

STANDARD MODELS UNDER POLYNOMIAL POSITIVITY CONDITIONS

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ABSTRACT. We develop standard models for commuting tuples of bounded linear operators on a Hilbert space under certain polynomial positivity conditions, generalizing the work of V. Müller and F.-H. Vasilescu in [6], [14].

As a consequence of the model, we prove a von Neumann-type inequality for such tuples. Up to similarity, we obtain the existence of in a certain sense “unitary” dilations.

KEYWORDS: *Multivariable spectral theory, weighted multishifts, standard models, dilations, functional calculus.*

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1. INTRODUCTION

Let \mathcal{H} be a separable Hilbert space and $T = (T_1, \dots, T_n)$ a commuting tuple of bounded linear operators on \mathcal{H} . T is called a *spherical contraction*, if $\sum_{i=1}^n T_i^* T_i \leq \mathbf{1}_{\mathcal{H}}$, and a *spherical unitary*, if $\sum_{i=1}^n T_i^* T_i = \mathbf{1}_{\mathcal{H}}$ and in addition, all components of T are normal. We say that T has a *spherical dilation* if there is a spherical unitary U which dilates T , i.e. $T^\alpha = P_{\mathcal{H}} U^\alpha |_{\mathcal{H}}$ for all $\alpha \in \mathbb{N}_0^n$. There is no easy generalization of the famous Dilation Theorem for contractions of Sz.-Nagy (see [12]) to spherical contractions: in general, spherical contractions have no spherical dilations, and there is not even a von Neumann-type inequality over the unit ball in \mathbb{C}^n for spherical contractions ([3]). Athavale has shown in [1] that under certain additional positivity conditions a spherical contraction T has a spherical dilation, and Müller and Vasilescu have developed a model for T under these conditions

which reproduces this result ([6], [14]). This model consists of a spherical unitary part and a weighted backward multishift part which for suitable order coincides with the adjoint of the tuple of multiplication operators with the coordinates on a Hardy space over the unit ball in \mathbb{C}^n . For $n = 1$, this is just the well-known coisometric extension for contractions.

In the current paper, we will develop a model for a commuting tuple T under certain polynomial positivity conditions. We call T a P -contraction, where $P = \sum_{\gamma \in \mathbb{N}_0^n} a_\gamma x^\gamma$ is a polynomial with non-negative coefficients of a certain type, if $\sum_{\gamma \in \mathbb{N}_0^n} a_\gamma T^{*\gamma} T^\gamma \leq \mathbf{1}_{\mathcal{H}}$, and a P -unitary if $\sum_{\gamma \in \mathbb{N}_0^n} a_\gamma T^{*\gamma} T^\gamma = \mathbf{1}_{\mathcal{H}}$, T_1, \dots, T_n normal. We will show that P -contractions satisfying additional positivity conditions of suitable order have a model consisting of a P -unitary part and a weighted backward multishift part, which may be identified topologically with the adjoint of the multiplication tuple on a Bergman space. In particular, up to topological equivalence, T has a P -unitary dilation and therefore a rich functional calculus.

The crucial tools in identifying the weighted backward multishift with the adjoint Bergman space multiplication tuple are a theorem of A. Cumenge from complex analysis which allows to extend Bergman space functions on a complex submanifold \mathcal{M} to Hardy space functions on a strictly pseudoconvex set containing \mathcal{M} and the simple idea of regarding a P -contraction as a spherical contraction in a higher dimension.

2. PRELIMINARIES AND NOTATION

A commuting tuple $T = (T_1, \dots, T_n)$ of bounded linear operators on the separable Hilbert space \mathcal{H} will be called a *commuting multioperator* or just a *multioperator*. For $A \in \mathcal{L}(\mathcal{H})$, let C_A be the bounded linear map

$$(2.1) \quad \mathcal{L}(\mathcal{H}) \rightarrow \mathcal{L}(\mathcal{H}), \quad X \mapsto A^* X A,$$

and for a commuting tuple $T = (T_1, \dots, T_n) \in \mathcal{L}(\mathcal{H})^n$ let $C_T = (C_{T_1}, \dots, C_{T_n})$. If $P = \sum_{\gamma \in \mathbb{N}_0^n} a_\gamma x^\gamma \in \mathbb{C}[X_1, \dots, X_n]$ is a polynomial, then $P(C_T)$ is the bounded linear map $\mathcal{L}(\mathcal{H}) \rightarrow \mathcal{L}(\mathcal{H})$, $X \mapsto \sum_{\gamma \in \mathbb{N}_0^n} a_\gamma T^{*\gamma} X T^\gamma$. This map is well-defined, since T_1, \dots, T_n commute.

If $T = (T_1, \dots, T_n)$ is a commuting multioperator on \mathcal{H} , $S = (S_1, \dots, S_n)$ a commuting multioperator on some Hilbert space \mathcal{H}' and $A : \mathcal{H} \rightarrow \mathcal{H}'$ is a linear map, then we will write $AT = SA$ for the identity $AT_i = S_i A$, $i = 1, \dots, n$. In this situation, we call T and S *topologically equivalent* or *similar* if A is a

topological isomorphism. We will call a commuting multioperator *normal* in case all components are normal.

For $z = (z_1, \dots, z_n)$, $w = (w_1, \dots, w_n) \in \mathbb{C}^n$, we will denote the tuple $(\bar{z}_1 w_1, \dots, \bar{z}_n w_n)$ by $\bar{z}w$ and the tuple $(|z_1|^2, \dots, |z_n|^2)$ by $|z|^2$.

Let us introduce the class of polynomials from which our positivity conditions are obtained. A polynomial $P \in \mathbb{C}[X_1, \dots, X_n]$ is said to be *positive regular*, if

- (i) the constant term is 0;
- (ii) P has non-negative coefficients;
- (iii) the coefficients of the linear terms X_1, \dots, X_n are all different from 0.

There is a complete Reinhardt domain in \mathbb{C}^n associated to each positive regular polynomial P , namely

$$(2.2) \quad \mathcal{P} = \{z \in \mathbb{C}^n \mid P(|z|^2) < 1\}$$

which we call the P -ball. For $P = \sum_{i=1}^n x_i$, the P -ball is just the unit ball \mathbb{B}^n in \mathbb{C}^n .

For a positive regular polynomial P , $X \in \mathcal{L}(\mathcal{H})$ positive and $m \in \mathbb{N}$, we will call a commuting multioperator T (P, m) -positive for X , if

$$(2.3) \quad \Delta_P^{(1)}(X) := (1 - P)(C_T)(X) \geq 0$$

and

$$(2.4) \quad \Delta_P^{(m)}(X) := (1 - P)^m(C_T)(X) \geq 0.$$

In this case,

$$(2.5) \quad \Delta_P^{(k)}(X) := (1 - P)^k(C_T)(X) \geq 0 \quad \text{for } 1 \leq k \leq m,$$

as one obtains completely analogously to Lemma 2 in [6]. The tuple T is said to be (P, m) -positive, if it is (P, m) -positive for $\mathbf{1}_{\mathcal{H}}$. Furthermore, we call T a P -isometry, if $\Delta_P^{(1)} := \Delta_P^{(1)}(\mathbf{1}_{\mathcal{H}}) = 0$, and a P -unitary, if in addition T is normal.

For $P = \sum_{i=1}^n x_i$, the $(P, 1)$ -positive operators are just the spherical contractions.

3. STANDARD MODELS

We will now develop in analogy to [6] a standard model for (P, m) -positive commuting tuples, consisting of a part which is the adjoint of a multiplication tuple — or, equivalently, a weighted backward multishift — and a P -unitary part.

For $|P(x)| < 1$, we have

$$(3.1) \quad \frac{1}{(1 - P(x))^m} = \left(\sum_{j=0}^{\infty} P^j(x) \right)^m.$$

Therefore the function $x \mapsto 1/(1 - P(x))^m$ has a power series representation which converges compactly on $\{x \mid |P(x)| < 1\}$ and coincides with the Taylor series expansion at 0. For positive regular P , all Taylor coefficients are positive.

DEFINITION 3.1. Let P be a positive regular polynomial in n variables and let $m \in \mathbb{N}$. For each $\alpha \in \mathbb{N}_0^n$, let $\rho_P^m(\alpha)$ be the Taylor coefficient at index α of the function $x \mapsto 1/(1 - P(x))^m$ at 0.

We will denote the coefficients $\rho_P^m(\alpha)$, $\alpha \in \mathbb{N}_0^n$, as (P, m) -weights.

Now let $H^2(\rho_P^m)$ be the linear space of all formal power series $\sum_{\alpha \in \mathbb{N}_0^n} c_\alpha z^\alpha$ such that $\sum_{\alpha \in \mathbb{N}_0^n} |c_\alpha|^2 1/\rho_P^m(\alpha) < \infty$. The space $H^2(\rho_P^m)$ is obviously a Hilbert space with the inner product

$$(3.2) \quad \left\langle \sum_{\alpha \in \mathbb{N}_0^n} c_\alpha z^\alpha, \sum_{\alpha' \in \mathbb{N}_0^n} b_{\alpha'} z^{\alpha'} \right\rangle = \sum_{\alpha \in \mathbb{N}_0^n} c_\alpha \bar{b}_\alpha \frac{1}{\rho_P^m(\alpha)}.$$

It can be regarded as a space of holomorphic functions on the P -ball \mathcal{P} , and there is an obvious reproducing kernel:

LEMMA 3.2. *The elements of $H^2(\rho_P^m)$ define holomorphic functions on the P -ball \mathcal{P} . Furthermore, let*

$$(3.3) \quad \mathfrak{k} : \mathcal{P} \times \mathcal{P} \rightarrow \mathbb{C}, \quad \mathfrak{k}(z, w) = \frac{1}{(1 - P(\bar{z}w))^m}.$$

For each $z \in \mathcal{P}$, the function $\mathfrak{k}_z = \mathfrak{k}(z, \cdot)$ is a holomorphic function on \mathcal{P} and by identification with its Taylor series expansion at 0 an element of $H^2(\rho_P^m)$ such that

$$\langle f, \mathfrak{k}_z \rangle = f(z), \quad f \in H^2(\rho_P^m).$$

We have $\|\mathfrak{k}_z\| = (1/(1 - P(|z|^2))^m)^{1/2}$ for $z \in \mathcal{P}$.

Proof. For $f = \sum_{\alpha \in \mathbb{N}_0^n} c_\alpha w^\alpha \in H^2(\rho_P^m)$ and $z \in \mathcal{P}$, we have

$$(3.4) \quad \begin{aligned} \sum_{\alpha \in \mathbb{N}_0^n} |c_\alpha z^\alpha| &\leq \left(\sum_{\alpha \in \mathbb{N}_0^n} |c_\alpha|^2 \frac{1}{\rho_P^m(\alpha)} \right)^{1/2} \left(\sum_{\alpha \in \mathbb{N}_0^n} \rho_P^m(\alpha) |z^\alpha|^2 \right)^{1/2} \\ &= \frac{1}{(1 - P(|z|^2))^{m/2}} \|f\|. \end{aligned}$$

Thus f converges uniformly on compact subsets of \mathcal{P} and defines a holomorphic function on \mathcal{P} (see [9], Corollaries 1.16 and 1.17), which we again call f . Furthermore, one obtains for $z \in \mathcal{P}$

$$(3.5) \quad \begin{aligned} \|\mathfrak{k}_z\|^2 &= \left\| \sum_{\alpha \in \mathbb{N}_0^n} \rho_P^m(\alpha) \bar{z}^\alpha w^\alpha \right\|^2 = \sum_{\alpha \in \mathbb{N}_0^n} |z^\alpha|^2 \rho_P^m(\alpha) \\ &= \frac{1}{(1 - P(|z|^2))^m} < \infty \end{aligned}$$

and $\langle f, \mathfrak{k}_z \rangle = \sum_{\alpha \in \mathbb{N}_0^n} c_\alpha z^\alpha = f(z)$. ■

We define multiplication operators M_{z_i} , $i = 1, \dots, n$, on $H^2(\rho_P^m)$ by $M_{z_i} \sum_{\alpha \in \mathbb{N}_0^n} c_\alpha z^\alpha = \sum_{\alpha \in \mathbb{N}_0^n} c_\alpha z^{\alpha+e_i}$.

For the study of the multiplication operators and the construction of the model, we need more information about the (P, m) -weights $(\rho_P^m(\alpha))$. Thus we give a more explicit form and a recursion formula for the weights.

Let us first introduce some notation. For a given positive regular polynomial P , let $I_P = \{\gamma \in \mathbb{N}_0^n \mid a_\gamma > 0\}$ and $\text{mult}(P) = |I_P|$ be the number of nontrivial coefficients in P . We form the vector of the coefficients of P , $A = (a_\gamma)_{\gamma \in I_P} \in \mathbb{C}^{I_P}$. Furthermore, let for $K = (k_\gamma)_{\gamma \in I_P}$, $L = (l_\gamma)_{\gamma \in I_P} \in \mathbb{C}^{I_P}$

$$(3.6) \quad A^K := \prod_{\gamma \in I_P} a_\gamma^{k_\gamma}, \quad |K| := \sum_{\gamma \in I_P} k_\gamma,$$

$$(3.7) \quad \binom{|K|}{K} := \frac{|K|!}{\prod_{\gamma \in I_P} k_\gamma!}, \quad \binom{L}{K} := \prod_{\gamma \in I_P} \binom{l_\gamma}{k_\gamma}$$

and

$$(3.8) \quad [K] := ([K]_1, \dots, [K]_n), \quad \text{where } [K]_i := \sum_{\gamma \in I_P} \gamma_i k_\gamma \text{ for } i \in \{1, \dots, n\}.$$

Write $K \leq L$ if $k_\gamma \leq l_\gamma$ for all $\gamma \in I_P$. We need some combinatorial results:

LEMMA 3.3. For $L \in \mathbb{N}_0^{I_P}$ and $m \in \mathbb{N}$,

$$(3.9) \quad \binom{|L|}{L} \binom{|L|+m}{m} = \sum_{\substack{K \in \mathbb{N}_0^{I_P} \\ K \leq L}} \binom{|L-K|}{L-K} \binom{|K|}{K} \binom{|K|+m-1}{m-1}.$$

Proof. We obtain the identity

$$(3.10) \quad \sum_{\substack{K \leq L \\ |K|=r}} \binom{L}{K} = \binom{|L|}{r} \quad \text{for } r = 0, \dots, |L|$$

by induction over the number of nontrivial coefficients $|I_P|$ of P and the well-known fact

$$(3.11) \quad \sum_{q=0}^r \binom{|L|-l}{q} \binom{l}{r-q} = \binom{|L|}{r} \quad \text{for } 0 \leq l \leq |L|.$$

Now, we have

$$(3.12) \quad \begin{aligned} & \sum_{\substack{K \in \mathbb{N}_0^{I_P} \\ K \leq L}} \binom{|L-K|}{L-K} \binom{|K|}{K} \binom{|K|+m-1}{m-1} \\ &= \sum_{r=0}^{|L|} \left[\sum_{\substack{K \leq L \\ |K|=r}} \binom{|L|-r}{L-K} \binom{r}{K} \binom{r+m-1}{m-1} \right] \\ &= \binom{|L|}{L} \sum_{r=0}^{|L|} \left[\frac{(|L|-r)! r!}{|L|!} \binom{r+m-1}{m-1} \sum_{\substack{K \leq L \\ |K|=r}} \binom{L}{K} \right] \\ &= \binom{|L|}{L} \sum_{r=0}^{|L|} \binom{r+m-1}{m-1}. \end{aligned}$$

It remains to show that $\sum_{r=0}^{|L|} \binom{r+m-1}{m-1} = \binom{|L|+m}{m}$ for $m \in \mathbb{N}$, which is an easy induction. ■

Furthermore, Equation (3.10) yields the identity

$$(3.13) \quad \sum_{\substack{K \leq L \\ |K|=r}} \binom{r}{K} \binom{|L|-|K|}{L-K} = \frac{r!(|L|-r)!}{|L|!} \binom{|L|}{L} \sum_{\substack{K \leq L \\ |K|=r}} \binom{L}{K} = \binom{|L|}{L}$$

for $0 \leq r \leq |L|$. Now we can characterize the (P, m) -weights more explicitly.

LEMMA 3.4. *Let P be a positive regular polynomial and $m \in \mathbb{N}$. Then*

$$(3.14) \quad \rho_P^m(\alpha) = \sum_{\substack{K \in \mathbb{N}_0^{I_P} \\ [K]=\alpha}} A^K \binom{|K| + m - 1}{|K|} \binom{|K|}{K} \quad \text{for } \alpha \in \mathbb{N}_0^n.$$

Proof. For $m = 1$ and $|P(x)| < 1$, we have

$$(3.15) \quad \begin{aligned} \frac{1}{1 - P(x)} &= \sum_{j=0}^{\infty} P(x)^j = \sum_{j=0}^{\infty} \left[\sum_{\substack{K \in \mathbb{N}_0^{I_P} \\ |K|=j}} \binom{|K|}{K} \prod_{\gamma \in I_P} a_{\gamma}^{k_{\gamma}} (x^{\gamma})^{k_{\gamma}} \right] \\ &= \sum_{j=0}^{\infty} \left[\sum_{\substack{K \in \mathbb{N}_0^{I_P} \\ |K|=j}} A^K \binom{|K|}{K} x^{[K]} \right] = \sum_{\alpha \in \mathbb{N}_0^n} x^{\alpha} \sum_{\substack{K \in \mathbb{N}_0^{I_P} \\ [K]=\alpha}} A^K \binom{|K|}{K}. \end{aligned}$$

So, by uniqueness of the coefficients, (3.14) holds for $m = 1$. Now let (3.14) be valid for an arbitrary $m \in \mathbb{N}$. Then we obtain again by uniqueness and by Lemma 3.3 the identity for $m + 1$:

$$(3.16) \quad \begin{aligned} \frac{1}{(1 - P(x))^{m+1}} &= \left(\sum_{\alpha \in \mathbb{N}_0^n} \rho_P^m(\alpha) x^{\alpha} \right) \left(\sum_{\alpha \in \mathbb{N}_0^n} \rho_P^1(\alpha) x^{\alpha} \right) \\ &= \left(\sum_{K \in \mathbb{N}_0^{I_P}} \binom{|K|}{K} \binom{|K| + m - 1}{m - 1} A^K x^{[K]} \right) \left(\sum_{J \in \mathbb{N}_0^{I_P}} \binom{|J|}{J} A^J x^{[J]} \right) \\ &= \sum_{L \in \mathbb{N}_0^{I_P}} \left[A^L x^{[L]} \sum_{\substack{K \in \mathbb{N}_0^{I_P} \\ K \leq L}} \binom{|L - K|}{L - K} \binom{|K| + m - 1}{m - 1} \binom{|K|}{K} \right] \\ &= \sum_{\alpha \in \mathbb{N}_0^n} x^{\alpha} \left[\sum_{\substack{L \in \mathbb{N}_0^{I_P} \\ [L]=\alpha}} A^L \binom{|L|}{L} \binom{|L| + m}{m} \right]. \quad \blacksquare \end{aligned}$$

Let from now on $\rho_P^m(\alpha) = 0$ for $\alpha \in \mathbb{Z}^n \setminus \mathbb{N}_0^n$. Then we obtain the following recursion formulae for the (P, m) -weights:

REMARK 3.5. Let $P = \sum_{\gamma \in \mathbb{N}_0^n} a_{\gamma} x^{\gamma}$ be a positive regular polynomial and let $Q = 1 - (1 - P)^m = \sum_{\gamma \in \mathbb{N}_0^n} b_{\gamma} x^{\gamma}$. Then

$$(3.17) \quad \rho_P^m(\alpha) = \sum_{\gamma \in \mathbb{N}_0^n} b_{\gamma} \rho_P^m(\alpha - \gamma), \quad \alpha \in \mathbb{N}_0^n$$

and for $m > 1$,

$$(3.18) \quad \rho_P^m(\alpha) = \rho_P^{m-1}(\alpha) + \sum_{\gamma \in \mathbb{N}_0^n} a_\gamma \rho_P^m(\alpha - \gamma).$$

Proof. For $\alpha \in \mathbb{N}_0^n$, $\sum_{\gamma \in \mathbb{N}_0^n} b_\gamma \rho_P^m(\alpha - \gamma)$ is the coefficient at index α of the product power series $\left(\sum_{\alpha \in \mathbb{N}_0^n} \rho_P^m(\alpha) x^\alpha \right) \left(\sum_{\gamma \in \mathbb{N}_0^n} b_\gamma x^\gamma \right)$. We obtain Equation (3.17) by comparison of coefficients, since for $|P(x)| < 1$ we have

$$(3.19) \quad \begin{aligned} \sum_{\alpha \in \mathbb{N}_0^n} x^\alpha \sum_{\gamma \in \mathbb{N}_0^n} b_\gamma \rho_P^m(\alpha - \gamma) &= (1 - P(x))^{-m} (1 - (1 - P(x))^m) \\ &= \sum_{\alpha \in \mathbb{N}_0^n} \rho_P^m(\alpha) x^\alpha - 1. \end{aligned}$$

Similarly, $\sum_{\gamma \in \mathbb{N}_0^n} a_\gamma \rho_P^m(\alpha - \gamma)$ is the α -coefficient of the product power series $\left(\sum_{\alpha \in \mathbb{N}_0^n} \rho_P^m(\alpha) x^\alpha \right) \left(\sum_{\gamma \in \mathbb{N}_0^n} a_\gamma x^\gamma \right)$, and we obtain for $|P(x)| < 1$, $m > 1$

$$(3.20) \quad \begin{aligned} \sum_{\alpha \in \mathbb{N}_0^n} x^\alpha \left(\rho_P^m(\alpha) - \sum_{\gamma \in \mathbb{N}_0^n} a_\gamma \rho_P^m(\alpha - \gamma) \right) - 1 \\ &= (1 - P(x))^{-m} - (1 - P(x))^{-m} P(x) - 1 \\ &= (1 - P(x))^{-m+1} - 1 = \sum_{\alpha \in \mathbb{N}_0^n} \rho_P^{m-1}(\alpha) x^\alpha - 1 \end{aligned}$$

implying (3.18). ■

Now we can prove that the multiplication operators are well-defined bounded operators on $H^2(\rho_P^m)$.

LEMMA 3.6. $M_{z_1}, \dots, M_{z_n} \in \mathcal{L}(H^2(\rho_P^m))$.

Proof. Let e_i be the i th unit vector in \mathbb{C}^n , $i = 1, \dots, n$. It is sufficient to show that for some constant $c > 0$, $\rho_P^m(\alpha + e_i) \geq c \rho_P^m(\alpha)$ for all $\alpha \in \mathbb{N}_0^n$. But by Remark 3.5,

$$(3.21) \quad \rho_P^m(\alpha + e_i) \geq \sum_{\gamma \in \mathbb{N}_0^n} a_\gamma \rho_P^m(\alpha + e_i - \gamma) \geq a_{e_i} \rho_P^m(\alpha)$$

for $\alpha \in \mathbb{N}_0^n$, which proves the lemma. ■

The multiplication operators are obviously commuting.

For the separable Hilbert space \mathcal{H} , we can consider the Hilbert space tensor product $\mathcal{H} \otimes H^2(\rho_P^m) =: H_{\mathcal{H}}^2(\rho_P^m)$. This space can obviously be identified with the space of formal power series with coefficients in \mathcal{H} , $\sum_{\alpha \in \mathbb{N}_0^n} h_{\alpha} z^{\alpha}$ with $h_{\alpha} \in \mathcal{H}$ for $\alpha \in \mathbb{N}_0^n$, such that $\sum_{\alpha \in \mathbb{N}_0^n} \|h_{\alpha}\|^2 (1/\rho_P^m(\alpha)) < \infty$. The inner product on $H_{\mathcal{H}}^2(\rho_P^m)$ is then given by

$$\left\langle \sum_{\alpha \in \mathbb{N}_0^n} h_{\alpha} z^{\alpha}, \sum_{\alpha' \in \mathbb{N}_0^n} h'_{\alpha'} z^{\alpha'} \right\rangle = \sum_{\alpha \in \mathbb{N}_0^n} \langle h_{\alpha}, h'_{\alpha} \rangle \frac{1}{\rho_P^m(\alpha)}.$$

We can view $H_{\mathcal{H}}^2(\rho_P^m)$ as a space of \mathcal{H} -valued holomorphic functions on \mathcal{P} . From now on, we will denote the multiplication operators with the coordinates on $H_{\mathcal{H}}^2(\rho_P^m)$ as well as the ones on $H^2(\rho_P^m)$ by M_{z_1}, \dots, M_{z_n} . By Lemma 3.6, these operators are also well-defined and bounded on $H_{\mathcal{H}}^2(\rho_P^m)$.

As in the case of spherical contractions, the spectrum of a $(P, 1)$ -positive multioperator is contained in the closure of the P -ball:

LEMMA 3.7. *Let P be a positive regular polynomial and T a $(P, 1)$ -positive commuting multioperator. Then the Taylor spectrum $\sigma(T)$ of T is contained in the closure $\overline{\mathcal{P}}$ of the P -ball.*

Proof. This lemma is a special case of a more general result ([11], Theorem 1.12). We give a more elementary proof for our situation.

Let $\lambda \in \mathbb{C}^n \setminus \overline{\mathcal{P}}$. We will show that λ is not contained in the joint spectrum of T relative to the closed commutative subalgebra \mathcal{A} of $\mathcal{L}(\mathcal{H})$ generated by T_1, \dots, T_n , i.e. we will show that the ideal I generated by $\lambda_1 \mathbf{1}_{\mathcal{H}} - T_1, \dots, \lambda_n \mathbf{1}_{\mathcal{H}} - T_n$ in \mathcal{A} is equal to \mathcal{A} . Since the Taylor spectrum $\sigma(T)$ of T is contained in the joint spectrum of T relative to any closed commutative subalgebra of $\mathcal{L}(\mathcal{H})$ containing T , this means that λ is not in $\sigma(T)$.

Let $Q_{\lambda}(z) = (1/P(|\lambda|^2))P(\bar{\lambda}z)$. Then $Q_{\lambda}(\lambda) = 1$, and for $h \in \mathcal{H}$, $\|h\| \leq 1$,

$$\begin{aligned} \|Q_{\lambda}(T)h\| &= \frac{1}{P(|\lambda|^2)} \|P(\bar{\lambda}T)h\| \\ (3.22) \quad &\leq \frac{1}{P(|\lambda|^2)} \left(\sum_{\gamma \in I_P} a_{\gamma} |\lambda^{\gamma}|^2 \right)^{1/2} \left(\sum_{\gamma \in I_P} a_{\gamma} \|T^{\gamma}h\|^2 \right)^{1/2} \\ &= \frac{1}{P(|\lambda|^2)^{1/2}} \langle P(C_T)(\mathbf{1}_{\mathcal{H}})h, h \rangle^{1/2} \leq \frac{1}{P(|\lambda|^2)^{1/2}} < 1 \end{aligned}$$

by definition of \mathcal{P} . Thus $\|Q_\lambda(T)\| < 1$, and $\mathbf{1}_{\mathcal{H}} - Q_\lambda(T)$ is invertible in \mathcal{A} . On the other hand, one easily verifies that

$$(3.23) \quad \mathbf{1}_{\mathcal{H}} - Q_\lambda(T) = Q_\lambda(\lambda) - Q_\lambda(T) = \frac{1}{P(|\lambda|^2)} \sum_{\gamma \in I_P} a_\gamma \bar{\lambda}^\gamma (\lambda^\gamma \mathbf{1}_{\mathcal{H}} - T^\gamma) \in I,$$

which finishes the proof. ■

We are now in the situation to state our model theorem:

THEOREM 3.8. *Let P be a positive regular polynomial in n variables, $T = (T_1, \dots, T_n)$ a commuting multioperator on the separable Hilbert space \mathcal{H} and $m \in \mathbb{N}$. Then the following are equivalent:*

- (i) T is (P, m) -positive;
- (ii) there exist a Hilbert space \mathcal{N} , a P -unitary operator $N = (N_1, \dots, N_n) \in \mathcal{L}(\mathcal{N})^n$ and an isometry $V = V_1 \oplus V_2 : \mathcal{H} \rightarrow H_{\mathcal{H}}^2(\rho_P^m) \oplus \mathcal{N}$ such that $VT = (M_z^* \oplus N)V$.

Proof. First we prove (i) \Rightarrow (ii).

CLAIM 1. Let T be $(P, 1)$ -positive for the positive operator $X \in \mathcal{L}(\mathcal{H})$. Then the sequence $(P(C_T)^k(X))_{k \in \mathbb{N}}$ converges to some positive operator \tilde{P}_X in the strong operator topology (SOT) on $\mathcal{L}(\mathcal{H})$.

Proof. Since P is positive regular, $(P(C_T)^k(X))_{k \in \mathbb{N}}$ is a sequence of positive operators and thus bounded below by 0. Moreover, the sequence is decreasing because of

$$P(C_T)^k(X) - P(C_T)^{k+1}(X) = P(C_T)^k(1 - P(C_T))(X) \geq 0$$

and consequently converging to some positive operator \tilde{P}_X in the SOT-topology. ■

Now define for $X \in \mathcal{L}(\mathcal{H})$, $X \geq 0$, and T (P, m) -positive for X the map

$$V_1^X : \mathcal{H} \rightarrow H_{\mathcal{H}}^2(\rho_P^m), \quad h \mapsto \sum_{\alpha \in \mathbb{N}_0^n} \rho_P^m(\alpha) ((1 - P(C_T))^m(X))^{1/2} T^\alpha h z^\alpha.$$

As one proves by induction completely analogously to [6], Lemmas 4 and 5 (see also [11], 2.1 and 2.8), we have

$$(3.24) \quad \begin{aligned} & \sum_{j=0}^k \binom{j+m-1}{m-1} P(C_T)^j (1 - P(C_T))^m \\ &= 1 - \sum_{j=0}^{m-1} \binom{k+j}{j} P(C_T)^{k+1} (1 - P(C_T))^j, \quad k \in \mathbb{N} \end{aligned}$$

and

$$(3.25) \quad \lim_{k \rightarrow \infty} \binom{k+j}{j} \langle P(C_T)^{k+1} (1 - P(C_T))^j(X)h, h \rangle = 0, \quad h \in \mathcal{H},$$

for $j = 1, \dots, m-1$. We obtain

$$(3.26) \quad \|V_1^X h\|^2 = \|h\|^2 - \lim_{k \rightarrow \infty} \langle P(C_T)^k(X)h, h \rangle = \|h\|^2 - \langle \tilde{P}_X h, h \rangle, \quad h \in \mathcal{H}$$

by

$$(3.27) \quad \begin{aligned} \|V_1^X h\|^2 &= \sum_{\alpha \in \mathbb{N}_0^n} \rho_P^m(\alpha) \langle (1 - P(C_T))^m(X)T^\alpha h, T^\alpha h \rangle \\ &= \sum_{\alpha \in \mathbb{N}_0^n} \left[\sum_{\substack{K \in \mathbb{N}_0^{I_P} \\ [K]=\alpha}} \binom{|K|+m-1}{m-1} \binom{|K|}{K} A^K \langle C_T^\alpha (1 - P(C_T))^m(X)h, h \rangle \right] \\ &= \sum_{j=0}^{\infty} \left[\sum_{\substack{K \in \mathbb{N}_0^{I_P} \\ |K|=j}} \binom{j+m-1}{m-1} \binom{j}{K} A^K \langle C_T^{[K]} (1 - P(C_T))^m(X)h, h \rangle \right] \\ &= \sum_{j=0}^{\infty} \binom{j+m-1}{m-1} \langle P(C_T)^j (1 - P(C_T))^m(X)h, h \rangle \\ &= \|h\|^2 - \lim_{k \rightarrow \infty} \sum_{j=0}^{m-1} \binom{k+j}{j} \langle P(C_T)^{k+1} (1 - P(C_T))^j(X)h, h \rangle \\ &= \|h\|^2 - \lim_{k \rightarrow \infty} \langle P(C_T)^k(X)h, h \rangle, \end{aligned}$$

according to (3.24) and (3.25), with the limits existing because of Claim 1. For T (P, m) -positive and $V_1 = V_1^{1_{\mathcal{H}}}$, one gets

$$(3.28) \quad \begin{aligned} V_1 T_i h &= \sum_{\alpha \in \mathbb{N}_0^n} \rho_P^m(\alpha) ((1 - P(C_T))^m(\mathbf{1}_{\mathcal{H}}))^{1/2} T^{\alpha+e_i} h z^\alpha \\ &= \sum_{\alpha \in \mathbb{N}_0^n} \frac{\rho_P^m(\alpha)}{\rho_P^m(\alpha+e_i)} \rho_P^m(\alpha+e_i) ((1 - P(C_T))^m(\mathbf{1}_{\mathcal{H}}))^{1/2} T^{\alpha+e_i} h z^\alpha \\ &= M_{z_i}^* \left(\sum_{\alpha \in \mathbb{N}_0^n} \rho_P^m(\alpha+e_i) ((1 - P(C_T))^m(\mathbf{1}_{\mathcal{H}}))^{1/2} T^{\alpha+e_i} h z^{\alpha+e_i} \right) \\ &= M_{z_i}^* V_1 h. \end{aligned}$$

So we have constructed the first part of our model. In a second step we construct the P -unitary part, using the fact that $\tilde{P} = \tilde{P}_{\mathbf{1}_{\mathcal{H}}}$ is invariant under $P(C_T)$. In the following, we write s -lim for the limits in the strong operator topology on $\mathcal{L}(\mathcal{H})$.

LEMMA 3.9. *Let T be a $(P, 1)$ -positive commuting multioperator on \mathcal{H} and $\tilde{P} = \tilde{P}_{\mathbf{1}_{\mathcal{H}}} = s\text{-}\lim_{k \rightarrow \infty} P(C_T)^k(\mathbf{1}_{\mathcal{H}})$. Then there exist a Hilbert space \mathcal{N} , a P -unitary multioperator $N \in \mathcal{L}(\mathcal{N})^n$ and a contractive linear mapping $V_2 : \mathcal{H} \rightarrow \mathcal{N}$ such that $\|V_2 h\|^2 = \langle \tilde{P}h, h \rangle$ for $h \in \mathcal{H}$ and $V_2 T = N V_2$.*

Proof. Let $\mathcal{K} = \overline{\tilde{P}^{1/2}\mathcal{H}}$ and $V_2 : \mathcal{H} \rightarrow \mathcal{K}, h \mapsto \tilde{P}^{1/2}h$. For $i = 1, \dots, n$, the linear map $W_i : \tilde{P}^{1/2}\mathcal{H} \rightarrow \mathcal{K}$,

$$(3.29) \quad W_i V_2 h = V_2 T_i h \quad \text{for } h \in \mathcal{H},$$

is well-defined and bounded, since

$$(3.30) \quad \|W_i V_2 h\|^2 = \langle T_i^* \tilde{P} T_i h, h \rangle \leq a_{e_i}^{-1} \langle P(C_T)(\tilde{P})h, h \rangle = a_{e_i}^{-1} \|V_2 h\|^2, \quad h \in \mathcal{H}.$$

So we can extend W_i to a bounded linear map $\mathcal{K} \rightarrow \mathcal{K}$, which we also call W_i . By (3.29) and continuity, we have $W V_2 = V_2 T$ for $W = (W_1, \dots, W_n)$ and consequently

$$(3.31) \quad V_2^*(P(C_W)(\mathbf{1}_{\mathcal{K}}))V_2 = P(C_T)(V_2^*V_2) = P(C_T)(\tilde{P}) = V_2^*V_2$$

because of the SOT-continuity of $P(C_T)$.

Now $P(C_W)(\mathbf{1}_{\mathcal{K}}) = \mathbf{1}_{\mathcal{K}}$, since $V_2\mathcal{H}$ is dense in \mathcal{K} . Thus W is a P -isometry. To replace W by a P -unitary tuple, we need the following lemma:

LEMMA 3.10. *Every P -isometry is subnormal, and its minimal normal extension is a P -unitary.*

Proof. Let $W \in \mathcal{L}(\mathcal{W})^n$ be a P -isometry. Then the tuple $(a_\gamma^{1/2}W^\gamma)_{\gamma \in I_P}$ is a spherical isometry and consequently by [1], Proposition 2, a subnormal tuple. Since a_{e_1}, \dots, a_{e_n} are all not 0, in particular the tuple $W = (W_1, \dots, W_n)$ is subnormal. Let $N = (N_1, \dots, N_n)$ be its minimal normal extension on the Hilbert space $\mathcal{N} \supseteq \mathcal{W}$. Then $(a_\gamma^{1/2}N^\gamma)_{\gamma \in I_P}$ is the minimal normal extension of the tuple $(a_\gamma^{1/2}W^\gamma)_{\gamma \in I_P}$ and by [1] also a spherical isometry, which implies that N is a P -unitary. ■

Now let for a (P, m) -positive multioperator T on \mathcal{H}

$$(3.32) \quad V = V_1 \oplus V_2 : \mathcal{H} \rightarrow H_{\mathcal{H}}^2(\rho_P^m) \oplus \mathcal{N}.$$

The mapping V is an isometry, and $VT = (M_z^* \oplus N)V$. Note that only the first part of the model depends on m .

For the proof of the reverse direction, we have only to show that $M_z^* \in \mathcal{L}(H^2(\rho_P^m))^n$ is (P, m) -positive for arbitrary m . Then the (P, m) -positivity of M_z^* on $H_{\mathcal{H}}^2(\rho_P^m)$ follows, and we obtain the (P, m) -positivity of T by the fact that any P -unitary is (P, m) -positive for every m and that (P, m) -positivity is preserved under the direct sum $M_z^* \oplus N$, the restriction to the invariant subspace $V\mathcal{H}$ and the unitary transformation $\mathcal{H} \rightarrow V\mathcal{H}$.

LEMMA 3.11. *For every $m \in \mathbb{N}$, the commuting multioperator $M_z^* \in \mathcal{L}(H^2(\rho_P^m))^n$ is (P, m) -positive. Moreover, $(1 - P(C_{M_z^*}))^m(\mathbf{1})$ is the orthogonal projection onto the subspace of constants in $H^2(\rho_P^m)$.*

Proof. For $\alpha, \beta \in \mathbb{N}_0^n$, we have

$$(3.33) \quad M_z^\beta M_z^{*\beta} z^\alpha = \begin{cases} \frac{\rho_P^m(\alpha-\beta)}{\rho_P^m(\alpha)} z^\alpha & \text{if } \beta \leq \alpha, \\ 0 & \text{otherwise.} \end{cases}$$

So obviously $(1 - P(C_{M_z^*}))^m(\mathbf{1})z^\alpha = z^\alpha$ for $\alpha = 0$. Let as before $\rho_P^m(\alpha) = 0$ for $\alpha \in \mathbb{Z}^n \setminus \mathbb{N}_0^n$. Since the spaces $\mathbb{C} \cdot z^\alpha$ are invariant under $M_z^\beta M_z^{*\beta}$, thus also invariant under $(1 - P(C_{M_z^*}))(\mathbf{1})$ and $(1 - P(C_{M_z^*}))^m(\mathbf{1})$, it remains to show that

$$(3.34) \quad \langle (1 - P(C_{M_z^*}))(\mathbf{1})z^\alpha, z^\alpha \rangle \geq 0, \quad \alpha \geq 0$$

$$(3.35) \quad \langle (1 - P(C_{M_z^*}))^m(\mathbf{1})z^\alpha, z^\alpha \rangle = 0, \quad \alpha \geq 0, \alpha \neq 0.$$

By Equation (3.33), we have

$$(3.36) \quad \langle (1 - P(C_{M_z^*}))(\mathbf{1})z^\alpha, z^\alpha \rangle = \frac{1}{\rho_P^m(\alpha)^2} \left(\rho_P^m(\alpha) - \sum_{\gamma \in I_P} a_\gamma \rho_P^m(\alpha - \gamma) \right)$$

and

$$(3.37) \quad \langle (1 - P(C_{M_z^*}))^m(\mathbf{1})z^\alpha, z^\alpha \rangle = \frac{1}{\rho_P^m(\alpha)^2} \left(\rho_P^m(\alpha) - \sum_{\gamma \in \mathbb{N}_0^n} b_\gamma \rho_P^m(\alpha - \gamma) \right),$$

where $\sum_{\gamma \in \mathbb{N}_0^n} b_\gamma x^\gamma$ is the polynomial $1 - (1 - P)^m$. The rest of the proof now results from Remark 3.5. ■

This finishes the proof of Theorem 3.8. ■

Via the isometric isomorphism

$$(3.38) \quad H_{\mathcal{H}}^2(\rho_P^m) \rightarrow l^2(\mathbb{N}_0^n, \mathcal{H}), \quad \sum_{\alpha \in \mathbb{N}_0^n} h_{\alpha} z^{\alpha} \mapsto \left(\frac{1}{\rho_P^m(\alpha)^{1/2}} h_{\alpha} \right)_{\alpha \in \mathbb{N}_0^n},$$

the multioperator M_z^* may be looked upon as a weighted multi-backward shift. So $V_1 \mathcal{H} \subseteq H_{\mathcal{H}}^2(\rho_P^m)$ may be regarded as the shift part of our model, and $V_2 \mathcal{H} \subseteq \mathcal{N}$ is the P -unitary part.

In case $m = n = 1$ and $P = x$, the (P, m) -positive operators are just the contractions, and our model is the well-known coisometric extension for contractions.

If P is the polynomial $\sum_{i=1}^n x_i$, the P -ball $\mathcal{P} = \{z \in \mathbb{C}^n \mid P(|z|^2) < 1\}$ is just the unit ball \mathbb{B}^n of \mathbb{C}^n , and the P -unitaries are just the spherical unitaries. For this case, Theorem 3.8 was proved by V. Müller and F.-H. Vasilescu in [6]. The positivity conditions $\Delta_P^{(m)} \geq 0$, $1 \leq m \leq n$, were examined earlier by A. Athavale, who showed in [1], Remark 1 to Proposition 4, that the tuple T then has a spherical dilation.

The standard model of Müller and Vasilescu reproduces this result: as one easily verifies, for the above P the space $H^2(\rho_P^m)$ is just the Hardy space

$$H^2(\mathbb{B}^n) = \left\{ f : \mathbb{B}^n \rightarrow \mathbb{C} \text{ holomorphic} \mid \|f\|^2 := \sup_{0 < r < 1} \int_{\partial \mathbb{B}^n} |f(rz)|^2 d\sigma < \infty \right\},$$

where σ is the normalized surface measure on $\partial \mathbb{B}^n$, since

$$\int_{\partial \mathbb{B}^n} |z^{\alpha}|^2 d\sigma = (n-1)! \alpha! / (n-1-|\alpha|)!$$

for $\alpha \in \mathbb{N}_0^n$ (see e.g. [10], Proposition 1.4.9). The adjoint of the multiplication tuple here of course has a spherical dilation, for example the multioperator $M_{\bar{z}} \in \mathcal{L}(L^2(\partial \mathbb{B}^n, \sigma))^n$ via the isometric inclusion $H^2(\mathbb{B}^n) \hookrightarrow L^2(\partial \mathbb{B}^n, \sigma)$. Thus $M_z^* \oplus N$, where N is a spherical unitary, has a spherical dilation, and T , being unitarily equivalent to the restriction of $M_z^* \oplus N$ to an invariant subspace, has a spherical dilation, too.

The existence of a spherical dilation implies a von Neumann-type inequality over \mathbb{B}^n and consequently the existence of a contractive $\mathcal{A}(\mathbb{B}^n)$ -functional calculus for T , where $\mathcal{A}(\mathbb{B}^n) = \{f : \bar{\mathbb{B}}^n \rightarrow \mathbb{C} \text{ continuous} \mid f|_{\mathbb{B}^n} \text{ holomorphic}\}$.

But since the multioperator $M_z^* \in \mathcal{L}(H_{\mathcal{H}}^2(\rho_P^m))^n = \mathcal{L}(H_{\mathcal{H}}^2(\mathbb{B}^n))^n$ has an obvious $H^{\infty}(\mathbb{B}^n)$ -functional calculus defined by

$$(3.39) \quad f(M_z^*) = (M_{\bar{f}})^*, \quad f \in H^{\infty}(\mathbb{B}^n)$$

with $\check{f}(z) = \overline{f(\bar{z})}$, every (P, n) -positive operator for which the model given by Theorem 3.8 consists only of the first part has even an $H^\infty(\mathbb{B}^n)$ -functional calculus. So, according to Lemma 3.9 in the proof of Theorem 3.8, every (P, n) -positive multioperator T with $s\text{-}\lim_{k \rightarrow \infty} P(C_T)^k(\mathbf{1}_{\mathcal{H}}) = 0$ has a $H^\infty(\mathbb{B}^n)$ -functional calculus. This result is contained in [6] and may also be obtained by means of an operator-valued Poisson integral formula ([14]).

So for general positive regular polynomials P , a natural question to ask is whether $H^2(\rho_P^m)$ may be identified for suitable m with a well-known Hilbert space of holomorphic functions on the P -ball \mathcal{P} and thus one can obtain a rich functional calculus for $M_z^* \in \mathcal{L}(H^2(\rho_P^m))^n$ (and consequently for (P, m) -positive T) by this identification.

In the next section, we will show that such an identification is possible by passing to an equivalent norm.

4. THE FUNCTIONAL MODEL

THEOREM 4.1. *Let P be a positive regular polynomial and $m = \text{mult}(P) > n$. Furthermore, let μ be the normalization of the positive measure $(1 - P(|z|^2))^{m-n-1} d\lambda$ on \mathcal{P} , where $d\lambda$ denotes Lebesgue measure. Then the space $H^2(\rho_P^m)$ and the Bergman space*

$$B^2(\mathcal{P}, \mu) = \left\{ f : \mathcal{P} \rightarrow \mathbb{C} \text{ holomorphic} \mid \int_{\mathcal{P}} |f(z)|^2 d\mu < \infty \right\}$$

coincide as sets of functions on \mathcal{P} , and the identifying map $\text{id} : B^2(\mathcal{P}, \mu) \rightarrow H^2(\rho_P^m)$ is a topological isomorphism.

Proof. Let us first introduce some notations. With $P = \sum_{\gamma \in \mathbb{N}_0^n} a_\gamma x^\gamma$, $I_P = \{\gamma \in \mathbb{N}_0^n \mid a_\gamma > 0\}$ and $|I_P| = \text{mult}(P) = m$, identify \mathbb{C}^m with \mathbb{C}^{I_P} and denote the elements of \mathbb{C}^m by $w = (w_\gamma)_{\gamma \in I_P}$. Let $\tau : \mathbb{C}^m \rightarrow \mathbb{C}^n$, $w = (w_\gamma)_{\gamma \in I_P} \mapsto (w_{e_1}, \dots, w_{e_n})$, and $\kappa : \mathbb{C}^m \rightarrow \mathbb{C}^n$, $w = (w_\gamma)_{\gamma \in I_P} \mapsto (a_{e_1}^{-1/2} w_{e_1}, \dots, a_{e_n}^{-1/2} w_{e_n})$. Now define the holomorphic map

$$(4.1) \quad \varphi : \mathbb{C}^m \rightarrow \mathbb{C}^m, \quad \varphi(w)_\gamma = \begin{cases} a_\gamma^{1/2} w_\gamma & \text{if } \gamma \in e_1, \dots, e_n, \\ w_\gamma + a_\gamma^{1/2} \tau(w)^\gamma & \text{otherwise.} \end{cases}$$

The map φ is biholomorphic, since

$$(4.2) \quad \varphi^{-1} : \mathbb{C}^m \rightarrow \mathbb{C}^m, \quad \varphi^{-1}(w)_\gamma = \begin{cases} a_\gamma^{-1/2} w_\gamma & \text{if } \gamma \in e_1, \dots, e_n, \\ w_\gamma - a_\gamma^{1/2} \kappa(w)^\gamma & \text{otherwise;} \end{cases}$$

is obviously a holomorphic inverse map. Let $D = \varphi^{-1}(\mathbb{B}^m)$. Then D is strictly pseudoconvex, since \mathbb{B}^m is strictly pseudoconvex (see e.g. [9], II.2.7), and we have

$$\begin{aligned}
 & D \cap (\mathbb{C}^n \times \{0\} \times \cdots \times \{0\}) \\
 (4.3) \quad & = \left\{ w \in \mathbb{C}^m \mid w_\gamma = 0 \text{ for } \gamma \notin \{e_1, \dots, e_n\}, \sum_{\gamma \in I_P} a_\gamma |\tau(w)^\gamma|^2 < 1 \right\} \\
 & = \mathcal{P} \times \{0\} \times \cdots \times \{0\}.
 \end{aligned}$$

Moreover, $\mathcal{M} = \varphi(\mathcal{P})$ is a complex submanifold of \mathbb{B}^m such that $\mathcal{M} = \{w \in \mathbb{B}^m \mid w_\gamma = a_\gamma^{1/2} \kappa(w)^\gamma\}$.

Let Q be the polynomial in m variables that corresponds to the unit ball, $Q \in \mathbb{C}[(X_\gamma)_{\gamma \in I_P}]$, $Q = \sum_{\gamma \in I_P} x_\gamma$.

We will now construct the identifying map $B^2(\mathcal{P}, \mu) \rightarrow H^2(\rho_P^m)$ in several steps.

Step 1. THE RESTRICTION. As in (3.8), let $[\cdot] : \mathbb{N}_0^m = \mathbb{N}_0^{I_P} \rightarrow \mathbb{N}_0^n$, $[\beta]_i = \sum_{\gamma \in I_P} \gamma_i \beta_\gamma$.

LEMMA 4.2. *With $A = (a_\gamma)_{\gamma \in I_P}$ and the notation in (3.6), the map*

$$(4.4) \quad \pi : H^2(\mathbb{B}^m) \rightarrow H^2(\rho_P^m), \quad \sum_{\beta \in \mathbb{N}_0^m} c_\beta w^\beta \mapsto \sum_{\beta \in \mathbb{N}_0^m} c_\beta A^{\beta/2} z^{[\beta]}$$

is well-defined, surjective, linear and has norm 1.

Proof. First notice that the (P, m) -weights may be expressed in terms of (Q, m) -weights: For $\alpha \in \mathbb{N}_0^n$, we have

$$(4.5) \quad \rho_P^m(\alpha) = \sum_{\substack{\beta \in \mathbb{N}_0^m \\ [\beta] = \alpha}} A^\beta \binom{|\beta| + m - 1}{m - 1} \binom{|\beta|}{\beta} = \sum_{\substack{\beta \in \mathbb{N}_0^m \\ [\beta] = \alpha}} A^\beta \rho_Q^m(\beta).$$

As one shows easily by induction over r , for any $a_1, \dots, a_r, b_1, \dots, b_r \in \mathbb{R}$ with $a_1, \dots, a_r \geq 0$ and $b_1, \dots, b_r > 0$ one has

$$(4.6) \quad \frac{\left(\sum_{i=1}^r a_i\right)^2}{\sum_{i=1}^r b_i} \leq \sum_{i=1}^r \frac{a_i^2}{b_i}.$$

Consequently we obtain for arbitrary $f = \sum_{\beta \in \mathbb{N}_0^m} c_\beta w^\beta \in H^2(\mathbb{B}^m)$, $\alpha \in \mathbb{N}_0^n$

$$(4.7) \quad \frac{\left| \sum_{\substack{\beta \in \mathbb{N}_0^m \\ [\beta]=\alpha}} A^{\beta/2} c_\beta \right|^2}{\rho_P^m(\alpha)} \leq \frac{\left(\sum_{\substack{\beta \in \mathbb{N}_0^m \\ [\beta]=\alpha}} A^{\beta/2} |c_\beta| \right)^2}{\sum_{\substack{\beta \in \mathbb{N}_0^m \\ [\beta]=\alpha}} A^\beta \rho_Q^m(\beta)} \leq \sum_{\substack{\beta \in \mathbb{N}_0^m \\ [\beta]=\alpha}} \frac{|c_\beta|^2}{\rho_Q^m(\beta)}$$

and

$$(4.8) \quad \|\pi(f)\|^2 = \sum_{\alpha \in \mathbb{N}_0^n} \frac{1}{\rho_P^m(\alpha)} \left| \sum_{\substack{\beta \in \mathbb{N}_0^m \\ [\beta]=\alpha}} A^{\beta/2} c_\beta \right|^2 \leq \|f\|^2.$$

To show the surjectivity of π , consider the map $\iota : H^2(\rho_P^m) \rightarrow H^2(\mathbb{B}^m)$, $g = \sum_{\alpha \in \mathbb{N}_0^n} c_\alpha z^\alpha \mapsto \sum_{\alpha \in \mathbb{N}_0^n} c_\alpha \sum_{\substack{\beta \in \mathbb{N}_0^m \\ [\beta]=\alpha}} A^{\beta/2} (\rho_Q^m(\beta)/\rho_P^m(\alpha)) w^\beta$. Then ι is well-defined and isometric, since $\iota(g) \in H^2(\mathbb{B}^m)$ with $\|\iota(g)\|^2 = \sum_{\alpha \in \mathbb{N}_0^n} |c_\alpha|^2 \sum_{\substack{\beta \in \mathbb{N}_0^m \\ [\beta]=\alpha}} A^\beta (\rho_Q^m(\beta)/\rho_P^m(\alpha)^2) = \|g\|^2$ by Equation (4.5), and $\pi \circ \iota = \mathbf{1}$. ■

Thus the map π can be regarded as the orthogonal projection from $H^2(\mathbb{B}^m)$ onto the closed subspace $H^2(\rho_P^m)$. This close relationship between $H^2(\rho_P^m)$ and $H^2(\mathbb{B}^m)$ and the definitions of φ and π become clearer by considering the following idea:

Let $T = (T_1, \dots, T_n)$ be a (P, m) -positive multioperator on \mathcal{H} and let $V_1 : \mathcal{H} \rightarrow H_{\mathcal{H}}^2(\rho_P^m)$ be the map constructed in Theorem 3.8. Let W be the commuting m -tuple $(W_\gamma)_{\gamma \in I_P}$, $W_\gamma = a_\gamma^{1/2} T^\gamma$. Then

$$(4.9) \quad (1 - P)(C_T) = (1 - Q)(C_W)$$

and thus W is (Q, m) -positive. Again by Theorem 3.8, now applied to the m -tuple W , we obtain the map $\tilde{V}_1 : \mathcal{H} \rightarrow H_{\mathcal{H}}^2(\mathbb{B}^m)$ as first part of the model for the tuple W . Therefore

$$(4.10) \quad \begin{aligned} (\mathbf{1}_{\mathcal{H}} \otimes \pi) \circ \tilde{V}_1(h) &= (\mathbf{1}_{\mathcal{H}} \otimes \pi) \left(\sum_{\beta \in \mathbb{N}_0^m} \rho_Q^m(\beta) ((1 - Q)^m(C_W)(\mathbf{1}_{\mathcal{H}}))^{1/2} W^\beta h w^\beta \right) \\ &= \sum_{\alpha \in \mathbb{N}_0^n} \sum_{\substack{\beta \in \mathbb{N}_0^m \\ [\beta]=\alpha}} \rho_Q^m(\beta) A^\beta ((1 - P)^m(C_T)(\mathbf{1}_{\mathcal{H}}))^{1/2} T^{[\beta]} h z^\alpha \\ &= \sum_{\alpha \in \mathbb{N}_0^n} \rho_P^m(\alpha) ((1 - P)^m(C_T)(\mathbf{1}_{\mathcal{H}}))^{1/2} T^\alpha h z^\alpha = V_1(h) \end{aligned}$$

for $h \in \mathcal{H}$, and we have

$$(4.11) \quad (\mathbf{1}_{\mathcal{H}} \otimes \pi) \circ \tilde{V}_1 = V_1.$$

In particular, the map $\mathbf{1}_{\mathcal{H}} \circ \pi$ is isometric on $\tilde{V}_1 \mathcal{H}$, since

$$(4.12) \quad \|V_1 h\|^2 = \lim_{k \rightarrow \infty} \langle P(C_T)^k(\mathbf{1}_{\mathcal{H}})h, h \rangle = \lim_{k \rightarrow \infty} \langle Q(C_W)^k(\mathbf{1}_{\mathcal{H}})h, h \rangle = \|\tilde{V}_1 h\|^2.$$

The submanifold $\mathcal{M} = \{w \in \mathbb{B}^m \mid w_\gamma = a_\gamma^{1/2} \kappa(w)^\gamma\}$ corresponds to the identities $W_\gamma = a_\gamma^{1/2} T^\gamma$. The map π may be regarded as the restriction of functions in $H^2(\mathbb{B}^m)$ to the submanifold \mathcal{M} , up to the biholomorphic map φ . For $z \in \mathcal{P}$ and $f = \sum_{\beta \in \mathbb{N}_0^m} c_\beta w^\beta \in H^2(\mathbb{B}^m)$, we have

$$(4.13) \quad \begin{aligned} f \circ \varphi(z) &= \sum_{\beta \in \mathbb{N}_0^m} c_\beta (\varphi(z))^\beta = \sum_{\beta \in \mathbb{N}_0^m} c_\beta \prod_{\gamma \in I_P} a_\gamma^{\beta_\gamma/2} (z^\gamma)^{\beta_\gamma} \\ &= \sum_{\beta \in \mathbb{N}_0^m} c_\beta A^{\beta/2} z^{[\beta]} = \pi(f)(z). \end{aligned}$$

Altogether, we have the following commutative diagram.

$$(4.14) \quad \begin{array}{ccc} \tilde{V}_1 \mathcal{H} & \hookrightarrow & H^2_{\mathcal{H}}(\mathbb{B}^m) \\ \tilde{V}_1 \nearrow & \downarrow \wr & \mathbf{1} \otimes \iota \uparrow \downarrow \mathbf{1}_{\mathcal{H}} \otimes \pi = \cdot \circ \varphi|_{\mathcal{P}} \\ \mathcal{H} & \xrightarrow{V_1} & V_1 \mathcal{H} \hookrightarrow H^2_{\mathcal{H}}(\rho_{\mathcal{P}}^m). \end{array}$$

Step 2. THE TRANSFORMATION. Recall that the Hardy space $H^p(\Omega)$, $1 < p < \infty$, over a bounded strictly pseudoconvex set $\Omega \subseteq \mathbb{C}^n$ with C^2 -boundary can be obtained in the following way (see e.g. [5], Section 8.3):

Let $\varrho : U \rightarrow \mathbb{R}$ be a strictly plurisubharmonic defining C^2 -function for Ω , defined on some region $U \supset \bar{\Omega}$. That means,

$$(4.15) \quad \Omega = \{z \in U \mid \varrho(z) < 0\}.$$

Now for $\varepsilon > 0$ let $\Omega_\varepsilon = \{z \in U \mid \varrho(z) < \varepsilon\}$. For sufficiently small ε_0 , $\partial\Omega_\varepsilon$ is a real C^2 -manifold for each ε with $0 < \varepsilon < \varepsilon_0$. Let σ_ε be the surface measure on $\partial\Omega_\varepsilon$ and define

$$(4.16) \quad H^p(\Omega) = \left\{ f : \Omega \rightarrow \mathbb{C} \text{ holomorphic} \mid \|f\|_p = \left(\sup_{\varepsilon_0 > \varepsilon > 0} \int_{\partial\Omega_\varepsilon} |f(z)|^p d\sigma_\varepsilon \right)^{1/p} < \infty \right\}.$$

Then $H^p(\Omega, \|\cdot\|_p)$ is a Banach space. The space $H^p(\Omega)$ is independent of the choice of the defining function ϱ in the sense that any two plurisubharmonic defining C^2 -functions for Ω induce equivalent norms on $H^p(\Omega)$. Furthermore, by passing to nontangential boundary values $H^p(\Omega)$ may be embedded topologically into $L^p(\partial\Omega, \sigma)$, where σ is the surface measure on $\partial\Omega$.

Our aim is to show that the biholomorphic map $\varphi : D \rightarrow \mathbb{B}^m$ induces a topological isomorphism

$$(4.17) \quad U_\varphi : H^2(\mathbb{B}^m) \rightarrow H^2(D), \quad f \mapsto f \circ \varphi.$$

This can be done by using the transformation formula and looking at the Jacobi-matrix for φ on ∂D , but an alternative characterization of $H^p(\Omega)$ and an equivalent norm to $\|\cdot\|_p$ give a much shorter and less technical proof. We have

$$(4.18) \quad H^p(\Omega) = \{f : \Omega \rightarrow \mathbb{C} \text{ holomorphic} \mid |f|^p \text{ has a harmonic majorant on } \Omega\},$$

and if Ω is connected, for any $z \in \Omega$

$$(4.19) \quad \|f\|_{p,z} = \left(\inf\{g(z) \mid g : \Omega \rightarrow \mathbb{R} \text{ harmonic, } g \geq |f|^p\} \right)^{1/p}$$

defines an equivalent norm to $\|\cdot\|_p$ on $H^p(\Omega)$ (see e.g. [15], Section 2.2).

Since composition with the biholomorphic map φ maps the class of real-valued harmonic functions on \mathbb{B}^m bijectively onto the class of real-valued harmonic functions on D , for any fixed $z_0 \in D$ and any $f \in H^2(\mathbb{B}^m)$ we have

$$(4.20) \quad \begin{aligned} \|f \circ \varphi\|_{2,z_0}^2 &= \inf\{g(z_0) \mid g : D \rightarrow \mathbb{R} \text{ harmonic, } g \geq |f \circ \varphi|^2\} \\ &= \inf\{g(\varphi(z_0)) \mid g : \mathbb{B}^m \rightarrow \mathbb{R} \text{ harmonic, } g \geq |f|^2\} \\ &= \|f\|_{2,\varphi(z_0)}^2, \end{aligned}$$

and U_φ in (4.17) is thus a topological isomorphism with inverse $U_{\varphi^{-1}}$.

Step 3. THE EXTENSION. Now we come to the main step of our construction of the identification $B^2(\mathcal{P}, \mu) \rightarrow H^2(\rho_{\mathcal{P}}^m)$, using a theorem of A. Cumenge.

We will show that for a measure $\tilde{\mu}$ equivalent to μ , there is a bounded linear extension operator $E : B^2(\mathcal{P}, \tilde{\mu}) \rightarrow H^2(D)$ and that the restriction $R : H^2(D) \rightarrow B^2(\mathcal{P}, \tilde{\mu})$ is well-defined, bounded and surjective. To apply the theorem of Cumenge, we first have to show that \mathcal{P} may be extended to a complex manifold transverse to ∂D , i.e. that there is a complex submanifold $\tilde{\mathcal{P}}$ of \mathbb{C}^m intersecting ∂D transversally such that $\mathcal{P} = D \cap \tilde{\mathcal{P}}$.

Let $\tilde{\mathcal{P}} = \mathbb{C}^n \times \{0\} \times \cdots \times \{0\}$. Then $\mathcal{P} = D \cap \tilde{\mathcal{P}}$ by (4.3). The function $r : \mathbb{C}^m \rightarrow \mathbb{R}$, $r(z) = \sum_{\gamma \in I_P} |z_\gamma|^2 - 1$, is a strictly plurisubharmonic defining C^∞ -function for \mathbb{B}^m . Thus $\varrho = \varphi \circ r$ is a strictly plurisubharmonic defining C^∞ -function for D .

To prove that $\tilde{\mathcal{P}}$ intersects ∂D transversally, we have to show that

$$(4.21) \quad d\varrho(z) \wedge \left(\bigwedge_{\gamma \in I_P \setminus \{e_1, \dots, e_n\}} dz_\gamma \right) \neq 0 \quad \text{for all } z \in \tilde{\mathcal{P}} \cap \partial D$$

(see e.g. [9], p. 118). So it suffices to prove that for every $z \in \tilde{\mathcal{P}} \cap \partial D$, there is an $i \in \{1, \dots, n\}$ such that $\partial\varrho/\partial z_{e_i}(z) \neq 0$. On $\tilde{\mathcal{P}}$, identify z with $\tilde{z} = \tau(z) \in \mathbb{C}^n$ to obtain $\varrho(z) = \sum_{\gamma \in I_P} a_\gamma |z^\gamma|^2$. Now let $z \in \tilde{\mathcal{P}} \cap \partial D$. Since $0 \notin \partial D$, there is an i with $\tau(z)_i \neq 0$, and we obtain

$$(4.22) \quad \begin{aligned} \frac{\partial\varrho}{\partial z_{e_i}}(z) &= \frac{\partial\varrho}{\partial \tilde{z}_i}(\tilde{z}) = a_{e_i} \overline{\tau(z)}_i + \sum_{\substack{\gamma \in I_P \setminus \{e_1, \dots, e_n\} \\ \gamma_i \neq 0}} \gamma_i a_\gamma \overline{\tau(z)}^\gamma \tau(z)^{\gamma - e_i} \\ &= \overline{\tau(z)}_i \left(a_{e_i} + \sum_{\substack{\gamma \in I_P \setminus \{e_1, \dots, e_n\} \\ \gamma_i \neq 0}} \gamma_i a_\gamma |\tau(z)^{\gamma - e_i}|^2 \right) \neq 0, \end{aligned}$$

since the second factor is strictly positive.

Now \mathcal{P} is a complex submanifold of codimension $m - n$ of the smoothly bounded strictly pseudoconvex set D . Thus we are in the situation of Theorem 0.1 in [2]: let $\tilde{\mu}$ be the measure $\text{dist}(z, \partial D) d\lambda$ on \mathcal{P} . Then $f|_{\mathcal{P}} \in B^2(\mathcal{P}, \tilde{\mu})$ for every $f \in H^2(\partial D)$, and there exists a bounded linear extension operator $E : B^2(\mathcal{P}, \tilde{\mu}) \rightarrow H^2(D)$, $Eg|_{\mathcal{P}} = g$ for $g \in B^2(\mathcal{P}, \tilde{\mu})$.

Moreover, the restriction operator $R : H^2(D) \rightarrow B^2(\mathcal{P}, \tilde{\mu})$ is bounded since $\tilde{\mu}$ is a Carleson measure on D by Hörmander's formulation of Carleson's Theorem and by Lemme II.1.1 in [2] (see [2], Section II.1, and [4], Theorem 4.3). It is surjective since $R \circ E = \mathbf{1}_{B^2(\mathcal{P}, \tilde{\mu})}$. The map $\pi \circ U_{\varphi^{-1}} \circ E : B^2(\mathcal{P}, \tilde{\mu}) \rightarrow H^2(\rho_P^m)$ now maps each function $g \in B^2(\mathcal{P}, \tilde{\mu})$ onto itself. It is bounded by construction and has the bounded inverse $R \circ U_\varphi \circ \iota$. Altogether, we have the following commutative diagram:

$$\begin{array}{ccc} H^2(D) & \xrightarrow{U_{\varphi^{-1}}} & H^2(\mathbb{B}^m) \\ E \uparrow \downarrow R & & \uparrow \downarrow \\ B^2(\mathcal{P}, \tilde{\mu}) & \xrightarrow[\text{id}]{\sim} & H^2(\rho_P^m) \end{array}$$

It remains to compare μ and $\tilde{\mu}$.

Step 4. THE EQUIVALENCE OF THE MEASURES. It suffices to show that there are constants $c_1, c_2 > 0$ such that

$$(4.23) \quad c_1 \text{dist}(z, \partial D) \leq 1 - P(|z_1|^2, \dots, |z_n|^2) \leq c_2 \text{dist}(z, \partial D), \quad z \in \partial \mathcal{P}.$$

Then $B^2(\mathcal{P}, \mu)$ and $B^2(\mathcal{P}, \tilde{\mu})$ coincide as sets and carry equivalent norms.

The second inequality just follows by the Lipschitz continuity of the map $z \mapsto P(|z_1|^2, \dots, |z_n|^2)$ on the compact set $\bar{\mathcal{P}}$. For the first inequality, choose for $z \in \mathcal{P}$ some $w \in \partial \mathcal{P}$ such that $z = \lambda w$ for a suitable $\lambda \in [0, 1)$. Then

$$(4.24) \quad \begin{aligned} 1 - P(|z_1|^2, \dots, |z_n|^2) &= \sum_{\gamma \in I_P} a_\gamma (|w^\gamma|^2 - |z^\gamma|^2) \\ &\geq (1 - \lambda^2) \sum_{i=1}^n a_{e_i} |w_i|^2 \geq c(1 - \lambda) \|w\|^2 \\ &\geq c_1(1 - \lambda) \|w\| = c_1 \|w - z\| \geq c_1 \text{dist}(z, \partial \mathcal{P}) \end{aligned}$$

for suitable constants $c, c_1 > 0$, since $\partial \mathcal{P}$ is bounded away from 0. Thus we obtain (4.23), which finishes the proof of the theorem. ■

5. DILATIONS

The identifying map $B^2(\mathcal{P}, \mu) \rightarrow H^2(\rho_P^m)$ obviously intertwines the multiplication operators with the coordinate functions on $B^2(\mathcal{P}, \mu)$ and $H^2(\rho_P^m)$. So its adjoint intertwines the adjoints of the multiplication operators, and we obtain the following easy consequence of Theorem 3.8 and Theorem 4.1. Let as before P be a positive regular polynomial with $m = \text{mult}(P) > n$, μ the normalization of the measure $(1 - P(|z_1|^2, \dots, |z_n|^2))^{m-n-1} d\lambda$ on \mathcal{P} and let $M = (M_1, \dots, M_n)$ be the tuple of multiplication operators with the coordinate functions on $B_{\mathcal{H}}^2(\mathcal{P}, \mu)$.

COROLLARY 5.1. *The following are equivalent:*

- (i) *T is topologically equivalent to a (P, m)-positive multioperator;*
- (ii) *T is topologically equivalent to the restriction of $M^* \oplus N \in \mathcal{L}(B_{\mathcal{H}}^2(\mathcal{P}, \mu) \oplus \mathcal{N})^n$ to an invariant subspace, where N is a P-unitary operator on some separable Hilbert space \mathcal{N} .*

Moreover, the functional model for a (P, m) -positive multioperator T implies — up to topological equivalence — the existence of a P -unitary dilation for T . Unlike the situation of the unit ball, we cannot obtain a P -unitary dilation directly. We have to check the complete boundedness of the map $q \mapsto q(T)$ on the algebra of polynomials, equipped with the supremum norm on \mathcal{P} .

THEOREM 5.2. *Let T be a (P, m) -positive commuting multioperator. Then T is topologically equivalent to a multioperator S which has a P -unitary dilation.*

Proof. By Corollary 5.1, T is topologically equivalent to the restriction of $M^* \oplus N$ to an invariant subspace. Thus it is sufficient to show that M^* has a P -unitary dilation.

The algebra $\mathbb{C}[X_1, \dots, X_n]$ carries an operator algebra structure as a subalgebra of the commutative C^* -algebra $\mathcal{C}(\partial\mathcal{P})$ of continuous functions on $\partial\mathcal{P}$. We denote this operator algebra by $\text{Pol}(\mathcal{P})$.

REMARK 5.3. The algebra homomorphism

$$(5.1) \quad \Phi : \text{Pol}(\mathcal{P}) \rightarrow \mathcal{L}(B_{\mathcal{H}}^2(\mathcal{P}, \mu)), \quad q \mapsto q(M^*)$$

is completely contractive.

Proof. Let $M_n(\mathcal{L}(B_{\mathcal{H}}^2(\mathcal{P}, \mu)))$ be the C^* -algebra of $n \times n$ -matrices over $\mathcal{L}(B_{\mathcal{H}}^2(\mathcal{P}, \mu))$ and let $M_n(\text{Pol}(\mathcal{P}))$ be the algebra of $n \times n$ -matrices over $\text{Pol}(\mathcal{P})$, carrying the norm $\|(q_{i,j})\|_n = \sup\{\|(q_{i,j}(z))\| \mid z \in \mathcal{P}\}$, where $\|(q_{i,j}(z))\|$ denotes the usual operator norm of the complex $n \times n$ -matrix $(q_{i,j}(z))$. We have to show that for each n , the map

$$(5.2) \quad \Phi^{(n)} : M_n(\text{Pol}(\mathcal{P})) \rightarrow M_n(\mathcal{L}(B_{\mathcal{H}}^2(\mathcal{P}, \mu))), \quad (q_{i,j}) \mapsto (q_{i,j}(M^*))$$

is a contraction.

For $q \in \mathbb{C}[X_1, \dots, X_n]$, let \check{q} be the polynomial obtained by complex conjugation of the coefficients of q . Then for $(q_{i,j}) \in M_n(\text{Pol}(\mathcal{P}))$, $\|\Phi^{(n)}((q_{i,j}))\| = \|(q_{i,j}(M^*))\| = \|(\check{q}_{j,i}(M))\|$, and for $f = (f_1, \dots, f_n) \in B_{\mathcal{H}}^2(\mathcal{P}, \mu)^n = B_{\mathcal{H}^n}^2(\mathcal{P}, \mu)$ we have

$$(5.3) \quad \begin{aligned} \|(\check{q}_{j,i}(M))f\|^2 &= \int_{\mathcal{P}} \|((\check{q}_{j,i}(M))f)(z)\|^2 \, d\mu = \int_{\mathcal{P}} \|(\check{q}_{j,i}(z)\mathbf{1}_{B_{\mathcal{H}}^2(\mathcal{P}, \mu)})f(z)\|^2 \, d\mu \\ &\leq \int_{\mathcal{P}} \|(\check{q}_{j,i}(z))\|^2 \|f(z)\|^2 \, d\mu \leq \|(\check{q}_{j,i})\|_n^2 \|f\|^2 = \|(q_{i,j})\|_n^2 \|f\|^2. \end{aligned}$$

Thus $\Phi^{(n)}$ is a contraction, and the remark is proved. ■

To finish the proof of the theorem, note that by a corollary to Arveson's Extension Theorem (see [7], Corollary 6.7) the map Φ dilates to a homomorphism $\Psi : \mathcal{C}(\mathcal{P}) \rightarrow \mathcal{L}(\mathcal{K})$ with some Hilbert space $\mathcal{K} \supseteq B_{\mathcal{H}}^2(\mathcal{P}, \mu)$. Then the tuple $K = (\Psi(z_1), \dots, \Psi(z_n))$ is a normal multioperator dilating M^* , and the Taylor spectrum

of K is contained in $\partial\mathcal{P}$. By the Spectral Theorem for normal multioperators (see [13], Theorem 7.26), we have

$$(5.4) \quad P(C_K)(\mathbf{1}_{\mathcal{K}}) = \int_{\partial\mathcal{P}} P(|z|^2) dE = \mathbf{1}_{\mathcal{K}},$$

where E is the spectral measure for the tuple K on \mathcal{K} . ■

In particular, Theorem 5.2 implies that each (P, m) -positive multioperator satisfies a von Neumann-type inequality with respect to the P -ball \mathcal{P} . Let $\mathcal{A}(\mathcal{P})$ be the Banach algebra of complex-valued continuous functions on $\overline{\mathcal{P}}$ which are holomorphic on \mathcal{P} , together with the supremum norm on \mathcal{P} .

COROLLARY 5.4. *Let T be a (P, m) -positive multioperator. Then T has a continuous $\mathcal{A}(\mathcal{P})$ -functional calculus. In particular, there is a constant $c > 0$ such that*

$$(5.5) \quad \|q(T)\| \leq c \sup \{|q(z)| \mid z \in \mathcal{P}\} \quad \text{for } q \in \mathbb{C}[X_1, \dots, X_n].$$

Proof. As one easily sees by the Spectral Theorem for normal multioperators (see [13], Theorem 7.26) and by Lemma 3.7, a P -unitary multioperator U satisfies the von Neumann-inequality

$$(5.6) \quad \|q(U)\| \leq \sup \{|q(z)| \mid z \in \mathcal{P}\} \quad \text{for } q \in \mathbb{C}[X_1, \dots, X_n].$$

The corollary now follows from Theorem 3.8, since the polynomials are dense in $\mathcal{A}(\mathcal{P})$. ■

In case the model for T provided by Theorem 5.2 consists only of the multiplication operator part, i.e. in case $P(C_T)^s(\mathbf{1}_{\mathcal{H}})$ converges strongly to 0 for $s \rightarrow \infty$, we can strengthen this result. Let $A : H_{\mathcal{H}}^2(\rho_P^m) \rightarrow B_{\mathcal{H}}^2(\mathcal{P}, \mu)$ be the isomorphism intertwining M_z^* on $H_{\mathcal{H}}^2(\rho_P^m)$ and M^* on $B_{\mathcal{H}}^2(\mathcal{P}, \mu)$ mentioned in the beginning of this paragraph. Then

$$(5.7) \quad H^\infty(\mathcal{P}) \rightarrow \mathcal{L}(\mathcal{H}), \quad f \mapsto V^* A^{-1} M_{\check{f}}^* A V,$$

where $V : \mathcal{H} \rightarrow H_{\mathcal{H}}^2(\rho_P^m)$ is the isometry constructed in Theorem 3.8, \check{f} is the holomorphic map $z \mapsto \overline{f(\bar{z})}$ on \mathcal{P} and $M_{\check{f}}$ is the bounded operator of multiplication with \check{f} on $B_{\mathcal{H}}^2(\mathcal{P}, \mu)$, defines a continuous algebra homomorphism with norm less or equal to $\|A\| \|A^{-1}\|$, mapping the coordinate functions to the components of T . Thus (5.7) gives a continuous $H^\infty(\mathcal{P})$ -functional calculus for T .

In a forthcoming paper ([9]), the developed standard model for (P, m) -positive multioperators T will be applied to give necessary conditions for the existence of non-trivial joint invariant subspaces of T .

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