  such that  $\tau(U_g^*W) \neq 0$ , where  $\tau$  is the unique normal normalized trace on  $R$ . Write  $U_g^*W = W_1$ . Since  $W_1 \in N(\sigma)$ , there is a  $*$ -automorphism  $\gamma$  of  $R$  such that  $W_1\sigma(a)W_1^* = \sigma(\gamma(a))$ ,  $a \in R$ . Then  $\theta(W_1)\sigma(a)\theta(W_1)^* = \sigma(\gamma(a))$ ,  $\theta \in K$ . It follows that  $W_1^*\theta(W_1) \in \sigma(R)' \cap R = \mathbf{C}$ . Hence  $\theta(W_1) = \lambda W_1$  for some  $\lambda \in \mathbf{T}$ . Taking the trace, which is  $K$ -invariant, we get  $0 \neq \tau(W_1) = \tau(\theta(W_1)) = \lambda\tau(W_1)$ . This forces  $\lambda = 1$ , and so  $\theta(W_1) = W_1$ . Since  $\theta \in K$  is arbitrary, we obtain  $W_1 \in R^\lambda = \sigma(R)$ . Let  $W_1 = \sigma(W_2)$ . It is easy to see that  $W_2 \in N(\sigma)$ . The uniqueness of trace implies  $\tau(W_2) = \tau(\sigma(W_2)) = \tau(W_1) \neq 0$ . Repeating the above argument with  $W_2$ , we get  $W_2 \in \sigma(R)$ . Thus  $W_1 \in \sigma^2(R)$ . By induction, we obtain  $W_1 \in \bigcap_k \sigma^k(R) = \mathbf{C}$ . Hence  $W = \lambda U_g$  for some  $\lambda \in \mathbf{T}$ . This completes the proof that  $N(\sigma) = \{\lambda U_g : \lambda \in \mathbf{T}, g \in G\}$ .

For the converse, if  $\sigma(R)' \cap R \neq \mathbf{C}$ , then any unitary in  $\sigma(R)' \cap R$  is in  $N(\sigma)$ . By Proposition 1.2,  $\sigma(R)' \cap R$  is the von Neumann algebra of the subgroup  $\{g \in G : \rho(g \wedge s(G)) = 1\}$ . If this group is nontrivial,  $\sigma(R)' \cap R$  certainly contains unitaries which are not of the form  $\lambda U_g$ . Q.E.D.

**COROLLARY 2.3.** *Suppose  $\sigma$  is a shift of  $R$ . Then the following are equivalent:*

- (i)  $N(\sigma)'' = R$  and  $N(\sigma)/\mathbf{T}$  is abelian;
- (ii)  $\sigma$  is a group shift with  $\sigma(R)' \cap R = \mathbf{C}$ .

*Proof.* (i)  $\Rightarrow$  (ii). Taking  $S = N(\sigma)$  in Proposition 2.1, we see that  $\sigma$  is some group shift  $\sigma(G, s, \omega)$ . Since  $\sigma(N(\sigma)) \subset N(\sigma)$ , the group  $G = N(\sigma)/\mathbf{T}$ . Hence  $N(\sigma) = \{\lambda U_g : \lambda \in \mathbf{T}, g \in G\}$ . By Proposition 2.2, we get  $\sigma(R)' \cap R = \mathbf{C}$ .

(ii)  $\Rightarrow$  (i). By Proposition 2.2 again,  $N(\sigma) = \{\lambda U_g : \lambda \in \mathbf{T}, g \in G\}$ . Hence (i) holds. Q.E.D.

**COROLLARY 2.4.** *If any element in  $N(\sigma)$  has square a scalar multiple of the identity and if  $N(\sigma)'' = R$ , then  $\sigma$  is a group shift with  $\sigma(R)' \cap R = \mathbf{C}$ .*

*Proof.* From the hypothesis, any element in  $N(\sigma)/\mathbf{T}$  has order two. This implies, as is well-known and elementary in group theory, that  $N(\sigma)/\mathbf{T}$  is abelian. Then Corollary 2.3 applies.

**REMARK.** By this corollary, the shifts considered in [6; §4] are in fact group shifts.

For group shifts  $\sigma = \sigma(G, s, \omega)$  with  $\sigma(R)' \cap R = \mathbf{C}$ , Proposition 2.2 shows that the normalizer is the central extension of  $G$ . This enables us to obtain a complete classification of these shifts up to conjugacy.

**PROPOSITION 2.5.** *Suppose  $\sigma_i = \sigma(G_i, s_i, \omega_i)$ ,  $i = 1, 2$ , are group shifts of  $R$  with  $\sigma_i(R)' \cap R = \mathbf{C}$ . Then  $\sigma_1$  and  $\sigma_2$  are conjugate if and only if there exist a group isomorphism  $\gamma: G_1 \rightarrow G_2$  and a map  $\lambda: G_1 \rightarrow \mathbf{T}$  such that*

- (i)  $s_2 \circ \gamma = \gamma \circ s_1$ ;

$$(ii) \ \omega_1(g, h) = \frac{\lambda(g)\lambda(h)}{\lambda(gh)} \omega_2(\gamma(g), \gamma(h)), \ g, h \in G_1;$$

$$(iii) \ \lambda(s_1(g)) = \lambda(g), \ g \in G_1.$$

*Proof.* Assume  $\sigma_1$  and  $\sigma_2$  are conjugate. Then there is a  $*$ -automorphism  $\psi$  of  $R$  such that  $\sigma_2 \circ \psi = \psi \circ \sigma_1$ . Thus  $\psi$  restricts to a group isomorphism of the normalizers:  $\psi: N(\sigma_1) \rightarrow N(\sigma_2)$ . By Proposition 2.2,  $N(\sigma_1) = \{\lambda U_g : \lambda \in \mathbf{T}, g \in G_1\}$  and  $N(\sigma_2) = \{\lambda V_g : \lambda \in \mathbf{T}, g \in G_2\}$ . Then  $\psi$  induces a group isomorphism  $\gamma: G_1 \rightarrow G_2$  since  $G_i = N(\sigma_i)/\mathbf{T}$ . From  $\sigma_2 \circ \psi = \psi \circ \sigma_1$ , we get  $s_2 \circ \gamma = \gamma \circ s_1$ . It is obvious that  $\psi(U_g) = \lambda(g)V_{\gamma(g)}$ ,  $g \in G_1$ , for some  $\lambda(g) \in \mathbf{T}$ . Applying  $\psi$  to the equation  $U_g U_h = \omega_1(g, h)U_{gh}$ , we obtain

$$\lambda(g)V_{\gamma(g)} \cdot \lambda(h)V_{\gamma(h)} = \omega_1(g, h)\lambda_{gh}V_{\gamma(gh)}.$$

Since  $V_{\gamma(g)}V_{\gamma(h)} = \omega_2(\gamma(g), \gamma(h))V_{\gamma(gh)}$ , we get

$$\omega_1(g, h) = \frac{\lambda(g)\lambda(h)}{\lambda(gh)} \omega_2(\gamma(g), \gamma(h)).$$

Applying  $\sigma_2$  to  $\psi(U_s) = \lambda(g)V_{\gamma(g)}$ , we obtain

$$\sigma_2 \circ \psi(U_s) = \lambda(g)\sigma_2(V_{\gamma(g)}) = \lambda(g)V_{s_2 \circ \gamma(g)} = \lambda(g)V_{\gamma \circ s_1(g)}.$$

However,  $\sigma_2 \circ \psi(U_g) = \psi \circ \sigma_1(U_g) = \psi(U_{s_1(g)}) = \lambda(s_1(g))V_{\gamma \circ s_1(g)}$ . Therefore  $\lambda(s_1(g)) = \lambda(g)$ . This proves the necessity. For the sufficiency, assume  $\gamma$  and  $\lambda$  satisfying (i)–(iii) are given. Then  $\psi: C^*(G_1, \omega_1) \rightarrow C^*(G_2, \omega_2)$ ,  $\psi(U_g) = \lambda(g)V_{\gamma(g)}$ , is a  $*$ -isomorphism and extends to a  $*$ -isomorphism of  $W^*(G_1, \omega_1)$  onto  $W^*(G_2, \omega_2)$  by the uniqueness of the trace (Proposition 1.5). It is easy to check that  $\sigma_2 \circ \psi = \psi \circ \sigma_1$ , that is,  $\sigma_1$  and  $\sigma_2$  are conjugate shifts. Q.E.D.

**REMARK.** The conditions (i)–(iii) of Proposition 2.5 are sufficient for any two group shifts  $\sigma_i = \sigma(G_i, s_i, \omega_i)$  to be conjugate, without the hypothesis that  $\sigma_i(R)' \cap R = \mathbf{C}$ . Moreover, if we replace the map  $\lambda$  by  $\theta \circ \lambda$  for any  $\theta \in \hat{G}$ , the condition (ii) remains unchanged, but the condition (iii) now becomes  $\theta(s_1(g))\lambda(s_1(g)) = \theta(g)\lambda(g)$ ,  $g \in G_1$ . For certain groups, we can always find some  $\theta$  to make this equation hold. Therefore, the two conditions (i)  $s_2 \circ \gamma = \gamma \circ s_1$  and (ii)  $[\omega_1] = [\omega_2 \circ \gamma]$  in  $H^2(G_1; \mathbf{T})$  will be sufficient for  $\sigma_1$  and  $\sigma_2$  to be conjugate. A direct consequence of this observation is that we can use the characters  $\rho$  of  $G \wedge G$  to replace the cocycles  $\omega$ . More precisely, let  $\omega_1$  and  $\omega_2$  be (nondegenerate) cocycles of  $G$  with  $[\omega_1] = [\omega_2]$ . Let  $s$  be a shift of  $G$  satisfying  $\omega_i = \omega_i \circ s$ ,  $i = 1, 2$ . Then  $s$  induces two shifts  $\sigma_1$  and  $\sigma_2$  on  $W^*(G, \omega_1)$  and  $W^*(G, \omega_2)$  respectively. There is no a priori reason that  $\sigma_1$  and  $\sigma_2$  should be conjugate. However, in the circumstances mentioned

above, we know that  $\sigma_1$  and  $\sigma_2$  are actually conjugate. Thus we only need to specify the character  $\rho$  of  $G \wedge G$  defined in (1.2), since those characters and cohomology classes of cocycles are in one-to-one correspondence (cf. [4]).

**PROPOSITION 2.6.** *Suppose  $G = \bigoplus_{i=0}^{\infty} \mathbf{Z}_n^{(i)}$ ,  $\omega_1$  and  $\omega_2$  are 2-cocycles of  $G$  with*

$$\omega_1(g, h) = \frac{\lambda(g)\lambda(h)}{\lambda(gh)} \omega_2(g, h), \quad g, h \in G, \text{ for some map } \lambda: G \rightarrow \mathbf{T}. \text{ Let } s \text{ be the shift}$$

$s(e_i) = e_{i+1}, i \geq 0$ , where  $e_i$  is a generator of  $\mathbf{Z}_n^{(i)}$ . Suppose  $\omega_i \circ s = \omega_i, i = 1, 2$ . Then the shifts  $\sigma_1$  and  $\sigma_2$  induced by  $s$  on  $W^*(G, \omega_1)$  and  $W^*(G, \omega_2)$  respectively are conjugate.

*Proof.* The hypotheses implies that the map  $\psi(g) = \frac{\lambda(g)}{\lambda(s(g))}, g \in G$ , is a character of  $G$ . We define a character  $\theta$  of  $G$  by  $\theta(e_0) = 1, \theta(e_i) = \psi(e_{i-1})\theta(e_{i-2}), i \geq 1$ . This guarantees  $\theta(s(g))\lambda(s(g)) = \theta(g)\lambda(g), g \in G$ . With  $G_1 = G_2 = G, s_1 = s_2 = s, \gamma = \text{id}_G$  and  $\lambda$  being  $\theta \circ \lambda$  in Proposition 2.5, all three conditions are fulfilled. Hence  $\sigma_1$  and  $\sigma_2$  are conjugate. Q.E.D.

### 3. FACTOR CONDITION

Let  $n \geq 2$  be an integer, let  $G = \bigoplus_{i=0}^{\infty} \mathbf{Z}_n^{(i)}$ , let  $s(e_i) = e_{i+1}$ , where  $e_i$  is a generator of  $\mathbf{Z}_n^{(i)}$ , and let  $\omega$  be an  $s$ -compatible 2-cocycle. Let  $\sigma$  be the shift of  $W^*(G, \omega)$  induced by  $s$ . In this section we determine all those  $\omega$  which are nondegenerate, equivalently, which make  $W^*(G, \omega)$  a factor. By the remark after Theorem 2.5 and Proposition 2.6, this is equivalent to determining all nondegenerate  $s$ -compatible characters  $\rho$  of  $G \wedge G$ . Here the  $s$ -compatibility means  $\rho(g \wedge h) = \rho(s(g) \wedge s(h))$  for all  $g, h$  in  $G$ . In the case when  $n = 2$ , this problem was solved previously by G. Price [6]. However, our approach is different, and we feel, much simpler.

Let  $\gamma = e^{2\pi i/n}$  and let  $\rho(e_0 \wedge e_j) = \gamma^{a(j)}$ , where  $a(j) \in \mathbf{Z}_n$ . By defining

$$(3.1) \quad a(0) = 0, \quad a(-j) = -a(j),$$

we obtain a sequence  $\{a(j)\}, j \in \mathbf{Z}$ , of elements of  $\mathbf{Z}_n$  satisfying

$$(3.2) \quad \rho(e_j \wedge e_k) = \gamma^{a(k-j)}, \quad j, k = 0, 1, 2, \dots$$

Conversely, each doubly infinite sequence  $\{a(j)\} \subset \mathbf{Z}_n$  satisfying (3.1) determines an  $s$ -compatible character  $\rho$  by (3.2). We call  $\{a(j)\}$  the defining sequence of  $\rho$ , as well as of  $\omega$ .



PROPOSITION 3.1. *The following are equivalent:*

- (i)  $W^*(G, \omega)$  is a factor;
- (ii)  $(\sigma(W^*(G, \omega)))' \cap W^*(G, \omega) = \mathbf{C}$ ;
- (iii) For all primes  $p$  dividing  $n$ , the defining sequence  $\{a(j)\}$  of  $\omega$  fails to be periodic mod  $p$ .

*Proof.* By Corollary 1.3, condition (i) is equivalent to  $\rho(g \wedge G) = 1$  implying  $g = 0$ , and condition (ii) is equivalent to  $\rho(g \wedge s(G)) = 1$  implying  $g = 0$ . Then the following lemmas will complete the proof.

LEMMA 3.2. *Suppose  $g = \sum_{j=0}^{\infty} g_j e_j$ , where  $g_j \in \mathbf{Z}_n$  and  $g_j = 0$  for all but finitely many  $j$ . Then  $\rho(g \wedge s^m(G)) = 1$  if and only if*

$$(3.3) \quad \sum_{j=0}^{\infty} g_j a(k-j) = 0 \quad \text{for } k = m, m+1, m+2, \dots .$$

*Proof.*  $s^m(G)$  is generated by  $\{e_k : k \geq m\}$ . Now  $\rho(g \wedge s^m(G)) = 1$  if and only if  $\rho(g \wedge e_k) = 0$  for  $k \geq m$ , the latter being (3.3). Q.E.D.

LEMMA 3.3. *Suppose that there exists a prime  $p$  dividing  $n$  and such that  $\{a(j)\}_{j \in \mathbf{Z}}$  is periodic modulo  $p$ . Then there exists  $g \in G, g \neq 0$  and  $\rho(g \wedge G) = 1$ .*

*Proof.* Assume  $t$  is a positive integer such that  $a(j) = a(j+t) \pmod{p}$  for all  $j \in \mathbf{Z}$ . Then put  $g = \frac{n}{p} \cdot (e_0 - e_t)$ . Q.E.D.

LEMMA 3.4. *Suppose that there exists  $g \in G$  with  $g \neq 0$  and  $\rho(g \wedge s(G)) = 1$ . Then there exists a prime  $p$  dividing  $n$  such that  $\{a(j)\}_{j \in \mathbf{Z}}$  is periodic modulo  $p$ .*

*Proof.* Assume first that  $n$  is a prime. We show  $\{a(j)\}_{j \in \mathbf{Z}}$  is periodic. Let  $g := \sum_{j=0}^{\infty} g_j e_j \neq 0$  be such that  $\rho(g \wedge s(G)) = 1$ , so that by Lemma 3.2:

$$(3.4) \quad \sum_{j=0}^{\infty} g_j a(k-j) = 0, \quad \text{for } k = 1, 2, \dots .$$

Let  $j_1$  be the smallest and  $j_2$  the largest  $j$ 's for which  $g_j \neq 0$ . Then we can solve (3.4) to obtain

$$a(k - j_1) = \varphi(a(k - j_1 - 1), a(k - j_1 - 2), \dots, a(k - j_2))$$

and

$$a(k - j_2) = \psi((a(k - j_2 + 1), a(k - j_2 + 2), \dots, a(k - j_1)))$$

for  $k = 1, 2, 3, \dots$ , where  $\varphi$  and  $\psi$  are fixed linear functions.

Let  $r = j_2 - j_1$  and assume first that  $r > 0$ . Then we have

$$(3.5) \quad a(k) = \varphi(a(k-1), a(k-2), \dots, a(k-r)) \quad \text{for all } k \geq 1 - j_1,$$

and

$$(3.6) \quad a(k) = \psi(a(k+1), a(k+2), \dots, a(k+r)) \quad \text{for all } k \geq 1 - j_2.$$

Since there are only finitely many distinct values for an  $r$ -tuple from  $\mathbf{Z}_n$ , (3.5) implies that  $a(k)$  is ultimately periodic as  $k \rightarrow \infty$ , that is, there exist positive integers  $t$  and  $N$  such that  $a(k+t) = a(k)$  for all  $k \geq N$ . Then (3.6) implies that  $a(k+t) = a(k)$  for all  $k \geq 1 - j_2$ . Since  $a(-j) = -a(j)$  for all  $j \in \mathbf{Z}$ , we deduce from (3.5) that

$$(3.7) \quad a(k) = \varphi(a(k+1), a(k+2), \dots, a(k+r)) \quad \text{for all } k \leq j_1 - 1.$$

Since  $(1 - j_2) - 1 \leq j_1 - 1$  always, (3.6) and (3.7) shows that  $a(k+t) = a(k)$  for all  $k \in \mathbf{Z}$ .

Suppose now  $r = 0$ . Then (3.4) becomes  $g_{j_1} a(k - j_1) = 0$  for  $k = 1, 2, \dots$ , or  $a(k) = 0$  for  $k \leq 1 - j_1$ . Since  $j_2 \geq 0$  and  $a(-k) = -a(k)$ , we obtain  $a(k) = 0$  for all  $k \in \mathbf{Z}$ .

Now consider the general case where  $n$  has the prime decomposition  $n = p_1^{\alpha_1} p_2^{\alpha_2} \dots p_s^{\alpha_s}$ . Under the hypothesis  $\rho(g \wedge s(G)) = 1$ , we still have (3.4). Since  $g \neq 0$ , one of the primes  $p_i = p$  must be such that  $g_{j_1} \neq 0 \pmod{p_i^{\alpha_i}}$ . Write  $g_j = p^k h_j$  where  $k$  is the largest integer such that  $p^k$  divides all  $g_j$ . It follows that  $k < \alpha_i$  and that not all  $h_j$  are  $0 \pmod{p}$ . Then we obtain from (3.4) that

$$\sum h_j a(k - j) = 0 \pmod{p} \quad \text{for } k = 1, 2, \dots$$

As before we now find that  $\{a(k)\}$  is periodic mod  $p$ .

Q.E.D.

#### 4. $n$ -SHIFTS

For each integer  $n \geq 2$ , let  $G_n = \bigoplus_{i=0}^{\infty} \mathbf{Z}_n^{(i)}$  and let  $s_n$  be the shift defined by  $s_n(e_i) = e_{i+1}$  as in Section 3, where  $e_i$  is a generator of  $\mathbf{Z}_n^{(i)}$ .

**DEFINITION 4.1.** A shift  $\sigma$  of the hyperfinite  $\text{II}_1$ -factor  $R$  is called an  $n$ -shift if  $\sigma$  is conjugate to a group shift  $\sigma(G_n, s_n, \omega)$ .

In this section we first give a characterization of  $n$ -shifts, which shows that our results about group shifts generalize the results for the binary shifts of Powers [5] and Price [6] and the  $n$ -unitary shifts of Choda [1]. Then we discuss the classification problem for  $n$ -shifts.

PROPOSITION 4.1. *A shift  $\sigma$  of the hyperfinite  $\text{II}_1$ -factor  $R$  is conjugate to an  $n$ -shift if and only if there exists a unitary  $u$  in  $R$  (which is called a  $\sigma$ -generator) such that the following hold:*

- (i)  $u^n = 1$  and  $u^k \notin \mathbf{C}$  for  $1 \leq k \leq n - 1$ ;
- (ii)  $\{u, \sigma(u), \sigma^2(u), \dots\}'' = R$ ;
- (iii)  $u$  and  $\sigma^i(u)$  commute up to a scalar for  $i = 1, 2, \dots$ .

When these conditions hold, the conjugacy is given by  $u \rightarrow U_{e_0}$ .

*Proof.* If  $\sigma = \sigma(G_n, s_n, \omega)$ , we can take  $u = U_{e_0}$ . Then  $\sigma^i(u) = U_{e_i}$  and conditions (i)–(iii) are easily verified. Now assume  $\sigma$  is a shift of  $R$  with a unitary  $u \in R$  so that the conditions (i)–(iii) are satisfied. Taking  $S = \{u\}$  in Proposition 2.1, we see that  $\sigma$  is conjugate to a group shift  $\sigma(G, s, \omega)$ . By that proposition,  $G$  is the quotient group of the group generated by  $\{u, \sigma(u), \sigma^2(u), \dots\}$  modulo scalars. Denote the image of  $\sigma^i(u)$  in  $G$  by  $f_i$ . Then  $G$  is the abelian group generated by  $\{f_i : i \geq 0\}$  and  $s$  is defined by  $s(f_i) = f_{i+1}$  (Proposition 2.1). Note that  $kf_i = 0$  if and only if  $k = 0 \pmod n$ .

We proceed to show that  $\{f_i : i \geq 0\}$  is  $\mathbf{Z}_n$ -linearly independent, which proves  $G = \bigoplus_{i=0}^{\infty} \mathbf{Z}_n^{(i)}$ . Assume there exists a relation  $\sum_{i=0}^N c_i f_i = 0$  with  $c_N \neq 0 \pmod n$  in  $\mathbf{Z}_n$ . Consider all such relations where  $N$  is minimal. Among them choose one so that  $c_N$  is minimal. An Euclidean algorithm argument then shows that  $c_N$  must divide  $n$ . Let  $n = dc_N$ . Then in  $d \sum_{i=0}^N c_i f_i = 0$ , since  $dc_N = 0$ , all coefficients must be zero:  $dc_i = 0 \pmod n$ ,  $0 \leq i \leq N - 1$ . It follows that  $c_N$  divides  $c_i$  for all  $i$ . Applying  $s^j$  to  $\sum_{i=0}^N c_i f_i = 0$ , we obtain  $\sum_{i=0}^N \frac{c_i}{c_N} (c_N f_{i+j}) = 0$  for all  $j = 0, 1, 2, \dots$ . Now let  $K$  be the subgroup of  $G$  generated by  $\{c_N f_0, c_N f_1, \dots, c_N f_{N-1}\}$ . The above equation shows that  $s(K) \subset K$ . Since  $s$  is one-to-one (see the proof of Proposition 2.1) and  $K$  is finite, we get  $s(K) = K$ . Then  $\bigcap_{k=0}^{\infty} s^k(G) \supset K \neq \{0\}$  contradicting the fact that  $s$  is a shift of  $G$ . Q.E.D.

REMARK 1. The proof of the proposition shows that if  $G$  is a group possessing a one-to-one shift  $s$  and if  $g \in G$  is an element of order  $n$ , then the subgroup of  $G$  generated by  $\{g, s(g), s^2(g), \dots\}$  is isomorphic to  $\bigoplus_{i=0}^{\infty} \mathbf{Z}_n^{(i)}$  under  $s^i(g) \rightarrow e_i$ . This shows that  $n$ -shifts are the basic blocks of more general group shifts.

REMARK 2. Suppose that a shift  $\sigma$  of  $R$  satisfies the conditions of Proposition 4.1 except that instead of (i) we assume only (i)'  $u^n = 1$ . Then we can proceed as follows. Let  $m$  be the smallest positive integer such that  $u^m \in \mathbf{C}$ . Let  $v = \lambda u$  where  $\lambda$

is a scalar chosen so that  $v^m = 1$ . Then  $\{\sigma, v\}$  is a pair satisfying the conditions of Proposition 4.1. Hence  $\sigma$  is an  $m$ -shift. If  $n$  is a prime, of course (i) and (i)' are equivalent.

REMARK 3. Suppose that  $\sigma$  is an  $n$ -shift with generator  $u$  and that  $\sigma$  is conjugate to  $\sigma(G_n, s_n, \omega)$ . Then the defining sequence  $\{a(j)\}_{j \in \mathbb{Z}}$  (Section 3) for  $\omega$  is given by

$$u\sigma^j(u)u^{*2}\sigma^j(u)^* = (e^{2\pi i/n})^{aj}.$$

COROLLARY 4.2. *If  $\sigma$  is an  $n$ -shift of  $R$  with generator  $u$ , then*

- (i)  $\sigma(R)' \cap R = \mathbb{C}$ .
- (ii) *The normalizer  $N(\sigma) = \{\lambda w : w \text{ is a word in } \sigma^j(u), \lambda \in \mathbb{T}\}$ .*

*Proof.* (i) follows from Proposition 3.1; (ii) from Proposition 2.2. Q.E.D.

REMARK. The problem of classifying  $n$ -shifts is, of course, completely solved by Proposition 2.5. Let  $G = \bigoplus_{i=0}^{\infty} \mathbb{Z}_n^{(i)}$  and  $\rho$  a nondegenerate character of  $G \wedge G$  with defining sequence  $\{a(j)\}_{j \in \mathbb{Z}}$ . By Proposition 2.5 and Proposition 4.1, to determine all  $n$ -shifts conjugate to the given one associated with  $\rho$ , it is sufficient to determine all elements  $g \in G$  such that  $\{g, s(g), s^2(g), \dots\}$  generates  $G$ . These  $g$ 's are called generators. Then the defining sequence can be computed in terms of  $\{a(j)\}$  by  $\rho(g \wedge s^j(g)) = e^{2\pi i b(j)}$ . For example, let  $n = 4$  and  $g = e_0 + 2e_1$ . Since  $g + 2s(g) = e_0$ ,  $g$  is a generator. Using (3.2), we get  $b(j) = 2a(j-1) + a(j) + 2a(j+1)$ ,  $j \in \mathbb{Z}$ . Thus  $\{b(j)\}_{j \in \mathbb{Z}}$  defines an  $n$ -shift conjugate to the one defined by  $\{a(j)\}_{j \in \mathbb{Z}}$ . If  $\{a(j) : j \geq 0\}$  is  $\{0, 1, 0, 0, 0, \dots\}$ , then  $\{b(j) : j \geq 0\}$  is  $\{0, 1, 2, 0, 0, \dots\}$ .

The classification of binary shifts in [5] is achieved by showing that if  $u$  and  $v$  are two  $\sigma$ -generators of a binary shift  $\sigma$ , then  $u = \pm v$ . It is tempting to try to prove that, for general  $n$ , two  $\sigma$ -generators of an  $n$ -shift are related as  $u = \lambda v^m$  for some  $m$  with  $(n, m) = 1$ . However, as shown in the last paragraph for  $n = 4$ , this is no longer true. We need some condition on  $n$ .

PROPOSITION 4.3. *Suppose that  $u$  and  $v$  are  $\sigma$ -generators of an  $n$ -shift  $\sigma$  and that  $n$  is square-free. Then  $u = \lambda v^m$  for some  $\lambda \in \mathbb{T}$  with  $\lambda^n = 1$ , and some integer  $m$  with  $(m, n) = 1$ .*

*Proof.* By Proposition 4.1, we can assume  $\sigma = \sigma(G_n, s_n, \omega)$  such that  $u$  is just  $U_{e_0}$ . Since  $v$  is a generator for  $\sigma$ ,  $v \in N(\sigma)$ . By Proposition 2.2,  $v = \lambda U_g$  for some  $\lambda \in \mathbb{T}$ ,  $g \in G$ . Since  $v$  is a generator for  $\sigma$ ,  $g$  must be a generator for  $G$ . Hence

$$(4.1) \quad e_0 = \sum_{j=0}^M c_j s^j(g), \quad c_M \neq 0, \quad \text{and} \quad g = \sum_{i=0}^N b_i e_i, \quad b_N \neq 0.$$

Substituting and comparing the coefficients of  $e_{M+N}$ , we get  $b_N c_M = 0$  in  $\mathbb{Z}_n$  pre-

vided  $M + N > 0$ . Hence if  $n$  is a prime, we must have  $M = N = 0$ , so that  $g = b_0 e_0$ , or  $v = \lambda u^{b_0}$ . It is obvious that  $(b_0, n) = 1$ . In the general case where  $n = p_1 p_2 \dots p_s$  with the  $p_k$ 's distinct primes, we pass (4.1) to the quotient group  $\bigoplus_{i=0}^{\infty} \mathbf{Z}_p^{(i)}$  for each  $p_k = p$ . The same argument as above gives  $b_i = 0 \pmod{p}$  for  $i > 0$ . Hence  $b_i = 0 \pmod{n}$  for  $i > 0$  and again  $g = b_0 e_0$ . Q.E.D.

**PROPOSITION 4.4.** *Suppose that  $\sigma_1$  and  $\sigma_2$  are  $n$ -shifts with defining sequences  $\{a(j)\}_{j \in \mathbf{Z}}$  and  $\{b(j)\}_{j \in \mathbf{Z}}$  respectively, and that  $n$  is square-free. Then  $\sigma_1$  and  $\sigma_2$  are conjugate if and only if there exists an integer  $m$ ,  $(m, n) = 1$ , such that  $a(j) = m^2 b(j)$  for all  $j \in \mathbf{Z}$ .*

*Proof.* Assume  $\psi \in \text{Aut}(R)$  implementing the conjugacy. Let  $u$  and  $v$  be generators of  $\sigma_1$  and  $\sigma_2$  respectively. Then  $\psi(u)$  is a generator of  $\sigma_2$ . By Proposition 4.3,  $\psi(u) = \lambda v^m$  for some  $m$ ,  $(m, n) = 1$ . Computing the defining sequences as in Remark 3 following Proposition 4.1, we get  $a(j) = m^2 b(j)$ . The converse is obvious:  $\gamma(e_i) = m e_i$  is an automorphism of  $\bigoplus_{i=0}^{\infty} \mathbf{Z}_n^{(i)}$  such that  $\gamma \circ s = s \circ \gamma$  and that  $\rho_1(e_j \wedge e_k) = \rho_2(\gamma(e_j) \wedge \gamma(e_k))$ . Q.E.D.

5. SOME EXAMPLES

In this section, we first show that for each integer  $n \geq 2$ , there is a group shift of index  $n$  which is not an  $n$ -shift. Then we show, by using Jones' work on index of subfactors, that there are shifts of  $R$  which are not group shifts. We conclude with remarks on sequences of projections.

We start with the construction of some group shifts over the group  $G = \bigoplus_{j=-\infty}^{+\infty} \mathbf{Z}_n^{(j)}$ . The construction is a variant of that in Price [6]. Let  $e_j$  be a generator of  $\mathbf{Z}_n^{(j)}$ , and let  $s(e_j) = e_j + e_{j+1}$ . First we check that  $s$  is a shift and that  $[G: s(G)] = n$ .

Define a character  $\theta: G \rightarrow \mathbf{T}$  by

$$\theta(e_j) = \begin{cases} e^{2\pi i/n}, & \text{if } j \text{ is even,} \\ e^{-2\pi i/n}, & \text{if } j \text{ is odd.} \end{cases}$$

Let  $H = \ker \theta$ ; then  $[G: H] = n$ . A short calculation shows that  $s(G) = H$ . Hence  $[G: s(G)] = n$ .

**LEMMA 5.1.**  *$s$  is a shift of  $G$ .*

*Proof.* Assume  $g \in \bigcap_k s^k(G)$  with  $g \neq 0$ . Let  $\gamma$  be the automorphism of  $G$  defined by  $\gamma(e_j) = e_{j+1}$ . Since  $\gamma \circ s = s \circ \gamma$ , we have  $\gamma^l(g) \in \bigcap_k s^k(G)$  for all  $l \in \mathbf{Z}$ .

Therefore, without loss of generality, we may assume  $g = \sum_{j=0}^N c_j e_j$  with  $c_N \neq 0$ .

Note that

$$s^k(e_0) = e_0 + \binom{k}{1} e_1 + \binom{k}{2} e_2 + \dots + \binom{k}{k-1} e_{k-1} + e_k.$$

Thus  $g - c_N s^N(e_0)$  is a linear combination of  $e_0, e_1, \dots, e_{N-1}$ . It follows from induction that  $g = \sum_{j=0}^N b_j s^j(e_0)$  with  $b_N = c_N$ . Let  $b_k$  be the first nonzero  $b_j$ . Then  $g - b_k s^k(e_0) \in s^{k+1}(G)$ . Since  $g \in s^{k+1}(G)$  by assumption, we have  $b_k s^k(e_0) \in s^{k+1}(G)$ . It is easy to see that  $s$  is one-to-one. Hence  $b_k e_0 \in s(G) = \ker \theta$ , and  $1 = \theta(b_k e_0) = e^{(2\pi i/n)b_k}$ . Thus  $b_k = 0 \pmod n$ . A contradiction. Q.E.D.

Next we need to define a nondegenerate character  $\rho$  on  $G \wedge G$  which is compatible with  $s$ . Let  $\rho(e_i \wedge e_j) = e^{(2\pi i/n)a_{i,j}}$  where  $a_{i,j} \in \mathbf{Z}_n$ . The compatibility condition  $\rho(e_i \wedge e_j) = \rho(s(e_i) \wedge s(e_j))$  is just that

$$(5.1) \quad a_{i,j+1} + a_{i+1,j+1} + a_{i+1,j} = 0 \quad \text{for all } i, j \in \mathbf{Z}.$$

The  $a_{i,j}$  satisfy also  $a_{i,j} = -a_{j,i}$  and  $a_{i,i} = 0$ . Set  $a_{0,1} = 1$  and  $a_{0,k} = 0$  for  $k \neq 1$ . Then (5.1) and the skew symmetry determine the  $a_{i,j}$  completely: Letting  $A_k$  be the  $2k \times 2k$  matrix  $(a_{i,j})_{i,j \in \{-k+1, -k+2, \dots, k\}}$ , we see that

$$A_1 = \begin{pmatrix} a_{00} & a_{10} \\ a_{01} & a_{11} \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

and in general

$$A_{k+1} = \begin{pmatrix} * & \dots & * & \pm 1 \\ \vdots & & & 0 \\ \vdots & & A_k & \vdots \\ * & & & \vdots \\ \mp 1 & 0 & \dots & 0 \end{pmatrix}.$$

Therefore  $\det A_k = \pm 1$  for all  $k \geq 1$ . Now we show that  $\rho$  is nondegenerate. For suppose  $\rho(g \wedge G) = 1$ . Then if  $g = \sum_{j=-\infty}^{\infty} x_j e_j$ , we must have  $\sum_{j=-\infty}^{\infty} a_{ij} x_j = 0$  for all  $i$  in  $\mathbf{Z}$ . Choosing  $k$  so large that  $x_j = 0$  for  $|j| \geq k$ , we get  $A_k X = 0$  where  $X = (x_j)_{j \in \{-k+1, -k+2, \dots, k\}}$ . Since  $\det A_k = \pm 1$ ,  $A_k$  is invertible in the ring of  $k \times k$  matrices over  $\mathbf{Z}_n$ . This forces  $X = 0$ , and so  $g = 0$ . With this nondegenerate  $\rho$ , we get a group shift  $\sigma = \sigma(G, s, \omega)$  of  $R$ , of index  $n$ .

**PROPOSITION 5.2.** *The group shift  $\sigma = \sigma(G, s, \omega)$  constructed above is a shift of index  $n$  of  $R$  but is not an  $n$ -shift.*

*Proof.* Assume  $\sigma(G, s, \omega)$  is conjugate to an  $n$ -shift  $\tilde{\sigma} = \sigma(G_n, s_n, \omega)$  in the notation of Section 4. Since  $\tilde{\sigma}(R)' \cap R = \mathbf{C}$ , there exists a group isomorphism  $\gamma: G_n \rightarrow G$  such that  $s \circ \gamma = \gamma \circ s_n$  (Proposition 2.5). Note that  $e_0 \in G_n$  is a generator (Section 4), that is,  $\{s_n^k(e_0) : k \geq 0\}$  generates  $G_n$ . Hence  $\gamma(e_0)$  must be a generator of  $G$ . However, it is easy to see that there is no generator in  $G$ . Q.E.D.

REMARK. The example of Price [6; § 5] is in fact a group shift  $\sigma(G, s, \omega)$ , where  $G = \bigoplus_{i=-\infty}^{\infty} \mathbf{Z}_2^{(i)}$ ,  $s(e_i) = e_{i+1}$  if  $i \geq 0$  and  $s(e_i) = e_i + e_{i+1}$  if  $i < 0$ .

Using tensor products, we can get new shifts from old ones. Suppose  $\sigma_i = \sigma(G_i, s_i, \omega_i)$ ,  $i = 1, 2$ , are group shifts. Then  $\sigma_1 \otimes \sigma_2$  is a group shift  $\sigma(G_1 \oplus G_2, s_1 \oplus s_2, \omega_1 \oplus \omega_2)$ , where  $(s_1 \oplus s_2)(g_1 \oplus g_2) = s_1(g_1) \oplus s_2(g_2)$  and  $(\omega_1 \oplus \omega_2)(g_1 \oplus g_2, h_1 \oplus h_2) = \omega_1(g_1, h_1)\omega_2(g_2, h_2)$ . Note that  $\omega_1 \oplus \omega_2$  is nondegenerate if and only if  $\omega_1$  and  $\omega_2$  are both nondegenerate. If  $\sigma_i(R)' \cap R = \mathbf{C}$ ,  $i = 1, 2$ , then  $(\sigma_1 \otimes \sigma_2)(R \otimes R)' \cap (R \otimes R) = \mathbf{C}$ . In particular, if  $[G_i : s_i(G_i)]$  is a prime, then  $\sigma_1 \otimes \sigma_2$  always satisfies this by Proposition 1.4 (iii).

PROPOSITION 5.3. *The tensor product  $\sigma$  of an  $n_1$ -shift  $\sigma_1$  and an  $n_2$ -shift  $\sigma_2$  is a shift of  $R$  of index  $n_1n_2$ .  $\sigma$  is an  $n_1n_2$ -shift if and only if  $(n_1, n_2) = 1$ .*

*Proof.* As in Section 4, let  $G_n = \bigoplus_{i=0}^{\infty} \mathbf{Z}_n^{(i)}$  and  $s_n(e_i) = e_{i+1}$ . Assume  $\sigma = \sigma_1 \otimes \sigma_2$  is an  $n_1n_2$ -shift. By Theorem 2.5, there exists an isomorphism  $\gamma: G_{n_1} \oplus G_{n_2} \rightarrow G_{n_1n_2}$  such that  $s_{n_1n_2} \circ \gamma = \gamma \circ (s_{n_1} \oplus s_{n_2})$ . Hence  $\gamma$  induces an isomorphism between  $G_{n_1} \oplus G_{n_2}/(s_{n_1} \oplus s_{n_2})(G_{n_1} \oplus G_{n_2}) = \mathbf{Z}_{n_1} \oplus \mathbf{Z}_{n_2}$  and  $G_{n_1n_2}/s_{n_1n_2}(G_{n_1n_2}) = \mathbf{Z}_{n_1n_2}$ . It follows that  $(n_1, n_2) = 1$ . Conversely, if  $(n_1, n_2) = 1$ , fix an isomorphism  $\gamma: \mathbf{Z}_{n_1} \oplus \mathbf{Z}_{n_2} \rightarrow \mathbf{Z}_{n_1n_2}$  and extend  $\gamma$  to  $G_{n_1} \oplus G_{n_2} \rightarrow G_{n_1n_2}$  in an obvious way so that  $s_{n_1n_2} \circ \gamma = \gamma \circ (s_{n_1} \oplus s_{n_2})$ . Q.E.D.

PROPOSITION 5.4. *For each prime  $n > 4$ , there is a shift of  $R$  of index  $n$  which is not a group shift.*

*Proof.* Let  $\sigma$  be an  $n$ -shift over  $G = \bigoplus_{i=0}^{\infty} \mathbf{Z}_n^{(i)}$ . Denote  $U_{e_i}$  by  $U_i$ , and put  $p_i = (1/n)(1 + U_i + U_i^2 + \dots + U_i^{n-1})$ , which is a spectral projection of  $Y_i$ . Since  $U_i U_j = \lambda U_j U_i$  for some  $\lambda \in \mathbf{T}$ , an easy computation shows that

$$p_i p_j p_i = \frac{1}{n} p_i, \quad \text{if } \lambda \neq 1,$$

$$p_i p_j = p_j p_i, \quad \text{if } \lambda = 1,$$

$$\text{tr}(w p_i) = \frac{1}{n} \cdot \text{tr}(w), \quad \text{if } w \text{ is a word on } 1, p_1, \dots, p_{i-1}.$$

Let  $M$  and  $N$  be the von Neuman subalgebra of  $W^*(G, \omega)$  generated by  $\{p_1, p_2, p_3, \dots\}$  and  $\{p_2, p_3, \dots\}$  respectively. The shift  $\sigma$  restricts to a shift  $\tilde{\sigma}$  on  $M$  such that  $\tilde{\sigma}(p_i) = p_{i+1}$ . So  $\tilde{\sigma}(M) = N$ . We choose the defining sequence of  $\omega$  by  $a(1) = 1$ ,  $a(-1) = -1$ , and  $a(k) = 0$  otherwise. Then  $\{p_1, p_2, \dots\}$  satisfies the conditions of Jones [2; 4.1.1]. Hence  $M$  is the hyperfinite  $\text{II}_1$ -factor  $R$  and  $N$  is a subfactor of  $M$  with index  $[M:N] = n$ . By [2; §5],  $\tilde{\sigma}(M)' \cap M \neq \mathbf{C}$  if  $n > 4$ . Hence by Proposition 1.4 (iii), when  $n > 4$  is a prime,  $\tilde{\sigma}$  cannot be a group shift. Q.E.D.

CONCLUDING REMARK. Let  $S$  be any nonempty subset of positive integers. When  $n \geq 3$ , we can always find  $n$ -shifts so that the procedure in the proof of above proposition provides a shift given by  $p_i \rightarrow p_{i+1}$  where  $\{p_1, p_2, \dots\}$  is a sequence of projections satisfying

- (i)  $p_i p_j p_i = \frac{1}{n} p_i$  if  $|i - j| \in S$ ;
- (ii)  $p_i p_j = p_j p_i$  if  $|i - j| \in S$ ; and
- (iii)  $\text{tr}(w p_i) = \frac{1}{n} \text{tr}(w)$  if  $w$  is a word on  $1, p_1, p_2, \dots, p_{i-1}$ .

The work of V.F.R. Jones ([2], [3]) suggests that it would be of interest to carry out further investigations of such sequences of projections, particularly when  $1/n$  is replaced in certain cases by  $\tau$  in the Jones index set. We wish to discuss this in future publications.

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