# FRAMES IN HILBERT $C^*$ -MODULES AND $C^*$ -ALGEBRAS

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ABSTRACT. We present a general approach to a module frame theory in  $C^*$ algebras and Hilbert  $C^*$ -modules. The investigations rely on the ideas of geometric dilation to standard Hilbert  $C^*$ -modules over unital  $C^*$ -algebras that possess orthonormal Hilbert bases, of reconstruction of the frames by projections and by other bounded module operators with suitable ranges. We obtain frame representation and decomposition theorems, as well as similarity and equivalence results. Hilbert space frames and quasi-bases for conditional expectations of finite index on  $C^*$ -algebras appear as special cases. Using a canonical categorical equivalence of Hilbert  $C^*$ -modules over commutative  $C^*$ -algebras and (F)Hilbert bundles, the results are reinterpretated for frames in vector and (F)Hilbert bundles.

KEYWORDS: Frame, frame transform, frame operator, dilation, frame representation, Riesz basis, Hilbert basis, C<sup>\*</sup>-algebra, Hilbert C<sup>\*</sup>module.

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### 0. INTRODUCTION

The purpose of this paper is to extend the theory of frames known for (separable) Hilbert spaces to similar sets in  $C^*$ -algebras and (finitely and countably generated) Hilbert  $C^*$ -modules. The concept "frame" may generalize the concept "Hilbert basis" for Hilbert  $C^*$ -modules in a very efficient way, circumventing the ambiguous condition of " $C^*$ -linear independence" and emphasizing geometrical dilation results and operator properties. This idea is natural in this context because, while such a module may fail to have any reasonable type of basis, it turns out that countably generated Hilbert  $C^*$ -modules over unital  $C^*$ -algebras always have an abundance of frames of the strongest (and simplest) type. The considerations follow the line of the geometrical and operator-theoretical approach worked out by Deguang Han and David R. Larson ([31]) in the main. They include the standard Hilbert space case in full as a special case, see also [13], [14], [30], [32], [35], [44], [61]. However, proofs that generalize from the Hilbert space case, when attainable, are usually considerably more difficult for the module case for reasons that do not occur in the simpler Hilbert space case. For example, Riesz bases of Hilbert spaces with frame bounds equal to one are automatically orthonormal bases, a straight consequence of the frame definition. A similar statement for standard Riesz bases of certain Hilbert  $C^*$ -modules still holds, but the proof of the statement requires incomparably more effort to be established, see Corollary 4.2. Generally speaking, the known results and obstacles of Hilbert  $C^*$ -module theory in comparison to Hilbert space and ideal theory would rather suggest the appearance of a number of counterexamples and diversifications of situations when investigating classes of Hilbert  $C^*$ -modules and of  $C^*$ -algebras of coefficients beyond the Hilbert space situation. Surprisingly, almost the entire theory can be shown to survive these significant changes. For complementary results to those explained in the present paper we refer to [25] and [29].

We essentially use G.G. Kasparov's Stabilization Theorem ([40], Theorem 1). However, by no means every set of generators of countably generated Hilbert  $C^*$ -modules admits the frame property, even in the particular situation of separable Hilbert spaces. Our aim is to isolate this special class of generating sets and to characterize them as powerful structures in countably generated Hilbert  $C^*$ -modules that are capable of playing the role bases play for Hilbert spaces.

The areas of applications indicate a large potential of problems for the investigation of which our results could be applied. From the point of view of applied frame theory, the advantage of the generalized setting of Hilbert  $C^*$ -modules may consist in the additional degree of freedom coming from the  $C^*$ -algebra A of coefficients and its special inner structure, together with the handling of the basic features of the generalized theory in almost the same manner as for Hilbert spaces. For example, for commutative  $C^*$ -algebras A = C(X) over compact Hausdorff spaces X, continuous (in some sense) fields of frames over X in the Hilbert space H could be considered using the geometric analogues of Hilbert C(X)-modules the vector bundles or (F)-Hilbert bundles with base space X, cf. [54], [55], [18]. An appropriate choice of the compact base space of the bundles allows the description of parameterized and continuously varying families of classical frames in a given Hilbert space.

By the commonly used definition of a (countable) frame in a (separable) Hilbert space a set  $\{x_i : i \in \mathbb{J}\} \subset H$  is said to be a frame of the Hilbert space H if there exist two constants C, D > 0 such that the inequality

$$C \cdot \|x\|^2 \leqslant \sum_i |\langle x, x_i \rangle|^2 \leqslant D \cdot \|x\|^2$$

holds for every  $x \in H$ . To generalize this definition to the situation of Hilbert  $C^*$ modules we have to rephrase the inequality in a suitable way. Therefore, frames of Hilbert A-modules  $\{\mathcal{H}, \langle \cdot, , \rangle\}$  over unital  $C^*$ -algebras A are sets of elements  $\{x_i : i \in \mathbb{J}\} \subset \mathcal{H}$  for which there exist constants C, D > 0 such that the inequality

(0.1) 
$$C \cdot \langle x, x \rangle \leqslant \sum_{i} \langle x, x_i \rangle \langle x_i, x \rangle \leqslant D \cdot \langle x, x \rangle$$

is satisfied for every  $x \in \mathcal{H}$ . An additional restriction to the sum in the middle of the inequality (0.1) to converge in norm for every  $x \in \mathcal{H}$  guarantees the existence and the adjointability of the frame transform  $\theta : \mathcal{H} \to l_2(A)$  and the orthogonal comparability of its image inside  $l_2(A)$ , facts that are crucial and unexpected in the generality they hold. The restriction to countable frames is of minor technical importance, whereas the restriction to unital  $C^*$ -algebras of coefficients refers to the fact that approximative identities of non-unital  $C^*$ -algebras do not serve as approximative identities of their unitizations. The investigation of arbitrary frames with weakly converging sums in the middle of (0.1) requires Banach  $C^*$ -module and operator module techniques and has to be postponed. Some remarks on this problem are added in Section 8 of the present paper. We point out that frames exist in abundance in finitely or countably generated Hilbert  $C^*$ -modules over unital  $C^*$ -algebras A as well as in the  $C^*$ -algebras itself; see Example 3.5. This fact allows us to rely on standard decompositions for elements of Hilbert  $C^*$ -modules despite the general absence of orthogonal and orthonormal Riesz bases in them, cf. Example 2.4.

The content of the present paper is structured as follows: Section 1 contains the preliminary facts about Hilbert  $C^*$ -module theory needed to explain our concept. Section 2 covers the definition of the different types of frames in  $C^*$ -algebras and Hilbert  $C^*$ -modules and explains some of their basic properties. Section 3 is devoted to a collection of representative examples showing the phenomena that have to be taken into account for a generalization of the theory away from Hilbert spaces to Hilbert C<sup>\*</sup>-modules. The existence of the frame transform  $\theta$ , its properties and the reconstruction formula for standard normalized tight frames are proved in Section 4, giving the key to a successful generalization process. In particular, standard normalized tight frames are shown to be sets of generators for the corresponding Hilbert  $C^*$ -modules. In Section 5 geometrical dilation results and similarity problems of frames are investigated and results are obtained covering the general situation. The existence and the properties of canonical and alternate dual frames are the goal of Section 6. As a consequence a reconstruction formula for standard frames is established. The last section contains a classification result showing the strength of the similarity concept of frames. Some final remarks complete our investigations.

In the present paper some results have been obtained for the theory of Hilbert  $C^*$ -modules which are partially new to the literature and which use our frame technique in their proofs, see the Propositions 4.8, 4.7 and Theorem 5.9. In particular, we prove that every set of algebraic generators of an algebraically finitely generated Hilbert  $C^*$ -module is automatically a module frame. We give a new short proof that any finitely generated Hilbert  $C^*$ -module is projective. Beside this, a new characterization of Hilbert-Schmidt operators on Hilbert spaces allows this concept to be extended to certain classes of Hilbert  $C^*$ -modules over commutative  $C^*$ -algebras.

At this point we would like to give more detailed references to the literature to appreciate ideas and work related to our results that have been published by other researchers. Most of the publications listed below were not known to us at the time we worked out modular frame theory in 1997–1998. Some of the articles mentioned have been written very recently.

Beside Kasparov's Stabilization Theorem the inner structure of self-dual Hilbert  $W^*$ -modules as described by W.L. Paschke in [48] in 1973 has been another source of inspiration. Rephrasing his description in the context of frames it reads as the proof of the general existence of orthogonal normalized tight frames  $\{x_j : j \in \mathbb{J}\}$  for self-dual Hilbert  $W^*$ -modules, where additionally the values  $\{\langle x_j, x_j \rangle : j \in \mathbb{J}\}$  are projections. This point of view was already realized by

Y. Denizeau and J.F. Havet in [16] in 1994, as pointed out to us by the referee. They went one step further by taking a topologically weak reconstruction formula for normalized tight frames as a cornerstone to characterize the concept of "quasibases" for Hilbert  $W^*$ -modules. The special frames appearing from W.L. Paschke's result are called "orthogonal bases" by these authors. The two concepts were investigated by them to the extent of tensor product properties of quasi-bases for  $C^*$ -correspondences of  $W^*$ -algebras, cf. [16], Theorem 1.2.5, Corollary 1.2.6, Lemma 2.1.5. A systematic investigation of the concept of quasi-bases was not provided there. While these results are surely interesting from the point of view of operator theory, they are only of limited use for wavelet theory. In our opinion the main reason is the necessity of a number of weak completion processes to switch from basic Hilbert space contexts to suitable self-dual Hilbert  $W^*$ -module contexts. In this way, too much structural information generally gets lost or hidden.

Looking back into the literature for Y. Denizeau's and J.-F. Havet's motivation to introduce quasi-bases at a rather general level, the concept of "quasi-bases" can be found to be worked out for the description of algebraically characterizable conditional expectations of finite index on  $C^*$ -algebras by Y. Watatani in 1990 ([57]). There quasi-bases are a special example of module frames in Hilbert  $C^*$ modules (more precisely, a pair consisting of a frame and a dual frame). For normal conditional expectations of finite index on  $W^*$ -algebras, generalized module frames like Pimsner-Popa bases have been considered earlier by M. Pimsner and S. Popa ([49]), by M. Baillet, Y. Denizeau and J.-F. Havet ([3], [16]), and by E. Kirchberg and the author ([24]), among others (cf. [48], [20], [5] for technical background information). Recently, M. Izumi proved the general existence of module frames for Hilbert  $C^*$ -modules that arise from simple  $C^*$ -algebras by a conditional expectation of finite index onto one of their  $C^*$ -subalgebras, cf. [36]. We discovered the use of standard frames in part of E.C. Lance's lecture notes ([43]) where he used this kind of sequences in one reasoning on page 66, without investigating the concept itself. In Hilbert  $C^*$ -module theory and its applications special generating sequences have been used to investigate a large class of generalized Cuntz-Krieger-Pimsner  $C^*$ -algebras. These  $C^*$ -algebras arise from Hilbert  $C^*$ -bimodules in categorical contexts by making use of existing canonical representations of elements ([17], p. 266 and [39], Section 2). The exploited sequences of elements of the Hilbert  $C^*$ -modules under consideration have been called "bases". They admit the key frame properties. The authors make use of a reconstruction formula for bases of that kind, but without any explicit statement.

We have learned from a communication from M.A. Rieffel that the idea to use finitely generated projective  $C^*$ -modules over commutative  $C^*$ -algebras for the investigation of multiresolution analysis wavelets was introduced by him in a talk given at the Joint Mathematics Meeting at San Diego in January 1997 ([53]). He considered module frames generated by images of a frame in a certain projective  $C^*$ -submodule and canonical representations of elements related to them. In joint work with J.A. Packer these ideas have been worked out and published as preprints in 2001 ([46], [47]). P.J. Wood pointed out in [59], p. 10 that algebra-valued inner products have been used before by C. de Boor, R. DeVore and A. Ron in 1992 ([6], 1.4, 1.12) and by A. Fischer in 1997 ([19]). In fact,  $L^1$ -spaces serve as target spaces, so the domains have to be reduced to dense subdomains to guarantee the ranges to be contained in certain  $L^{\infty}$ -spaces. The authors used these structures in proofs addressing vanishing moments and approximation properties of wavelets. However, the concept of a \*-algebra-valued inner product has not been introduced by these authors. Similar constructions have been exploited to examine Sobolev smoothness properties of wavelets, see L.M. Villemoes in [56] (1992). For a contemporary explanation of these ideas we refer to a 2001 publication by P.G. Casazza and M.C. Lammers ([8]).

While the present paper has been circulating as a preprint the ideas and results contained therein have been successfully applied to solve problems in both operator and wavelet theory. We know of forthcoming publications by I. Raeburn and S. Thompson ([51]) who proved a generalized version of Kasparov's Stabilization Theorem for a kind of countably generated Hilbert  $C^*$ -modules over non- $\sigma$ -unital C<sup>\*</sup>-algebras, where the countable sets of generators consist of multipliers of the module. They generalize our concept of frames to the situation of certain generating sets consisting of multipliers of Hilbert  $C^*$ -modules. Following the ideas by M.A. Rieffel explained in [53] M. Coco and M.C. Lammers ([12]) described a  $W^*$ -algebra and a related self-dual Hilbert  $W^*$ -module derived from the analysis of Gabor frames. They showed how to apply these structures to solve some problems of Gabor analysis. At the same time P.J. Wood analyzed the ideas mentioned by M.A. Rieffel in a general framework of group  $C^*$ -algebras. Using module frame techniques of Hilbert  $C^*$ -module theory he studied the dimension function of wavelets and classified wavelets by methods derived from  $C^*$ -algebraic K-theory, see [59], [60]. Motivated by investigations into Hilbert H\*modules D. Bakić and B. Guljaš introduced the concept of a "basis" of Hilbert  $C^*$ -modules over  $C^*$ -algebras of compact operators explicitly (i.e. the concept of normalized tight frames which are Riesz bases) in 2001, cf. [2], Theorem 2.

## 1. PRELIMINARIES

The theory of Hilbert  $C^*$ -modules generalizes the theory of Hilbert spaces, of onesided norm-closed ideals of  $C^*$ -algebras, of (locally trivial) vector bundles over compact base spaces and of their noncommutative counterparts — the projective  $C^*$ -modules over unital  $C^*$ -algebras, among others (see [43], [58]). Because of the complexity of the theory and because of the different research fields interested readers of our considerations may come from, we have felt the necessity to give detailed explanations in places. We apologize to researchers familiar with the basics of Hilbert  $C^*$ -module theory for details which may be skipped by more experienced readers.

Let A be a C<sup>\*</sup>-algebra. A pre-Hilbert A-module is a linear space and algebraic (left) A-module  $\mathcal{H}$  together with an A-valued inner product  $\langle \cdot, \cdot \rangle : \mathcal{H} \times \mathcal{H} \to A$  that possesses the following properties:

- (i)  $\langle x, x \rangle \ge 0$  for any  $x \in \mathcal{H}$ ;
- (ii)  $\langle x, x \rangle = 0$  if and only if x = 0;
- (iii)  $\langle x, y \rangle = \langle y, x \rangle^*$  for any  $x, y \in \mathcal{H}$ ;
- (iv)  $\langle ax + by, z \rangle = a \langle x, z \rangle + b \langle y, z \rangle$  for any  $a, b \in A, x, y, z \in \mathcal{H}$ .

To circumvent complications with linearity of the A-valued inner product with respect to imaginary complex numbers we assume that the linear operations of A and  $\mathcal{H}$  are comparable, i.e.  $\lambda(ax) = (\lambda a)x = a(\lambda x)$  for every  $\lambda \in \mathbb{C}$ ,  $a \in A$ and  $x \in \mathcal{H}$ . The map  $x \in \mathcal{H} \to ||x|| = ||\langle x, x \rangle||_A^{1/2} \in \mathbb{R}^+$  defines a norm on  $\mathcal{H}$ . Throughout the present paper we suppose that  $\mathcal{H}$  is complete with respect to that norm. So  $\mathcal{H}$  becomes the structure of a Banach A-module. We refer to the pairing  $\{\mathcal{H}, \langle \cdot, \cdot \rangle\}$  as to a *Hilbert A-module*. Two Hilbert A-modules  $\{\mathcal{H}, \langle \cdot, \cdot \rangle_{\mathcal{H}}\}$  and  $\{\mathcal{K}, \langle \cdot, \cdot \rangle_{\mathcal{K}}\}$  are *unitarily isomorphic* if there exists a bijective bounded A-linear mapping  $T: \mathcal{H} \to \mathcal{K}$  such that  $\langle x, y \rangle_{\mathcal{H}} = \langle T(x), T(y) \rangle_{\mathcal{K}}$  for  $x, y \in \mathcal{H}$ .

If two Hilbert A-modules  $\{\mathcal{H}, \langle \cdot, \cdot \rangle_{\mathcal{H}}\}$  and  $\{\mathcal{K}, \langle \cdot, \cdot \rangle_{\mathcal{K}}\}$  over a  $C^*$ -algebra A are given we define their *direct sum*  $\mathcal{H} \oplus \mathcal{K}$  as the set of all ordered pairs  $\{(h,k) : h \in \mathcal{H}, k \in \mathcal{K}\}$  equipped with coordinate-wise operations and with the A-valued inner product  $\langle \cdot, \cdot \rangle_{\mathcal{H}} + \langle \cdot, \cdot \rangle_{\mathcal{K}}$ .

In the special case of A being the field of complex numbers  $\mathbb{C}$  the definition above reproduces the definition of Hilbert spaces. However, by no means all theorems of Hilbert space theory can be simply generalized to the situation of Hilbert  $C^*$ -modules. To give an instructive example consider the  $C^*$ -algebra A of all bounded linear operators B(H) on a separable Hilbert space  $H = l_2$  together with its two-sided norm-closed ideal I = K(H) of all compact operators on H. The  $C^*$ -algebra A equipped with the A-valued inner product  $\langle \cdot, \cdot \rangle$  defined by the formula  $\langle a, b \rangle_A = ab^*$  becomes a Hilbert A-module over itself. The restriction of this A-valued inner product to the ideal I turns I into a Hilbert A-module, too. So we can form the new Hilbert A-module  $\mathcal{H} = A \oplus I$  as defined in the previous paragraph. Let us consider some properties of  $\mathcal{H}$ .

First of all, the analogue of the Riesz representation theorem for bounded (A-)linear mappings  $r : \mathcal{H} \to A$  is not valid for  $\mathcal{H}$ . For example, the mapping  $r((a,i)) = a + i, a \in A, i \in I$ , cannot be realized by applying the A-valued inner product to  $\mathcal{H}$  with one fixed entry of  $\mathcal{H}$  in its second place since the necessary entry  $(1_A, 1_A)$  does not belong to  $\mathcal{H}$ . Secondly, the bounded A-linear operator T on  $\mathcal{H}$  defined by the rule  $T : (a, i) \to (i, 0_A), a \in A, i \in I$ , does not have an adjoint operator  $T^*$  in the usual sense since the image of the formally defined adjoint operator  $T^*$  is not completely contained in  $\mathcal{H}$ . Furthermore, the Hilbert A-submodule I of the Hilbert A-module A is not a direct summand, neither an orthogonal nor a topological one. Considering the Hilbert A-submodule  $\mathcal{K} \subseteq \mathcal{H}$  defined as the set  $\mathcal{K} = \{(i,i) : i \in I\}$  equipped with operations and an A-valued inner product induced from  $\mathcal{H}$ , we obtain the coincidence of  $\mathcal{K}$  with its biorthogonal complement inside  $\mathcal{H}$ . However, even in this situation  $\mathcal{K}$  is not an orthogonal summand of  $\mathcal{H}$ , but only a topological summand with complement  $\{(a, 0_A) : a \in A\}$ .

Hence the reader should be aware that every formally generalized formulation of Hilbert space theorems has to be checked for any larger class of Hilbert  $C^*$ modules carefully and in each case separately. To provide a collection of facts from Hilbert  $C^*$ -module theory used in forthcoming sections, the remaining part of the present section is devoted to a short guide to parts of the theory.

Let  $\mathbb{J}$  be a countable set of indices. If we need a (partial) ordering on  $\mathbb{J}$  we may choose to identify  $\mathbb{J}$  with the set of integers  $\mathbb{N}$  or with other countable, partially ordered sets. A subset  $\{x_j : j \in \mathbb{J}\}$  of a Hilbert A-module  $\{\mathcal{H}, \langle \cdot, \cdot \rangle\}$  is a set of generators of  $\mathcal{H}$  (as a Banach A-module) if the A-linear hull of  $\{x_j : j \in \mathbb{J}\}$  is norm-dense in  $\mathcal{H}$ . The subset  $\{x_j : j \in \mathbb{J}\}$  is orthogonal if  $\langle x_i, x_j \rangle = 0$  for all  $i, j \in \mathbb{J}$  whenever  $i \neq j$ . A set of generators  $\{x_j : j \in \mathbb{J}\}$  of  $\mathcal{H}$  is a Hilbert basis of  $\mathcal{H}$  if

(i) A-linear combinations  $\sum_{j \in S} a_j x_j$  with coefficients  $\{a_j\}$  in A and  $S \subseteq \mathbb{J}$  are equal to zero if and only if in particular every summand  $a_j x_j$  equals zero for  $j \in S$ , and

(ii)  $||x_j|| = 1$  for every  $j \in \mathbb{J}$ .

This definition is consistent since every element of a  $C^*$ -algebra A possesses a right and a left carrier projection in its bidual Banach space  $A^{**}$ , a von Neumann algebra, and all the structural elements on Hilbert A-modules can be canonically extended to the setting of Hilbert  $A^{**}$ -modules, see the appendix and [48], [20] for details.

A subset  $\{x_j : j \in \mathbb{J}\}$  of  $\mathcal{H}$  is said to be a generalized generating set of the Hilbert A-module  $\{\mathcal{H}, \langle \cdot, , \cdot \rangle\}$  if the A-linear hull of  $\{x_j : j \in \mathbb{J}\}$  (i.e. the set of all finite A-linear combinations of elements of this set) is dense with respect to the topology induced by the semi-norms  $\{|f(\langle \cdot, , \cdot \rangle)|^{1/2} : f \in A^*\}$  in norm-bounded subsets of  $\mathcal{H}$ . A generalized generating set is a generalized Hilbert basis if its elements fulfil conditions (i) and (ii) of the Hilbert basis definition. The choice of the topology is motivated by its role in the characterization of self-dual Hilbert  $C^*$ -modules (i.e. Hilbert  $C^*$ -modules  $\mathcal{H}$  for which the Banach A-module  $\mathcal{H}'$  of all bounded A-linear maps  $r : \mathcal{H} \to A$  coincides with  $\mathcal{H}$ ; [26], Theorem 6.4) and by the role of the weak\* topology for the characterization of Hilbert  $W^*$ -modules and their special properties (cf. [48], [20] and the appendix). In general, we have to be very cautious with the use of a  $C^*$ -theoretical analogue of the concept of linear independence for  $C^*$ -modules since subsets of  $C^*$ -algebras A may contain zero-divisors.

We are especially interested in finitely and countably generated Hilbert  $C^*$ -modules over unital  $C^*$ -algebras A. A Hilbert A-module  $\{\mathcal{H}, \langle \cdot, \cdot \rangle\}$  is (algebraically) finitely generated if there exists a finite set  $\{x_1, \ldots, x_n\}$  of elements of  $\mathcal{H}$  such that every element  $x \in \mathcal{H}$  can be expressed as an A-linear combination  $x = \sum_{j=1}^n a_j x_j, a_j \in A$ . Note that topologically finitely generated Hilbert  $C^*$ -modules

form a larger class than algebraically finitely generated Hilbert  $C^*$ -modules, cf. Example 2.4. We classify the non-algebraic topological case as belonging to the countably generated case described below.

Algebraically finitely generated Hilbert A-modules over unital  $C^*$ -algebras A are precisely the finitely generated projective A-modules in a pure algebraic sense, cf. [58], Corollary 15.4.8. Therefore, any finitely generated Hilbert A-module can be represented as an orthogonal summand of some finitely generated free A-module  $A^N = A_{(1)} \oplus \cdots \oplus A_{(N)}$  consisting of all N-tuples with entries from A, equipped with coordinate-wise operations and the A-valued inner product

 $\langle (a_1, \ldots, a_N), (b_1, \ldots, b_N) \rangle = \sum_{j=1}^N a_j b_j^*$ . The finitely generated free A-modules  $A^N$ 

can be alternatively represented as the algebraic tensor product of the  $C^*$ -algebra A by the Hilbert space  $\mathbb{C}^N$ .

Finitely generated Hilbert  $C^*$ -modules have analogous properties to Hilbert spaces in many ways. For example, they are self-dual, any bounded  $C^*$ -linear operator between two of them has an adjoint operator, and if they appear as a Banach A-submodule of another Hilbert A-module we can always separate them as an orthogonal summand therein.

The second and more delicate class of interest is the set of countably generated Hilbert  $C^*$ -modules over unital  $C^*$ -algebras A. A Hilbert A-module is countably generated if there exists a countable set of generators. By G.G. Kasparov's Stabilization Theorem ([40], Theorem 1) any countably generated Hilbert A-module  $\{\mathcal{H}, \langle \cdot, \cdot \rangle\}$  over a  $(\sigma$ -)unital  $C^*$ -algebra A can be represented as an orthogonal summand of the standard Hilbert A-module  $l_2(A)$  defined by

(1.1) 
$$l_2(A) = \left\{ \{a_j : j \in \mathbb{N}\} : \sum_j a_j a_j^* \text{ converges in } \|\cdot\|_A \right\}, \quad \langle \{a_j\}, \{b_j\}\rangle = \sum_j a_j b_j^*,$$

such that its orthogonal complement is isomorphic to  $l_2(A)$  again (in short:  $l_2(A) \cong \mathcal{H} \oplus l_2(A)$ ). Often there also exist different more complicated embeddings of  $\mathcal{H}$  into  $l_2(A)$ .

As a matter of fact countably generated Hilbert  $C^*$ -modules still possess the great advantage that they are unitarily isomorphic as Hilbert A-modules if and only if they are isometrically isomorphic as Banach A-modules, if and only if they are simply bicontinuously isomorphic as Banach A-modules ([26], Theorem 4.1). Hence we can omit the indication of what kind of A-valued inner product on  $\mathcal{H}$  will be considered because any two A-valued inner products on  $\mathcal{H}$  inducing equivalent norms to the given one are automatically unitarily isomorphic.

Countably generated Hilbert A-modules  $\mathcal{H}$  are self-dual in only a few cases. A large class consists of (countably generated) Hilbert A-modules over finitedimensional  $C^*$ -algebras A (i.e. matrix algebras). However,  $l_2(A)$  is self-dual if and only if A is finite-dimensional ([20]), so further examples depend strongly on the special structure of the module under consideration. In general, the A-dual Banach A-module  $l_2(A)'$  of  $l_2(A)$  can be identified with the set

$$l_2(A)' = \left\{ \{a_j : j \in \mathbb{N}\} : \sup_{N \in \mathbb{N}} \left\| \sum_{j=1}^N a_j a_j^* \right\|_A < \infty \right\}.$$

Every Hilbert  $C^*$ -module possesses a standard isometric embedding into its  $C^*$ dual Banach A-module via the A-valued inner product  $\langle \cdot, \cdot \rangle$  defined on it by varying the second argument of  $\langle \cdot, \cdot \rangle$  over all module elements. The A-valued inner product on  $l_2(A)$  can be continued to an A-valued inner product on  $l_2(A)'$ if and only if A is a monotone sequentially complete  $C^*$ -algebra (e.g.  $W^*$ -algebra, monotone complete  $C^*$ -algebra and little else). So, for general considerations we have to accept that  $\mathcal{H} \neq \mathcal{H}'$  is the standard situation.

As a consequence of the lack of a general analogue of Riesz's theorem for bounded module A-functionals on countably generated Hilbert A-modules, nonadjointable operators on  $l_2(A)$  may exist, and they exist in fact for every unital, infinite-dimensional  $C^*$ -algebra A, cf. [20], Theorem 4.3 and [26], Corollary 5.6, Theorem 6.6. Furthermore, Banach  $C^*$ -submodules can be either orthogonal summands, or direct summands in only a topological way, or they may even lack the direct summand property in any sense, cf. [26] Proposition 5.3. There are some further surprising situations in Hilbert  $C^*$ -module theory which cannot happen in Hilbert space theory. Due to their minor importance for our considerations we refer the interested reader to the standard reference sources on Hilbert  $C^*$ -modules [48], [52], [40], [37], [43], [58], [50], [4], [23].

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If we consider finitely generated Hilbert  $C^*$ -modules we usually do not have any concept of a dimension since generating sets of elements can be generating and irreducible at the same time, yet may nevertheless contain different numbers of elements.

EXAMPLE 1.1. Let A be the  $W^*$ -algebra of all bounded linear operators on the separable Hilbert space  $l_2$ . Since the direct orthogonal sum of two copies of  $l_2$  is unitarily isomorphic to  $l_2$  itself, the projections  $p_1, p_2$  to them are similar to the identity operator. Denote by  $u_1, u_2$  the isometries realizing this similarity, i.e.  $u_i u_i^* = 1_A, u_i^* u_i = p_i$  for i = 1, 2. We claim that the Hilbert A-modules  $\mathcal{H}_1 = A$ and  $\mathcal{H}_2 = A^2$  are canonically isomorphic.

Indeed, the mapping  $T : A \to A^2$ ,  $T(a) = (au_1^*, au_2^*)$  (where  $T^{-1}(c, d) = cu_1 + du_2$ ) with  $a, c, d \in A$  realize this unitary isomorphism. Consequently,  $\mathcal{H}_1$  possesses two A-linearly independent sets of generators  $\{1_A\}$  and  $\{u_1, u_2\}$  with a different number of elements. Moreover, the "magic" formula ([31], Corollary 1.2 (iii))  $\sum \langle x_j, x_j \rangle = \dim(H)$  for frames  $\{x_j\}$  in Hilbert spaces H no longer works:  $1_A \cdot 1_A^* = 1_A$  and  $u_1u_1^* + u_2u_2^* = 2 \cdot 1_A$ .

In fact, for this  $C^*$ -algebra A the Hilbert A-module A is unitarily isomorphic to  $A^N$  for every  $N \in \mathbb{N}$ ,  $N \ge 0$ , and the sum realizes the values  $N \cdot 1_A$  for appropriate bases consisting of partial isometries.

What seems bad from the viewpoint of dimension theory of Hilbert spaces sounds good from the point of view of frames. Normalized tight frames of finitely generated Hilbert spaces have a number of elements that is greater than or equal to the dimension of the Hilbert space under consideration, cf. [31], Example A<sub>1</sub>. The number of elements of a frame has never been an invariant of the Hilbert space. Therefore, the phenomenon fits into the already known picture quite well. What is more, concepts like equivalence or similarity always compare frames with the same number of elements, i.e. are already restrictive in Hilbert space theory.

To conclude our introductory remarks about Hilbert  $C^*$ -modules we want to fix two further denotations. The set of all bounded A-linear operators on  $\mathcal{H}$ is denoted by  $\operatorname{End}_A(\mathcal{H})$ , whereas the subset of all adjointable bounded A-linear operators is denoted by  $\operatorname{End}_A^*(\mathcal{H})$ .

#### 2. BASIC DEFINITIONS

The theory presented in this section is built up from basic principles of functional analysis. We adopt the geometric dilation point of view of Deguang Han and David R. Larson in [31]. To circumvent uncountable sets we restrict ourself to countable frames. Although uncountable frames cannot appear in finite-dimensional Hilbert spaces (see Proposition 4.8) or in separable Hilbert spaces (because of spectral theory), they may arise for e.g. Hilbert C(X)-modules since the underlying compact Hausdorff space X may be very complicated.

DEFINITION 2.1. Let A be a unital  $C^*$ -algebra and  $\mathbb{J}$  be a finite or countable index subset of N. A sequence  $\{x_j : j \in \mathbb{J}\}$  of elements in a Hilbert A-module  $\mathcal{H}$ is said to be a *frame* if there are real constants C, D > 0 such that

(2.1) 
$$C \cdot \langle x, x \rangle \leqslant \sum_{j=1}^{\infty} \langle x, x_j \rangle \langle x_j, x \rangle \leqslant D \cdot \langle x, x \rangle$$

for every  $x \in \mathcal{H}$ . The optimal constants (i.e. maximal for C and minimal for D) are called *frame bounds*. The frame  $\{x_i : j \in \mathbb{J}\}$  is said to be a *tight frame* if C = D, and said to be *normalized* if C = D = 1. We consider *standard* (normalized tight) frames in the main for which the sum in the middle of the inequality (2.1) always converges in norm.

A sequence  $\{x_j : j \in \mathbb{J}\}$  is said to be a *(generalized) Riesz basis* if  $\{x_j : j \in \mathbb{J}\}$ is a frame and a generalized generating set with one additional property: A-linear combinations  $\sum_{j \in S} a_j x_j$  with coefficients  $\{a_j : j \in S\} \in A$  and  $S \in \mathbb{J}$  are equal to zero if and only if in particular every summand  $a_j x_j$  equals zero,  $j \in S$ . We call a sequence  $\{x_j : j \in \mathbb{J}\}$  in  $\mathcal{H}$  a standard Riesz basis for  $\mathcal{H}$  if  $\{x_j : j \in \mathbb{J}\}$  is a frame and a generating set with the above mentioned uniqueness property for the representation of the zero element. An inner summand of a standard Riesz basis of a Hilbert A-module  $\mathcal{L}$  is a sequence  $\{x_j : j \in \mathbb{J}\}$  in a Hilbert A-module  $\mathcal{H}$  for which there exists a second sequence  $\{y_j : j \in \mathbb{J}\}$  in another Hilbert A-module  $\mathcal{K}$ such that  $\mathcal{L} \cong \mathcal{H} \oplus \mathcal{K}$  and the sequence consisting of the pairwise orthogonal sums  $\{x_j \oplus y_j : j \in \mathbb{J}\}$  in the Hilbert A-module  $\mathcal{H} \oplus \mathcal{K}$  is the original standard Riesz basis of  $\mathcal{L}$ .

Since the set of all positive elements of a  $C^*$ -algebra has the structure of a cone, the property of a sequence being a frame does not depend on the sequential order of its elements. Consequently, we can replace the ordered index set  $\mathbb{J} \subset \mathbb{N}$ by any countable index set  $\mathbb{J}$  without loss of generality. We do this for further purposes.

In Hilbert space theory a Riesz basis is sometimes defined to be a basis arising as the image of an orthonormal basis by an invertible linear operator. Since the concept of orthonormality cannot be transferred one-to-one to the theory of Hilbert  $C^*$ -modules, the suitable generalization of this statement needs to clarify this. In particular, the more complicated inner structure of  $C^*$ -algebras A in comparison to the field of complex numbers  $\mathbb C$  has to be taken into account. We will formulate an analogous result as Corollary 5.7 below. The other way around standard Riesz bases can be characterized as frames  $\{x_i : i \in \mathbb{J}\}$  such that the A-module generated by one single element  $x_i$  of the frame always has only a trivial intersection with the norm-closed A-linear span of the other elements  $\{x_i : i \neq j\}$ .

The definition above has some simple consequences. A set  $\{x_j : j \in \mathbb{J}\}$  is a normalized tight frame if and only if the equality

(2.2) 
$$\langle x, x \rangle = \sum_{j \in \mathbb{J}} \langle x, x_j \rangle \langle x_j, x \rangle$$

holds for every  $x \in \mathcal{H}$ . Note that this sum can fail to converge uniformly in A, although the sum always converges in A with respect to the weak topology induced by the dual space  $A^*$  of A (cf. Example 3.3 below).

Furthermore, the norms of the elements of a frame are always uniformly bounded by the square root of the upper frame bound D. To appreciate this consider the chain of inequalities

$$\langle x_k, x_k \rangle^2 \leqslant \sum_{j \in \mathbb{J}} \langle x_k, x_j \rangle \langle x_j, x_k \rangle \leqslant D \cdot \langle x_k, x_k \rangle$$

that is valid for every  $k \in \mathbb{J}$ . Taking the norms on both sides the inequality is preserved.

PROPOSITION 2.2. Let A be a  $C^*$ -algebra and  $\mathcal{H}$  be a finitely or countably generated Hilbert A-module.

(i) If an orthogonal Hilbert basis  $\{x_j : j \in \mathbb{J}\}$  of  $\mathcal{H}$  is a standard normalized tight frame then the values  $\{\langle x_j, x_j \rangle : j \in \mathbb{J}\}$  are all non-zero projections.

(ii) Conversely, every standard normalized tight frame  $\{x_j : J \in \mathbb{J}\}$  of  $\mathcal{H}$  for which the values  $\{\langle x_j, x_j \rangle : j \in \mathbb{J}\}$  are non-zero projections is an orthogonal Hilbert basis of  $\mathcal{H}$ .

In general, the inequality  $\langle x_j, x_j \rangle \leq 1_A$  holds for every element  $x_j$  of normalized tight frames  $\{x_j : J \in \mathbb{J}\}$  of  $\mathcal{H}$ .

*Proof.* Fix an orthogonal Hilbert basis  $\{x_j : j \in \mathbb{J}\}$  of  $\mathcal{H}$ . Consider normconvergent sums  $x = \sum_j a_j x_j \in \mathcal{H}$  for suitably selected sequences  $\{a_j : j \in \mathbb{J}\} \in A$ . If the Hilbert basis of  $\mathcal{H}$  is a normalized tight frame then the equality

$$\sum_{j\in\mathbb{J}} a_j \langle x_j, x_j \rangle a_j^* = \left\langle \sum_{j\in\mathbb{J}} a_j x_j, \sum_{k\in\mathbb{J}} a_k x_k \right\rangle = \langle x, x \rangle$$
$$= \sum_{j\in\mathbb{J}} \langle x, x_j \rangle \langle x_j, x \rangle = \sum_{j\in\mathbb{J}} \left\langle \sum_{k\in\mathbb{J}} a_k x_k, x_j \right\rangle \left\langle x_j, \sum_{l\in\mathbb{J}} a_l x_l \right\rangle$$
$$= \sum_{j\in\mathbb{J}} \langle a_j x_j, x_j \rangle \langle x_j, a_j x_j \rangle = \sum_{j\in\mathbb{J}} a_j \langle x_j, x_j \rangle^2 a_j^*$$

is valid for every admissible choice of the coefficients  $\{a_j : j \in \mathbb{J}\} \in A$ . In particular, one admissible selection is  $a_i = 1_A$  and  $a_j = 0_A$  for each  $j \neq i, i \in \mathbb{J}$  fixed. For this setting we obtain  $0 \neq \langle x_i, x_i \rangle = \langle x_i, x_i \rangle^2$  since  $x_i \neq 0$  by supposition.

The converse conclusion is also a simple calculation. If  $\{x_j : j \in \mathbb{J}\}$  is a standard normalized tight frame, then (2.2) implies

$$0 \leqslant \sum_{j \neq i} \langle x_i, x_j \rangle \langle x_j, x_i \rangle = \langle x_i, x_i \rangle - \langle x_i, x_i \rangle^2.$$

Therefore,  $\langle x_j, x_j \rangle \leq 1_A$  for every  $j \in \mathbb{J}$  by spectral theory. Now, if some element  $x_i \neq 0$  happens to admit a projection as the inner product value  $\langle x_i, x_i \rangle$ , then  $0 = \sum_{j \neq i} \langle x_j, x_i \rangle \langle x_i, x_j \rangle$ , i.e.  $\langle x_j, x_i \rangle$  for any  $j \neq i$  by the positivity of the summands. In other words, the element  $x_i$  must be orthogonal to all other elements  $x_j, j \neq i$ ,

In other words, the element  $x_i$  must be orthogonal to an other elements  $x_j, j \neq i$ , of that normalized tight frame. Consider a decomposition of the zero element in

the special form  $0 = \sum_{j} a_{j} x_{j}$  for suitably selected coefficients  $\{a_{j} : j \in \mathbb{J}\} \subset A$ . Since

$$0 = \left\langle \sum_{j \in \mathbb{J}} a_j x_j, \sum_{k \in \mathbb{J}} a_k x_k \right\rangle = \sum_{j \in \mathbb{J}} \langle a_j x_j, a_j x_j \rangle$$

and since the sum on the right side is a sum of positive summands we arrive at  $a_j x_j = 0$  for every  $j \in \mathbb{J}$ . Thus, a standard normalized tight frame  $\{x_j : j \in \mathbb{J}\}$  for which the values  $\{\langle x_j, x_j \rangle : j \in \mathbb{J}\}$  are non-zero projections is an orthogonal Hilbert basis of  $\mathcal{H}$ .

As in the Hilbert space situation, we would like to establish that standard Riesz bases that are normalized tight frames have to be orthogonal Hilbert bases with projections as the values of the inner products with equal basis element entries. This requires some more work than expected and has to be postponed until we derive the reconstruction formula, cf. Corollary 4.2.

LEMMA 2.3. Let A be a unital C<sup>\*</sup>-algebra. For some element x of a Hilbert C<sup>\*</sup>-module  $\{\mathcal{H}, \langle \cdot, \cdot \rangle\}$  the elementary "compact" operator  $\theta_{x,x}$  mapping  $y \in \mathcal{H}$  to  $\langle y, x \rangle x$  is a projection if and only if  $x = \langle x, x \rangle x$ , if and only if  $\langle x, x \rangle$  is a projection. In this case the elements of  $Ax \subseteq \mathcal{H}$  can be identified with the elements of the ideal  $A\langle x, x \rangle \subseteq A$ . If for two orthogonal elements  $x, y \in \mathcal{H}$  with  $x = \langle x, x \rangle x$ ,  $y = \langle y, y \rangle y$  the equality  $\langle x, x \rangle = \langle y, y \rangle$  holds additionally, then the projections  $\theta_{x,x}$  and  $\theta_{y,y}$  are similar in the sense of Murray-von Neumann, where the connecting partial isometry is  $\theta_{x,y}$ .

As this statement can be verified by elementary calculations, a proof is omitted.

Since there exist unital  $C^*$ -algebras A such that the monoid of all finitely generated projective A-modules with respect to orthogonal sums does not possess the cancellation property, in some situations orthogonal Hilbert or Riesz bases may not exist. Examples can be found in sources about operator K-theory of  $C^*$ -algebras, cf. [58].

EXAMPLE 2.4. If A is a unital  $C^*$ -algebra and  $\mathcal{H}$  is a countably generated Hilbert A-module, then there may exist orthogonal Hilbert bases  $\{x_j\}$  of  $\mathcal{H}$  without the property  $\langle x_i, x_i \rangle = \langle x_i, x_i \rangle^2$  for  $j \in \mathbb{N}$ . By Proposition 2.2, these Hilbert bases are not frames. The roots of the problem behind this phenomenon lie in the difference between algebraically and topologically finite generatedness of Hilbert  $C^*$ -modules.

For example, set A = C([0, 1]) to be the  $C^*$ -algebra of all continuous functions on the unit interval and consider the set and Hilbert A-module  $\mathcal{H} = C_0((0, 1])$ , i.e. the  $C^*$ -subalgebra of all functions on [0, 1] vanishing at zero. The function f(t) = t for  $t \in [0, 1]$  is topologically a single generator of  $C_0((0, 1])$  by the Stone-Weierstrass theorem. The Hilbert A-module  $\mathcal{H}$  is generated by it topologically. However, the inner product value of this element equals  $f^2$  which is not a projection and the spectrum of which is not deleted away from zero. Therefore, the lower frame bound has to be zero.

Looking for another orthogonal standard Riesz basis  $\{f_j : j \in \mathbb{J}\}\$  of  $\mathcal{H}$  we can only consider bases with two or more elements. However,  $f_i \perp f_j$  always means that there exists a point  $t_0 \in (0, 1]$  such that  $f_i \equiv 0$  for small  $t \leq t_0$  and  $f_j \equiv 0$ 

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for small  $t \ge t_0$ . Taking into account the orthogonality of these elements  $\{f_j\}$ , every function in the norm-closed A-linear hull of them has to be zero at  $t_0$ , which contradicts our assumptions. The only possible conclusion is the non-existence of any orthogonal standard Riesz basis of  $\mathcal{H}$ . We will see at Corollary 5.7 that the existence of a standard Riesz basis of  $\mathcal{H}$  would imply the existence of an orthogonal Hilbert basis for it that is also a (standard) normalized tight frame at the same time. Therefore,  $\mathcal{H}$  does not even possess any standard Riesz basis.

Nevertheless,  $\mathcal{H} = C_0((0, 1])$  has standard normalized tight frames as a Hilbert C([0, 1])-module, see Example 3.4 below.

In this place we can state the following about standard Riesz bases of Hilbert  $C^*$ -modules (cf. Corollary 5.7):

PROPOSITION 2.5. Let A be a unital C<sup>\*</sup>-algebra and  $\mathcal{H}$  be a countably or finitely generated Hilbert A-module. If  $\mathcal{H}$  possesses an orthogonal standard Riesz basis then  $\mathcal{H}$  possesses an orthogonal standard Riesz basis  $\{x_j : j \in \mathbb{J}\}$  with the property  $\langle x_j, x_j \rangle = \langle x_j, x_j \rangle^2$  for any  $j \in \mathbb{J}$ , i.e. an orthogonal Hilbert basis that is a standard normalized tight frame.

*Proof.* Suppose,  $\mathcal{H}$  possesses an orthogonal standard Riesz basis  $\{x_j\}$ . This means there are two constants 0 < C, D such that the inequality  $C \cdot \langle x_j, x_j \rangle \leq \langle x_j, x_j \rangle \leq \langle x_j, x_j \rangle \equiv D \cdot \langle x_j, x_j \rangle$  is fulfilled for every  $j \in \mathbb{J}$ . Obviously, D = 1 since  $\{x_j\}$  is supposed to be a Hilbert basis and, therefore,  $||x_j|| = 1$  by one of the properties of Hilbert bases. Considering the lower estimate with the constant C, spectral theory forces the spectra of the elements  $\{\langle x_j, x_j \rangle\}$  to be uniformly bounded away from zero by this constant C. Consequently, there are continuous positive functions  $\{f_j\}$  on the spectra of the elements  $\{\langle x_j, x_j \rangle\}$  such that  $f_j \langle x_j, x_j \rangle = (f_j \langle x_j, x_j \rangle)^2$  and the restriction of these functions to the bounded away from zero part of the spectra of  $\{\langle x_j, x_j \rangle\}$  equals one. The new frame  $\{f_i^{1/2}x_j\}$  is normalized tight and orthogonal. Moreover, it is standard since the spectra of the inner product values were uniformly bounded away from zero. ■

On the other hand, a frame may contain the zero element arbitrarily often. Moreover, frames  $\{x_j : j \in \mathbb{J}\}$  may fail to meet the most important property of a Hilbert basis of  $\mathcal{H}$  even if they solely consist of non-zero elements. As is known from examples of frames of two-dimensional Hilbert spaces  $\mathcal{H}$  they may contain too many elements to be a Hilbert basis of  $\mathcal{H}$  since the uniqueness of decomposition of elements  $x \in \mathcal{H}$  as  $x = \sum_{j} a_j x_j$  for elements  $\{a_j : j \in \mathbb{J}\} \subset A$  may not be guaranteed any longer ([31] Example  $A_1$ ). In particular the representation of the

guaranteed any longer ([31], Example  $A_1$ ). In particular the representation of the zero element can be realized as a sum of non-zero summands.

DEFINITION 2.6. Frames  $\{x_j : j \in \mathbb{J}\}\$  and  $\{y_j : j \in \mathbb{J}\}\$  of Hilbert A-modules  $\mathcal{H}$  and  $\mathcal{K}$ , respectively, are *unitarily equivalent* if there is an A-linear unitary operator  $U : \mathcal{H} \to \mathcal{K}$  such that  $U(x_j) = y_j$  for every  $j \in \mathbb{J}$ . They are *similar* (*or isomorphic*) if the operator U is merely bounded, adjointable, A-linear and invertible.

We want to note that isomorphisms of frames are in general not invariant under permutations, especially if the frames contain the zero element. Moreover, frames of different size in finitely generated Hilbert  $C^*$ -modules cannot be related by these concepts. To achieve sufficiently strong statements we will not go into further modifications of similarity and isomorphism concepts for frames.

#### 3. EXAMPLES OF FRAMES

EXAMPLE 3.1. Every sequence  $\{x_j : j \in \mathbb{J}\}$  of a finitely or countably generated Hilbert A-module for which every element  $x \in \mathcal{H}$  can be represented as  $x = \sum_{j} \langle x, x_j \rangle x_j$  (in a probably weaker sense of series convergence than norm-

convergence) is a normalized tight frame in  $\mathcal{H}$ . The decomposition of elements of  $\mathcal{H}$  is norm-convergent if and only if  $\{x_j : j \in \mathbb{J}\}$  is a standard normalized tight frame. Indeed,

$$\langle x,x\rangle = \operatorname{w-lim}_{n\to\infty} \left\langle \sum_{k=1}^n \langle x,x_k\rangle x_k,x \right\rangle = \operatorname{w-lim}_{n\to\infty} \sum_{k=1}^n \langle x,x_k\rangle \langle x_k,x\rangle.$$

EXAMPLE 3.2. Let B be a unital  $C^*$ -algebra and  $E : B \to A \subseteq B$  be a conditional expectation on B. By Y. Watatani E is said to be algebraically of finite index if there exists a finite family  $\{(u_1, v_1), \ldots, (u_n, v_n)\} \subseteq B \times B$  that is called a *quasi-basis* such that

$$x = \sum_{i} u_i E(v_i x) = \sum_{i} E(x u_i) v_i$$

for every  $x \in B$ , cf. [57], Definition 1.2.2. These expressions can be translated as decompositions of B as a right/left finitely generated projective A-module, and it can be seen to be derived from an A-valued inner product on B setting  $\langle \cdot, \cdot \rangle = E(\langle \cdot, \cdot \rangle_B)$ . We will see in Section 6 that the sets  $\{u_1, \ldots, u_n\}$  and  $\{v_1, \ldots, v_n\}$  are dual to one other frames of B as a finitely generated Hilbert A-module. Moreover, the setting  $v_i = u_i^*$  is the choice for the canonical dual of a normalized tight frame  $\{u_1, \ldots, u_n\}$ , and such a choice can be made in every situation (see [57], Lemma 2.1.6). The concept survives an extension to faithful bounded A-bimodule maps on B ([57], Definition 1.11.2). For explicit examples we refer to [57], [38], [41], [49], [24].

EXAMPLE 3.3. Let H be an infinite-dimensional Hilbert space and  $\{p_{\alpha} : \alpha \in I\}$  be a maximal set of pairwise orthogonal minimal orthogonal projections on H. Consider the  $C^*$ -algebra A = B(H) of all bounded linear operators on Hand the Hilbert A-modules  $\mathcal{H}_1 = A$  and  $\mathcal{H}_2 = K(H)$ , where the latter consists of all compact operators on H. The set  $\{p_{\alpha}\}$  is a normalized tight frame for both  $\mathcal{H}_1$ and  $\mathcal{H}_2$ , albeit non-standard one in the first case. Moreover, for this tight frame we obtain  $\langle p_j, p_j \rangle = \langle p_j, p_j \rangle^2$  and  $x = \sum_j \langle x, p_j \rangle p_j$  in the sense of  $w^*$ -convergence

in A. The frame is not a standard Riesz basis for  $\mathcal{H}_1$  since it generates only  $\mathcal{H}_2$  by convergence in norm. Note that the frame can contain uncountably many elements.

The structural obstacle behind this phenomenon is order convergence. Infinite-dimensional  $C^*$ -algebras A can possess sequences of pairwise orthogonal positive elements, the sum of which converges in order inside A, but not in norm. They may cause this kind of non-standard normalized tight frames. Since the structure of the basic  $C^*$ -algebra A may be very complicated containing monotone complete and non-complete blocks, we have to try to circumvent this kind of situation in our first attempt to generalize the theory. Otherwise, Theorem 4.1 can only be formulated for self-dual Hilbert A-modules over monotone complete  $C^*$ -algebras A since only for this class of Hilbert  $C^*$ -modules can the A-valued inner product be canonically continued to an A-valued inner product on the A-dual Banach A-module of a given Hilbert A-module. The disadvantage consists in the small number of examples covered by this setting, most of them being far from typical. The other way out of the situation would be a switch to general Banach A-module theory without any inner product structures. This is certainly possible but technically highly complicated, so we will restrict ourselves to standard frames for the time being.

EXAMPLE 3.4. Let A be the  $C^*$ -algebra of all continuous functions on the unit interval. Let  $\mathcal{H}$  be the set of all continuous functions on [0, 1] vanishing at zero. The set  $\mathcal{H}$  is a countably generated Hilbert A-module by the Stone-Weierstrass theorem (take for example the functions  $\{t, t^2, t^3, \ldots\}$  as a set of generators). The A-valued inner product on  $\mathcal{H}$  is defined by the formula  $\langle f, g \rangle = fg^*$ . As already discussed, this Hilbert A-module does not contain any orthogonal Riesz basis.

However,  $\mathcal{H}$  possesses standard normalized tight frames. The following set of elements of  $\mathcal{H}$  forms one:

$$x_{j}(t) = \begin{cases} \sqrt{j(j+1)t-j} & t \in [(j+1)^{-1}, j^{-1}], \\ \sqrt{-j(j-1)t+j} & t \in [j^{-1}, (j-1)^{-1}], \\ 0 & \text{elsewhere,} \end{cases} \text{ for } j > 1;$$
$$x_{1}(t) = \begin{cases} \sqrt{2t-1} & t \in [1/2, 1], \\ 0 & t \in [0, 1/2]. \end{cases}$$

It is not a frame for the (generated by a single element) Hilbert A-module A itself since the constant C of inequality (3) has to be zero for this extended Hilbert A-module (look at t = 0 for functions f with  $f(0) \neq 0$ ). Adding a further element  $x_0 = f$  with  $f(0) \neq 0$  to the sequence under consideration we obtain a frame for the Hilbert A-module A, although not a tight one since max  $C = |f(0)|^2$  and min  $D = 1 + \max |f(t)|^2$ .

EXAMPLE 3.5. After these unusual examples we want to indicate good classes of frames for every finitely and countably generated Hilbert A-module  $\mathcal{H}$  over a unital  $C^*$ -algebra A. In fact, there is an abundance of standard normalized tight frames in each finitely or countably generated Hilbert A-module: recall that the standard Hilbert A-modules  $A^N$ ,  $N \in \mathbb{N}$ , and  $l_2(A)$  have unitarily isomorphic representations as (normed linear space) tensor products of the  $C^*$ -algebra A and the Hilbert spaces  $\mathbb{C}^N$ ,  $N \in \mathbb{N}$ , and  $l_2(\mathbb{C})$ , respectively. Simply set the A-valued inner product to

$$\langle a \otimes h, b \otimes g \rangle = ab^* \langle h, g \rangle_H$$

for  $a, b \in A$  and g, h from the appropriate Hilbert space H. In fact, the algebraic tensor product  $A \odot l_2$  needs completion with respect to the Hilbert norm arising to establish the unitary isomorphism.

Using this construction, every frame  $\{x_j\}$  of the Hilbert space H induces a corresponding frame  $\{1_A \otimes x_j\}$  in  $A^N$ ,  $N \in \mathbb{N}$ , or  $l_2(A)$ . The properties to be tight or (standard) normalized tight transfer. Non-standard normalized tight frames in Hilbert  $C^*$ -modules cannot arise this way.

To find frames in arbitrary finitely or countably generated Hilbert  $C^*$ -modules over unital  $C^*$ -algebras A, recall that every such Hilbert A-module  $\mathcal{H}$  is an orthogonal summand of  $A^N$ ,  $N \in \mathbb{N}$ , or  $l_2(A)$ , respectively (see section one). Hence there exists an orthogonal projection P of  $A^N$  or  $l_2(A)$  onto this embedding of  $\mathcal{H}$ . The next step is to show that any orthogonal projection of an orthonormal Riesz basis of  $A^N$  or  $l_2(A)$  is a standard normalized frame of the range  $\mathcal{H}$  of P.

Denote the standard Riesz basis of  $A^N$  or  $l_2(A)$  by  $\{e_j\}$  and the elements of the resulting sequence  $\{P(e_j)\}$  by  $x_j, j \in \mathbb{N}$ . For every  $x \in \mathcal{H}$  we have

$$\langle x, x \rangle = \sum_{j} \langle x, e_j \rangle \langle e_j, x \rangle, \quad x = \sum_{j} \langle x, e_j \rangle e_j.$$

Applying the projection P to the decomposition of x with respect to the orthonormal basis  $\{e_j\}$  we obtain  $x = \sum_j \langle x, x_j \rangle x_j$  since x = P(x),  $x_j = P(e_j)$ 

and  $\langle x, e_j \rangle = \langle x, x_j \rangle$  for  $j \in \mathbb{N}$ . By Example 3.1 the sequence  $\{x_j\}$  becomes a standard normalized tight frame of  $\mathcal{H}$ .

This formula  $x = \sum_{j} \langle x, x_j \rangle x_j$  is called the reconstruction formula of a frame

in Hilbert space theory. The remaining point is to show that *every* standard normalized tight frame of finitely and countably generated Hilbert A-modules over unital  $C^*$ -algebras A arises in this way, see Theorem 4.1 below (and even non-standard ones, see section eight).

## 4. FRAME TRANSFORM AND RECONSTRUCTION FORMULA

This section is devoted to the key result that allows all the further developments we could work out. We found that for unital  $C^*$ -algebras A the frame transform operator related to a standard (normalized tight) frame in a finitely or countably generated Hilbert A-module is adjointable in every situation, and that the reconstruction formula holds. Moreover, the image of the frame transform is an orthogonal summand of  $l_2(A)$ . The proof is in crucial points different from that one for Hilbert spaces since these properties of the frame transform are not guaranteed by general operator and submodule theory. Quite the opposite, the results are rather unexpected in their generality to hold and have to be established by non-traditional arguments. For the Hilbert space situation we refer to [31], Proposition 1.1 and [33], Theorem 2.1, 2.2.

THEOREM 4.1. (frame transform and reconstruction formula) Let A be a unital  $C^*$ -algebra,  $\{\mathcal{H}, \langle \cdot, \cdot \rangle\}$  be a finitely or countably generated Hilbert A-module. Suppose that  $\{x_n : n \in \mathbb{J}\}$  is a standard normalized tight frame for  $\mathcal{H}$ . Then the corresponding frame transform  $\theta : \mathcal{H} \to l_2(A)$  defined by  $\theta(x) = \{\langle x, x_n \rangle\}_{n \in \mathbb{J}}\}$ for  $x \in \mathcal{H}$  possesses an adjoint operator and realizes an isometric embedding of  $\mathcal{H}$  onto an orthogonal summand of  $l_2(A)$ . The adjoint operator  $\theta^*$  is surjective and fulfills  $\theta^*(e_n) = x_n$  for every  $n \in \mathbb{J}$ . Moreover, the corresponding orthogonal projection  $P: l_2(A) \to \theta(\mathcal{H})$  fulfills  $P(e_n) \equiv \theta(x_n)$  for the standard orthonormal basis  $\{e_n = (0_A, \ldots, 0_A, 1_{A,(n)}, 0_A, \ldots) : n \in \mathbb{J}\}$  of  $l_2(A)$ . For every  $x \in \mathcal{H}$  the decomposition  $x = \sum \langle x, x_i \rangle x_i$  is valid, where the sum converges in norm.

The frame  $\{x_n\}$  is a set of module generators of the Hilbert A-module  $\mathcal{H}$ . If the frame is not a Riesz basis then the frame elements do not form an A-linearly independent set of elements. The operator equality  $\mathrm{id}_{\mathcal{H}} = \sum_{i} \theta_{x_i, x_i}$  is fulfilled in

the sense of norm-convergence of the series  $\sum_{i} \theta_{x_i,x_i}(x)$  to  $x \in \mathcal{H}$ .

*Proof.* Since the sequence  $\{x_j : j \in \mathbb{J}\}$  is a standard normalized tight frame in  $\mathcal{H}$ , the frame operator is correctly defined and the equality

$$\langle \theta(x), \theta(x) \rangle_{l_2} = \sum_{j \in \mathbb{J}} \langle x, x_j \rangle_{\mathcal{H}} \langle x_j, x \rangle_{\mathcal{H}} = \langle x, x \rangle_{\mathcal{H}}$$

holds for any  $x \in \mathcal{H}$ . Moreover, the image of  $\theta$  is closed because  $\mathcal{H}$  is closed by assumption. Thus,  $\theta$  is an isometric A-linear embedding of  $\mathcal{H}$  into  $l_2(A)$  with norm-closed image.

To calculate the values of the adjoint operator  $\theta^*$  of  $\theta$  consider the equality

$$\langle \theta(x), e_i \rangle_{l_2(A)} = \left\langle \sum_k \langle x, x_k \rangle_{\mathcal{H}} e_k, e_i \right\rangle_{l_2(A)} = \sum_k \langle x, x_k \rangle_{\mathcal{H}} \langle e_k, e_i \rangle_{l_2(A)} = \langle x, x_i \rangle_{\mathcal{H}}$$

which is satisfied for every  $x \in \mathcal{H}$ , every  $i \in \mathbb{J}$ . Consequently,  $\theta^*$  is at least defined for the elements of the selected orthonormal Riesz basis  $\{e_j : j \in \mathbb{J}\}$  of  $l_2(A)$  and takes the values  $\theta^*(e_j) = x_j$  for every  $j \in \mathbb{J}$ . Since the operator  $\theta^*$  has to be *A*-linear by definition we can extend this operator to the norm-dense subset of all finite *A*-linear combinations of the elements of the selected basis of  $l_2(A)$ .

Furthermore, we are going to show that  $\theta^*$  is bounded. To see this, consider the bounded A-linear mapping  $\langle \theta(\cdot), y \rangle$  from  $l_2(A)$  to A defined for any  $y \in l_2(A)$ . The inequality

$$\|\langle x, \theta^*(y) \rangle_{\mathcal{H}}\|_A = \|\langle \theta(x), y \rangle_{l_2(A)}\|_A \leq \|\theta\| \|x\| \|y\|$$

is valid for any y that is an element of the domain of  $\theta^*$  and for any  $x \in \mathcal{H}$  by the general Cauchy-Schwarz inequality for Hilbert  $C^*$ -modules. Taking the supremum over the set  $\{x \in \mathcal{H} : ||x|| \leq 1\}$  of both the sides of the inequality we obtain

$$\|\theta^*(y)\|_{\mathcal{H}'} = \|\langle \cdot, \theta^*(y) \rangle_{\mathcal{H}}\| \le \|\theta\| \|y\|$$

for any element  $y \in l_2(A)$  which belongs to the dense in  $l_2(A)$  domain of  $\theta^*$ . Hence the norm of  $\theta^*$  is bounded by the same constant as the norm of  $\theta$ , and  $\theta^*$  can be considered as a bounded A-linear map of  $\mathcal{H}$  into  $\mathcal{H}'$ .

Applying  $\theta^*$  to the dense in  $l_2(A)$  subset of all finite A-linear combinations of the elements  $\{e_j : j \in \mathbb{J}\}$  the corresponding range can be seen to be contained in the standard copy of  $\mathcal{H}$  inside  $\mathcal{H}'$ . Hence, the entire image of  $\theta^*$  has to belong to the norm-closed set  $\mathcal{H} \hookrightarrow \mathcal{H}'$ . This shows the correctness of the definition and the existence of  $\theta^*$  as an adjoint operator of  $\theta$ . Finally, because  $\theta$  is adjointable, injective and has a closed range the operator  $\theta^*$  is surjective, cf. [58], Theorem 15.3.8.

Since the operator  $\theta$  is now shown to be adjointable, injective, bounded from below and admitting a closed range, the Hilbert A-module  $l_2(A)$  splits into the

orthogonal sum  $l_2(A) = \theta(\mathcal{H}) \oplus \text{Ker}(\theta^*)$  by [58], Theorem 15.3.8. Denote the resulting orthogonal projection of  $l_2(A)$  onto  $\theta(\mathcal{H})$  by P. We want to show that  $P(e_j) = \theta(x_j)$  for every  $j \in \mathbb{J}$ . For every  $x \in \mathcal{H}$  the following equality is valid:

$$(4.1) \ \langle \theta(x), P(e_j) \rangle_{\theta(\mathcal{H})} = \langle P(\theta(x)), e_j \rangle_{l_2} = \langle \theta(x), e_j \rangle_{l_2} = \langle x, x_j \rangle_{\mathcal{H}} = \langle \theta(x), \theta(x_j) \rangle_{\theta(\mathcal{H})}.$$

In the third equality of the equation above, the fact was used that  $\langle \theta(y), e_j \rangle_{l_2} = \langle y, x_j \rangle_{\mathcal{H}}$  for every  $y \in \mathcal{H}$  by the definition of  $\theta$ . Since  $(P(e_j) - \theta(x_j)) \in \theta(\mathcal{H})$  and  $x \in \mathcal{H}$  is arbitrarily chosen, the identity  $P(e_j) = \theta(x_j)$  follows for every  $j \in \mathbb{J}$ .

Since  $\theta(\mathcal{H})$  is generated by the set  $\{\theta(x_j) : j \in \mathbb{J}\}$  and since  $\theta$  is an isometry the Hilbert A-module  $\mathcal{H}$  is generated by the set  $\{x_j : j \in \mathbb{J}\}$  as a Banach A-module. By [31], Example A<sub>1</sub>, a standard normalized tight frame in a finite-dimensional Hilbert space H can contain more non-zero elements than the dimension of H. Thus the zero element of  $\mathcal{H}$  may admit a non-trivial decomposition  $0 = \sum_{j=1}^{n} a_j x_j$ 

for some elements  $\{a_j : j \in \mathbb{J}\} \subset A$  in some situations.

COROLLARY 4.2. Let A be a unital C<sup>\*</sup>-algebra,  $\{\mathcal{H}, \langle \cdot, \cdot \rangle\}$  be a finitely or countably generated Hilbert A-module. Suppose that  $\{x_j : j \in \mathbb{J}\}$  is a standard Riesz basis for  $\mathcal{H}$  that is a normalized tight frame. Then  $\{x_j : j \in \mathbb{J}\}$  is an orthogonal Hilbert basis with the additional property that  $\langle x_j, x_j \rangle = \langle x_j, x_j \rangle^2$  for any  $j \in \mathbb{J}$ . The converse assertion holds too.

*Proof.* Since  $\{x_j : j \in \mathbb{J}\}$  is a normalized tight frame we obtain  $x_j = \sum_i \langle x_j, x_i \rangle x_i$  for any  $j \in \mathbb{J}$  by the reconstruction formula. The basis property forces  $\langle x_j, x_i \rangle x_i = 0$  for any  $i \neq j$  and each fixed j. However, the right carrier projection of  $\langle x_j, x_i \rangle$  equals the carrier projection of  $x_i$  for every  $i \in \mathbb{J}$  if calculated inside the bidual von Neumann algebra  $A^{**}$ . So  $\langle x_j, x_i \rangle = 0$  for any  $i \neq j$ . Proposition 2.2 gives the second property of the Hilbert basis. The converse implication is a simple calculation fixing an element  $x \in \mathcal{H}$  and setting  $x = \sum_j a_j x_j$  for some

elements  $\{a_j : j \in \mathbb{J}\} \subset A$  and the given orthonormal basis  $\{x_j : j \in \mathbb{J}\}$  of  $\mathcal{H}$ :

$$\begin{split} \langle x, x \rangle &= \left\langle \sum_{j \in \mathbb{J}} a_j x_j, \sum_{k \in \mathbb{J}} a_k x_k \right\rangle = \sum_{j \in \mathbb{J}} a_j \langle x_j, x_j \rangle a_j^* = \sum_{j \in \mathbb{J}} a_j \langle x_j, x_j \rangle^2 a_j^* \\ &= \sum_{j \in \mathbb{J}} \langle a_j x_j, x_j \rangle \langle x_j, a_j x_j \rangle = \sum_{j \in \mathbb{J}} \left\langle \sum_{k \in \mathbb{J}} a_k x_k, x_j \right\rangle \left\langle x_j, \sum_{l \in \mathbb{J}} a_l x_l \right\rangle \\ &= \sum_{j \in \mathbb{J}} \langle x, x_j \rangle \langle x_j, x \rangle. \end{split}$$

Note that we applied the supposed equality  $\langle x_j, x_j \rangle = \langle x_j, x_j \rangle^2$ ,  $j \in \mathbb{J}$ , as the third transformation step. Since  $x \in \mathcal{H}$  is arbitrarily selected, the special orthogonal basis  $\{x_j : j \in \mathbb{J}\}$  turns out to be a normalized tight frame and hence, a Riesz basis.

We have an easy proof of the uniqueness of the A-valued inner product with respect to which a given frame is normalized tight, generalizing a fact known for orthonormal Hilbert bases. Note that standard frames can be replaced by general frames in Corollary 4.2 as additional investigations show in Section 8.

COROLLARY 4.3. Let A be a unital  $C^*$ -algebra,  $\mathcal{H}$  be a finitely or countably generated Hilbert A-module, and  $\{x_j : j \in \mathbb{J}\}$  be a standard frame of  $\mathcal{H}$ . Assume that this frame is normalized tight with respect to two A-valued inner products  $\langle \cdot, \cdot \rangle_1, \langle \cdot, \cdot \rangle_2$  on  $\mathcal{H}$  that induce equivalent norms to the given one. Then  $\langle x, y \rangle_1 =$  $\langle x, y \rangle_2$  for any  $x, y \in \mathcal{H}$ . In other words, the A-valued inner product with respect to which a standard frame is normalized tight is unique.

*Proof.* By supposition and Theorem 4.1 we have the reconstruction formulae

(4.2) 
$$x = \sum_{j \in \mathbb{J}} \langle x, x_j \rangle_1 x_j, \quad y = \sum_{j \in \mathbb{J}} \langle y, x_j \rangle_2 x_j$$

for any  $x, y \in \mathcal{H}$ . Taking the A-valued inner product of x by y with respect to  $\langle \cdot, \cdot \rangle_2$  and the A-valued inner product of y by x with respect to  $\langle \cdot, \cdot \rangle_1$  simultaneously the right sides of (4.2) become adjoint to one another elements of A. Since x, y are arbitrarily selected elements of  $\mathcal{H}$  the coincidence of the inner products is demonstrated.

Remarkably the frame transform of any standard frame preserves the crucial operator properties known for frame transforms of Hilbert space theory.

THEOREM 4.4. (Frame transform) Let A be a unital  $C^*$ -algebra,  $\{\mathcal{H}, \langle \cdot, \cdot \rangle\}$ be a finitely or countably generated Hilbert A-module. Suppose that  $\{x_j : j \in \mathbb{J}\}$  is a standard frame for  $\mathcal{H}$ . Then the corresponding frame transform  $\theta : \mathcal{H} \to l_2(A)$ defined by  $\theta(x) = \{\langle x, x_j \rangle\}_{j \in \mathbb{J}}, x \in \mathcal{H}, \text{ possesses an adjoint operator and realizes an}$ embedding of  $\mathcal{H}$  onto an orthogonal summand of  $l_2(A)$ . The formula  $\theta^*(e_j) = x_j$ holds for every  $j \in \mathbb{J}$ .

*Proof.* The set  $\{x_j : j \in \mathbb{J}\}$  is supposed to be a standard frame for the Hilbert A-module  $\mathcal{H}$ . Referring to the definition of module frames we have the inequality

$$C \cdot \langle x, x \rangle \leqslant \sum_{j \in \mathbb{J}} \langle x, x_j \rangle \langle x_j, x \rangle = \langle \theta(x), \theta(x) \rangle \leqslant D \cdot \langle x, x \rangle$$

valid for every  $x \in \mathcal{H}$  and two fixed numbers 0 < C, D. Hence the image of  $\theta$  inside  $l_2(A)$  has to be closed since  $\mathcal{H}$  is closed by assumption and the operator  $\theta$  is bounded from above and below.

The proof of the existence of an adjoint to  $\theta$  operator  $\theta^* : l_2(A) \to \mathcal{H}$  is exactly the same as that given in the case of normalized tight frames, cf. proof of Theorem 4.1. Also, the arguments for  $\theta(\mathcal{H})$  being an orthogonal summand of  $l_2(A)$  can be repeated as given there.

For an extended reconstruction formula we refer to Theorem 6.1 below since some more investigations are necessary to establish it. COROLLARY 4.5. (cf. [7], Proposition 2.8) If  $\{x_j : j \in \mathbb{J}\}$  is a standard normalized tight frame in a Hilbert A-module  $\mathcal{H}$  then  $\{\theta(x_j) : j \in \mathbb{J}\}$  is the average of two orthonormal Hilbert bases of the Hilbert A-module  $l_2(A)$ .

More precisely, let  $\{e_j : j \in \mathbb{J}\}$  be a fixed Riesz basis of  $\mathcal{H}$  and at the same time a standard normalized tight frame. Then  $\theta(x_j) = 1/2 \cdot [(P(e_j) + (1-P)(e_j)) + (P(e_j) - (1-P)(e_j))]$  for every  $j \in \mathbb{J}$  and  $P : l_2(A) \to \theta(\mathcal{H})$  the respective orthogonal projection.

Since the short proof is straightforward we only mention that (2P - 1) is a self-adjoint isometry forcing  $\{(2P - 1)(e_j) : j \in \mathbb{J}\}$  to be a Riesz basis of the same kind as  $\{e_j : j \in \mathbb{J}\}$ .

COROLLARY 4.6. Let  $\{x_j : j \in \mathbb{J}\}\$  be an orthogonal Hilbert basis of a finitely or countably generated Hilbert A-module  $\mathcal{H}$  with the property  $\langle x_j, x_j \rangle = \langle x_j, x_j \rangle^2$ . For every partial isometry  $V \in \operatorname{End}_A^*(\mathcal{H})$  the sequence  $\{V(x_j) : j \in \mathbb{J}\}\$  becomes a standard normalized tight frame of  $V(\mathcal{H})$ .

*Proof.* Since  $\{x_j : j \in \mathbb{J}\}$  is an orthogonal Hilbert basis of  $\mathcal{H}$  with  $\langle x_j, x_j \rangle = \langle x_j, x_j \rangle^2$ ,  $\{x_j : j \in \mathbb{J}\}$  has the property of a standard normalized tight frame. Writing down this property for the special setting  $x = V^*V(y)$  we obtain

$$\begin{split} \sum_{n} \langle V(y), V(e_j) \rangle \langle V(e_j), V(y) \rangle = & \sum_{n} \langle V^* V(y), e_j \rangle \langle e_j, V^* V(y) \rangle = \langle V^* V(y), V^* V(y) \rangle \\ = & \langle V^* V(y), y \rangle = \langle V(y), V(y) \rangle. \quad \blacksquare \end{split}$$

An interesting field of applications of frames are Hilbert-Schmidt operators on finitely or countably generated Hilbert A-modules  $\mathcal{H}$  over unital commutative  $C^*$ -algebras A (cf. [16]). Since  $\mathcal{H}$  contains a standard normalized tight frame  $\{x_j : j \in \mathbb{J}\}$  by Kasparov's theorem ([40], Theorem 1) and Corollary 4.6 we can say the following: an adjointable bounded A-linear operator T on  $\mathcal{H}$  is (weakly) Hilbert-Schmidt if the sum  $\sum_j \langle T(x_j), T(x_j) \rangle$  converges weakly. This definition is justified by the following:

PROPOSITION 4.7. Let A be a unital commutative  $C^*$ -algebra,  $\mathcal{H}$  be a finitely or countably generated Hilbert A-module, and  $\{x_j : j \in \mathbb{J}\}$  and  $\{y_j : j \in \mathbb{J}\}$ be two standard normalized tight frames of  $\mathcal{H}$ . Consider an adjointable bounded A-linear operator T on  $\mathcal{H}$ . If the sum  $\sum_{j} \langle T(x_j), T(x_j) \rangle$  converges weakly then the sum  $\sum_{j} \langle T(y_j), T(y_j) \rangle$  also converges weakly and gives the same value in  $A^{**}$ . Furthermore, if T is replaced by  $T^*$  then the value of this sum does not change.

*Proof.* We have only to check a chain of equalities in  $A^{**}$  that is valid for our standard normalized tight frames. For an arbitrary fixed standard normalized tight frame  $\{z_k : k \in \mathbb{J}\}$  we have

$$\sum_{j} \langle T(x_j), T(x_j) \rangle = \sum_{k} \sum_{j} \langle T(x_j), z_k \rangle \langle z_k, T(x_j) \rangle = \sum_{k} \sum_{j} \langle x_j, T^*(z_k) \rangle \langle T^*(z_k), x_j \rangle$$
$$= \sum_{j} \sum_{k} \langle T^*(z_k), x_j \rangle \langle x_j, T^*(z_k) \rangle = \sum_{k} \langle T^*(z_k), T^*(z_k) \rangle$$

in case one of the sums at either ends converges weakly. Since we can repeat our calculations for the other standard normalized tight frame  $\{y_j : j \in \mathbb{J}\}$  and since we can choose  $z_j = x_j$  for all  $j \in \mathbb{J}$  the statement of the proposition follows.

This proposition might be new even for Hilbert spaces and for the definition of the Hilbert-Schmidt norm of Hilbert-Schmidt operators there. Unfortunately, the commutativity of the  $C^*$ -algebra A cannot be omitted. Therefore for normalized tight frames  $\{y_j : j \in \mathbb{J}\}$  in finite-dimensional Hilbert spaces H we have a "magic" formula:  $\sum_j \langle y_j, y_j \rangle = \dim(H)$ , without further requirements to the frame, cf. [31], Corollary 1.2, (iii). Example 1.1 tells us that we cannot expect a full analogy of this fact for finitely generated Hilbert  $C^*$ -modules over non-commutative  $C^*$ -algebras. However, the formula does not survive in a weak sense either, for example giving the same sum value for every frame with the same number of non-zero elements, cf. Example 1.1 and a frame  $\{1_A \otimes \sqrt{2}^{-1}, 1_A \otimes \sqrt{2}^{-1}\}$  for  $A = B(l_2)$ . However, if the underlying  $C^*$ -algebra is commutative a similar "magic" formula can still be obtained.

PROPOSITION 4.8. (the "magic" formula) Let A = C(X) be a commutative unital  $C^*$ -algebra, where X is the appropriate compact Hausdorff space. For any finitely generated Hilbert A-module  $\mathcal{H}$  and any standard normalized tight frame  $\{y_j : j \in \mathbb{J}\}$  of  $\mathcal{H}$  the (weakly converging) sum  $\sum_j \langle y_j, y_j \rangle$  results in a continuous function on X with constant non-negative integer values on closed-open subsets of X. The limit does not depend on the choice of the normalized tight frame of  $\mathcal{H}$ .

*Proof.* To understand the formula we have to use the categorical equivalence between locally trivial vector bundles over X and finitely generated Hilbert C(X)modules known as Serre-Swan's theorem ([54], [55]). Consider a normalized tight frame  $\{z_j : j \in \mathbb{J}\}$  of  $\mathcal{H}$ . For this normalized tight frame the sum exists as a weak limit in  $A^{**}$ . Fixing a point  $x_0 \in X$  and applying the Hilbert space formula to the Hilbert space frame  $\{z_j(x_0) : j \in \mathbb{J}\}$  we obtain  $\sum \langle z_j(x_0), z_j(x_0) \rangle \in \mathbb{N}$ , ([31],

Corollary 1.2 (iii)). Therefore, the sum is locally constant because the number obtained is precisely the dimension of the fibre over  $x_0$  in the dual to  $\mathcal{H}$  locally trivial vector bundle over X, and the dimension of fibres is locally constant (cf. [58], Section 13). Since closed-open subsets of X are compact we obtain the desired properties of the resulting function on X in this particular case.

For an arbitrary standard normalized tight frame  $\{y_j : j \in \mathbb{J}\}$  for  $\mathcal{H}$  we can again fix a point  $x_0 \in X$ . Comparing the sums  $\sum_j \langle z_j(x_0), z_j(x_0) \rangle$  and  $\sum_j \langle y_j(x_0), y_j(x_0) \rangle$  we obtain their equality by [31], Corollary 1.2, (iii). Since  $x_0 \in X$  was arbitrarily chosen, the statement follows.

## 5. COMPLEMENTARY FRAMES, UNITARY EQUIVALENCE AND SIMILARITY

In this section we consider geometrical dilation results for frames in Hilbert  $C^*$ modules. The central two concepts are: (i) the inner direct sum of frames with respect to a suitable embedding of the original Hilbert  $C^*$ -module into a larger one as an orthogonal summand and (ii) the existence of a complementary frame in the orthogonal complement of this embedding. The description of the Hilbert space results can be found in [31] as Corollary 1.3, Propositions 1.4–1.7 and 1.9. A more detailed account of inner sum decompositions of module frames can be found in [25].

PROPOSITION 5.1. Let A be a unital C<sup>\*</sup>-algebra,  $\mathcal{H}$  be a finitely (or countably) generated Hilbert A-module and  $\{x_j : j \in \mathbb{J}\}$  be a standard normalized tight frame in  $\mathcal{H}$ . Then there exists another countably generated Hilbert A-module  $\mathcal{M}$ and a standard normalized tight frame  $\{y_j : j \in \mathbb{J}\}$  in  $\mathcal{M}$  such that the sequence

 $\{x_j \oplus y_j : j \in \mathbb{J}\}$ 

is an orthogonal Hilbert basis for the countably generated Hilbert A-module  $\mathcal{H} \oplus \mathcal{M}$ with the property  $\langle x_j \oplus y_j, x_j \oplus y_j \rangle = \langle x_j \oplus y_j, x_j \oplus y_j \rangle^2$  for every  $j \in \mathbb{J}$ . The complement  $\mathcal{M}$  can be selected in such a way that  $\mathcal{H} \oplus \mathcal{M} = l_2(A)$  and hence,  $l_A = \langle x_j \oplus y_j, x_j \oplus y_j \rangle$ .

If  $\mathcal{H}$  is finitely generated and the index set  $\mathbb{J}$  is finite then  $\mathcal{M}$  can be chosen to be finitely generated, too, and  $\mathcal{H} \oplus \mathcal{M} = A^N$  for  $N = |\mathbb{J}|$ .

If  $\{x_j : j \in \mathbb{J}\}\$  is already an orthonormal basis then  $\mathcal{M} = \{0\}$ , i.e. no addition to the frame is needed. If  $\mathbb{J}$  is finite and  $\mathcal{M}$  is not finitely generated then  $0_{\mathcal{H}}$  has to be added to the frame  $\{x_j : j \in \mathbb{J}\}\$  infinitely many times to make sense of the statement.

*Proof.* By Theorem 4.1 there is a standard isometric embedding of  $\mathcal{H}$  into  $l_2(A)$  induced by the frame transform  $\theta$ . In the context of that embedding  $\theta(\mathcal{H})$  is an orthogonal summand of  $l_2(A)$ , and the A-valued inner products on  $\mathcal{H}$  and on  $\theta(\mathcal{H})$  coincide. The corresponding projection  $P: l_2(A) \to \theta(\mathcal{H})$  maps the standard orthonormal Riesz basis  $\{e_j: j \in \mathbb{J}\}$  of  $l_2(A)$  onto the frame  $\{\theta(x_j): j \in \mathbb{J}\}$ . Set  $\mathcal{M} = (I-P)(l_2(A))$  and consider  $y_j = (I-P)(e_j)$  for  $j \in \mathbb{J}$ . These objects possess the required properties.

If  $|\mathbb{J}|$  is finite the frame transform  $\theta$  can take its image in the standard Hilbert A-submodule  $A^N \subset l_2(A)$  with  $N = |\mathbb{J}|$ .

PROPOSITION 5.2. Let A be a unital C<sup>\*</sup>-algebra,  $\mathcal{H}$  be a countably generated Hilbert A-module and  $\{x_j : j \in \mathbb{J}\}$  be a standard normalized tight frame for  $\mathcal{H}$ , where the index set  $\mathbb{J}$  is countable or finite. Suppose there exist two countably generated Hilbert A-modules  $\mathcal{M}, \mathcal{N}$  and two normalized tight frames  $\{y_j : j \in \mathbb{J}\}$ ,  $\{z_j : j \in \mathbb{J}\}$  for them, respectively, such that

$$\{x_j \oplus y_j : j \in \mathbb{J}\}, \quad \{x_j \oplus z_j : j \in \mathbb{J}\}$$

are orthogonal Hilbert bases for the countably generated Hilbert A-modules  $\mathcal{H} \oplus \mathcal{M}$ ,  $\mathcal{H} \oplus \mathcal{N}$ , respectively, where we have the value properties  $\langle x_j \oplus y_j, x_j \oplus y_j \rangle = \langle x_j \oplus y_j, x_j \oplus y_j \rangle^2$  and  $\langle x_j \oplus z_j, x_j \oplus z_j \rangle = \langle x_j \oplus z_j, x_j \oplus z_j \rangle^2$ . If  $\langle y_j, y_j \rangle_{\mathcal{M}} = \langle z_j, z_j \rangle_{\mathcal{N}}$ for every  $j \in \mathbb{J}$ , then there exists a unitary transformation  $U : \mathcal{H} \oplus \mathcal{M} \to \mathcal{H} \oplus \mathcal{N}$ mapping  $\mathcal{M}$  onto  $\mathcal{N}$  and satisfying  $U(y_j) = z_j$  for every  $j \in \mathbb{J}$ .

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The additional remarks of Proposition 5.1 apply correspondingly in the situation of finitely generated Hilbert A-modules.

*Proof.* Set  $e_j = x_j \oplus y_j$  and  $f_j = x_j \oplus z_j$  and define  $U'(e_j) = f_j$ . By assumption the A-valued inner products are preserved by U', and U' extends to a unitary map between  $\mathcal{H} \oplus \mathcal{M}$  and  $\mathcal{H} \oplus \mathcal{N}$  by A-linearity. Fix  $x \in \mathcal{H}$ . Then the equality

$$\langle x, x_j \rangle_{\mathcal{H}} = \langle x \oplus 0_{\mathcal{M}}, e_j \rangle = \langle x \oplus 0_{\mathcal{N}}, f_j \rangle, \quad j \in \mathbb{J},$$

is valid. So  $x \oplus 0_{\mathcal{M}} = \sum_{j} \langle x \oplus 0_{\mathcal{M}}, e_j \rangle e_j = \sum_{j} \langle x, x_j \rangle e_j$  and  $x \oplus 0_{\mathcal{N}} = \sum_{j} \langle x, x_j \rangle f_j$ for  $j \in \mathbb{J}$ . Applying U' the equality  $U'(x \oplus 0_{\mathcal{M}}) = x \oplus 0_{\mathcal{N}}$  yields. Consequently, U' splits into the direct sum of the identity mapping on the first component and of a unitary operator  $U : \mathcal{M} \to \mathcal{N}$  on the second component.

THEOREM 5.3. Let  $\{x_j : j \in \mathbb{J}\}\$  be a standard frame of a finitely or countably generated Hilbert A-module  $\mathcal{H}$ . Then  $\{x_j : j \in \mathbb{J}\}\$  is the image of a standard normalized tight frame  $\{y_j : j \in \mathbb{J}\}\$  of  $\mathcal{H}$  under an invertible adjointable bounded A-linear operator T on  $\mathcal{H}$ . The operator T can be chosen to be positive and equal to the square root of  $\theta^*\theta$ , where  $\theta$  is the frame transform corresponding to  $\{x_i\}$ .

Conversely, the image of a standard normalized tight frame  $\{y_j : j \in \mathbb{J}\}$  of  $\mathcal{H}$  under an invertible adjointable bounded A-linear operator T on  $\mathcal{H}$  is a standard frame of  $\mathcal{H}$ .

The frame  $\{x_j\}$  is a set of generators of  $\mathcal{H}$  as an Hilbert A-module. The frame elements do not form a Hilbert basis in general.

*Proof.* If T is an invertible adjointable bounded A-linear operator on  $\mathcal{H}$  and  $\{y_j : j \in \mathbb{J}\}$  is a standard normalized tight frame of  $\mathcal{H}$ , then the sequence  $\{x_j = T(y_j) : j \in \mathbb{J}\}$  fulfills the equality

(5.1) 
$$\sum_{j} \langle x, x_j \rangle \langle x_j, x \rangle = \sum_{j} \langle x, T(y_j) \rangle \langle T(y_j), x \rangle = \sum_{j} \langle T^*(x), y_j \rangle \langle y_j, T^*(x) \rangle$$
$$= \langle T^*(x), T^*(x) \rangle$$

for every  $x \in \mathcal{H}$ . Since  $||T^{-1}||^{-2} \langle x, x \rangle \leq \langle T^*(x), T^*(x) \rangle \leq ||T||^2 \langle x, x \rangle$  for every  $x \in \mathcal{H}$  (cf. [48]) and since the sum in (5.1) converges in norm, the sequence  $\{x_j : j \in \mathbb{J}\}$  is a standard frame of  $\mathcal{H}$  with frame bounds  $C \geq ||T^{-1}||^{-2}$  and  $D \leq ||T||^2$ .

Conversely, for an arbitrary standard frame  $\{x_j : j \in \mathbb{J}\}$  of a countably generated Hilbert A-module  $\mathcal{H}$ , the frame transform  $\theta : \mathcal{H} \to l_2(A), \theta(x) = \{\langle x, x_j \rangle : j \in \mathbb{J}\}$  is adjointable by Theorem 4.4. Moreover,  $\theta^*$  restricted to the orthogonal summand  $\theta(\mathcal{H})$  of  $l_2(A)$  is an invertible operator as  $\theta^*$  is the adjoint operator of  $\theta$ , where  $\theta$  has to be regarded as an invertible operator from  $\mathcal{H}$  to  $\theta(\mathcal{H})$ . Hence the mapping  $\theta^*\theta$  becomes an invertible positive bounded A-linear operator onto  $\mathcal{H}$ , and the equality

$$\langle \theta(x), \theta(x) \rangle_{l_2} = \sum_j \langle x, x_j \rangle_{\mathcal{H}} \langle x_j, x \rangle_{\mathcal{H}}$$

holds for every  $x \in \mathcal{H}$ . Set  $y_x = (\theta^* \theta)^{1/2}(x)$  for each  $x \in \mathcal{H}$ ,  $y_j = (\theta^* \theta)^{-1/2}(x_j)$  for  $j \in \mathbb{J}$ . Then the equality

$$\langle y_x, y_x \rangle_{\mathcal{H}} = \langle \theta(x), \theta(x) \rangle_{l_2} = \sum_j \langle x, x_j \rangle_{\mathcal{H}} \langle x_j, x \rangle_{\mathcal{H}} = \sum_j \langle y_x, y_j \rangle_{\mathcal{H}} \langle y_j, y_x \rangle_{\mathcal{H}}$$

is valid since  $x \in \mathcal{H}$  was arbitrarily chosen and the sum on the right side converges in norm by supposition. The sequence  $\{y_j = (\theta^*\theta)^{-1/2}(x_j) : j \in \mathbb{J}\}$  has thus been characterized as a standard normalized tight frame of  $\mathcal{H}$ . The operator  $T = (\theta^*\theta)^{1/2}$  is the sought operator mapping the standard normalized frame  $\{y_j\}$ onto the standard frame  $\{x_i\}$ .

The property of a standard frame to be a set of generators for  $\mathcal{H}$  as a Hilbert A-module can be derived from the analogous property of standard normalized tight frames which is preserved under adjointable invertible mappings, cf. Theorem 4.1.

REMARK 5.4. Applying the techniques described in the appendix, we can show that the image of a standard normalized tight frame under a non-adjointable invertible bounded A-linear operator T on  $\mathcal{H}$  is still a frame of  $\mathcal{H}$  with  $C \ge ||T^{-1}||^{-2}$ ,  $D \le ||T||^2$ . However, the adjoint operator  $T^*$  needed for calculations only exists as an element of the  $W^*$ -algebra  $\operatorname{End}_A^*((\mathcal{H}^{\#})')$ . In other words, there exists an element  $x \in \mathcal{H}$  such that the left-hand side sum in (5.1) does not converge in norm since  $T^*(x) \notin \mathcal{H}$ . The resulting frame  $\{x_j = T(y_j)\}$  turns out to be nonstandard.

COROLLARY 5.5. (cf. [7], Proposition 2.9) Every standard frame in a Hilbert A-module  $\mathcal{H}$  is similar to another standard frame in  $\mathcal{H}$  which is mapped to the average of two orthonormal bases of  $l_2(A)$  by its frame transform.

For proof arguments we refer to the Theorems 4.1, 5.3 and Corollary 4.5.

PROPOSITION 5.6. Let  $\{x_j : j \in \mathbb{J}\}$  be a standard frame of a finitely or countably generated Hilbert A-module  $\mathcal{H}$ . There exists a Hilbert A-module  $\mathcal{M}$  and a normalized tight frame  $\{y_j : j \in \mathbb{J}\}$  in  $\mathcal{M}$  such that the sequence  $\{x_j \oplus y_j : j \in \mathbb{J}\}$  is a standard Riesz basis in  $\mathcal{H} \oplus \mathcal{M}$  with the same frame bounds for  $\{x_j\}$  and  $\{x_j \oplus y_j\}$ . The Hilbert A-module  $\mathcal{M}$  can be chosen in such a way that  $\mathcal{H} \oplus \mathcal{M} = l_2(\mathcal{A})$ . If  $\mathcal{H}$  is finitely generated and the index set  $\mathbb{J}$  is finite, then  $\mathcal{M}$  can be chosen to be finitely generated, too, and  $\mathcal{H} \oplus \mathcal{M} = \mathcal{A}^N$  for  $N = |\mathbb{J}|$ .

In general,  $\mathcal{M}$  cannot be chosen as a submodule of  $\mathcal{H}$ , and the resulting standard Riesz basis may be non-orthogonal. A uniqueness result like that in Proposition 5.2 fails to be true in general.

*Proof.* By Theorem 5.3 there exists a standard normalized tight frame  $\{z_j : j \in \mathbb{J}\}$  for  $\mathcal{H}$  and an adjointable invertible operator T on  $\mathcal{H}$  such that  $x_j = T(z_j)$  for any  $j \in \mathbb{J}$ . Moreover, there is another Hilbert A-module  $\mathcal{M}$  and a standard normalized tight frame  $\{y_j : j \in \mathbb{J}\}$  for  $\mathcal{M}$  such that the sequence  $\{z_j \oplus y_j : j \in \mathbb{J}\}$  is an orthogonal Hilbert basis in  $\mathcal{H} \oplus \mathcal{M}$ , see Proposition 5.1. Then  $T \oplus \text{id}$  is an adjointable invertible operator on  $\mathcal{H} \oplus \mathcal{M}$  mapping the sequence  $\{z_j \oplus y_j : j \in \mathbb{J}\}$  onto the sequence  $\{x_j \oplus y_j : j \in \mathbb{J}\}$ . Hence, the latter is a standard Riesz basis for  $\mathcal{H} \oplus \mathcal{M}$  according to Theorem 5.3. The statement regarding bounds is obvious, the special choices for  $\mathcal{M}$  can be derived from the reconstruction formula. The additional remarks have already been shown to be true for particular Hilbert space situations in [31], Proposition 1.6, Example B.

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COROLLARY 5.7. Let  $\{x_j : j \in \mathbb{J}\}\$  be a standard Riesz basis of a finitely or countably generated Hilbert A-module  $\mathcal{H}$ . Then  $\{x_j : j \in \mathbb{J}\}\$  is the image of a standard normalized tight frame and Hilbert basis  $\{y_j : j \in \mathbb{J}\}\$  of  $\mathcal{H}$  under an invertible adjointable bounded A-linear operator T on  $\mathcal{H}$ , i.e. of an orthogonal Hilbert basis  $\{y_j : j \in \mathbb{J}\}\$  with the property  $\langle y_j, y_j \rangle = \langle y_j, y_j \rangle^2$  for any  $j \in \mathbb{J}$ .

Conversely, the image of a standard normalized tight frame and Hilbert basis  $\{y_j : j \in \mathbb{J}\}\$  of  $\mathcal{H}$  under an invertible adjointable bounded A-linear operator T on  $\mathcal{H}$  is a standard Riesz basis of  $\mathcal{H}$ .

If a Hilbert A-module  $\mathcal{H}$  contains a standard Riesz basis, then  $\mathcal{H}$  contains an orthogonal Hilbert basis  $\{x_j : j \in \mathbb{J}\}$  with the frame property  $x = \sum_j \langle x, x_j \rangle x_j$  for every element  $x \in \mathcal{H}$ .

Let  $\mathcal{H}_1$  and  $\mathcal{H}_2$  be Hilbert  $C^*$ -modules over a fixed  $C^*$ -algebra A. Let  $\{x_j : j \in \mathbb{J}\}$  and  $\{y_j : j \in \mathbb{J}\}$  be frames for these Hilbert  $C^*$ -modules, respectively, where the possibility to select the same index set  $\mathbb{J}$  is essential for our purposes in the sequel. We call the sequence  $\{x_j \oplus y_j : j \in \mathbb{J}\}$  of the Hilbert A-module  $\mathcal{H}_1 \oplus \mathcal{H}_2$  the inner direct sum of the frames  $\{x_j : j \in \mathbb{J}\}$  and  $\{y_j : j \in \mathbb{J}\}$ . The two component-frames  $\{x_j : j \in \mathbb{J}\}$  and  $\{y_j : j \in \mathbb{J}\}$  are called inner direct summands of the sequence  $\{x_j \oplus y_j : j \in \mathbb{J}\}$ , especially if the latter is a frame for  $\mathcal{H}_1 \oplus \mathcal{H}_2$ . With these denotations we can reformulate a main result of our investigations in the following way, cf. [31], Theorem 1.7:

THEOREM 5.8. Standard frames are precisely the inner direct summands of standard Riesz bases of  $A^N$  or  $l_2(A)$ . Standard normalized tight frames are precisely the inner direct summands of orthonormal Hilbert bases of  $A^N$  or  $l_2(A)$ .

The problem of whether non-standard frames can be realized as inner direct summands of generalized Riesz bases of certain canonical Hilbert  $C^*$ -modules is still open. The problem is closely connected to the existence problem of a wellbehaved frame transform for non-standard frames and corresponding codomain Banach  $C^*$ -modules.

Proposition 5.6 has immediate consequences for the characterization of algebraically generating sets of (algebraically) finitely generated Hilbert  $C^*$ -modules over unital  $C^*$ -algebras as frames. Below we give a transparent proof of the fact that finitely generated Hilbert A-modules over unital  $C^*$ -algebras A are projective A-modules. Usually, this fact can only be derived from Kasparov's stabilization theorem for countably generated Hilbert A-modules, cf. [58], Corollary 15.4.8. The smallest appearing number  $n \in \mathbb{N}$  for which a given finitely generated Hilbert Amodule is embeddable into the Hilbert A-module  $A^n$  as an orthogonal summand equals the number of elements of the shortest frame of the Hilbert A-module considered. Also, the general validity of the lower bound inequality in the chain of inequalities below is a fact possibly not sufficiently recognized before. THEOREM 5.9. Every algebraically finitely generated Hilbert A-module  $\mathcal{H}$ over a unital C<sup>\*</sup>-algebra A is projective, i.e. an orthogonal summand of some free A-module  $A^n$  for a finite integer  $n \in \mathbb{N}$ . Furthermore, any algebraically generating set  $\{x_i : i = 1, ..., n\}$  of  $\mathcal{H}$  is a frame, and the inequality

$$C \cdot \langle x, x \rangle \leqslant \sum_{i=1}^{n} \langle x, x_i \rangle \langle x_i, x \rangle \leqslant D \cdot \langle x, x \rangle$$

holds for every element  $x \in \mathcal{H}$  and some constants  $0 < C, D < +\infty$ . In other words, the positive bounded module operator  $\sum_{i} \theta_{x_j,x_j}$  is invertible.

*Proof.* Consider the operator  $F : A^n \to \mathcal{H}$  defined by  $F(e_i) = x_i$  for  $i = 1, \ldots, n$  and for an orthonormal basis  $\{e_i\}_{i=1}^n$  of  $A^n$ . The operator F is a bounded A-linear, surjective and adjointable operator since  $\mathcal{H}$  is supposed to be algebraically generated by  $\{x_i : i = 1, \ldots, n\}$  and the Hilbert A-module  $A^n$  is self-dual, cf. [48], Proposition 3.4. By [58], Theorem 15.3.8 the operator  $F^*$  has to be bounded A-linear, injective with closed range. Furthermore, F possesses a polar decomposition F = V|F|, where  $A^n = \ker(F) \oplus F^*(\mathcal{H})$ ,  $\ker(V) = \ker(F)$  and  $V^*(\mathcal{H}) = F^*(\mathcal{H})$ , see [58], Theorem 15.3.8. The set  $\{V(e_i) : i = 1, \ldots, n\}$  is a normalized tight frame of  $\mathcal{H}$  by Corollary 4.6, and  $x_i = (FV^*)(V(e_i))$  for every  $i = 1, \ldots, n$  by construction. However, the operator  $FV^*$  is invertible on  $\mathcal{H}$ . Thus the set  $\{x_i : i = 1, \ldots, n\}$  is a frame by Proposition 5.6. The inequality can be obtained from the definition of a frame.

D.P. Blecher pointed out to us that the operator  $T = \sum_{i} \theta_{x_i,x_i}$  is strictly

positive by [37], Corollary 1.1.25. Since the set of all "compact" module operators on finitely generated Hilbert  $C^*$ -modules is a unital  $C^*$ -algebra, T has to be invertible, cf. [58], 15.0. This establishes the upper and lower frame bounds as  $||T||^2$  and  $||T^{-1}||^{-2}$ .

We close this subsection with some observations on inner direct sums of frames. Our interest centres on frame property preserving exchanges of the second inner direct summand to unitarily equivalent ones.

# PROPOSITION 5.10. Let A be a unital $C^*$ -algebra.

(i) If  $\{x_j : j \in \mathbb{J}\}\$  is a standard (normalized tight) frame for a Hilbert Amodule  $\mathcal{H}$  and T is a co-isometry on  $\mathcal{H}$  (i.e. T is an adjointable operator such that  $T^*$  is an isometry), then  $\{T(x_j) : j \in \mathbb{J}\}\$  is a standard (normalized tight) frame.

(ii) Let  $\{x_j : j \in \mathbb{J}\}$  and  $\{y_j : j \in \mathbb{J}\}$  be standard normalized tight frames for Hilbert A-modules  $\mathcal{H}$  and  $\mathcal{K}$ , respectively, that are connected by an adjointable bounded operator T obeying the formula  $T(x_j) = y_j$  for  $j \in \mathbb{J}$ . Then T is a co-isometry. If T is invertible then it is a unitary.

(iii) Let  $\{x_j : j \in \mathbb{J}\}\$  and  $\{y_j : j \in \mathbb{J}\}\$  be standard normalized tight frames for Hilbert A-modules  $\mathcal{H}$  and  $\mathcal{K}$ , respectively, with the property that  $\{x_j \oplus y_j : j \in \mathbb{J}\}\$  is a standard normalized tight frame for  $\mathcal{H} \oplus \mathcal{K}$ . Then for every standard normalized tight frame  $\{z_j : j \in \mathbb{J}\}\$  of the Hilbert A-module  $\mathcal{K}$  that is unitarily equivalent to  $\{y_j : j \in \mathbb{J}\}\$ , the sequence  $\{x_j \oplus z_j : j \in \mathbb{J}\}\$  again forms a standard normalized tight frame of  $\mathcal{H} \oplus \mathcal{K}$ .

(iv) Let  $\{x_j : j \in \mathbb{J}\}$  and  $\{y_j : j \in \mathbb{J}\}$  be standard normalized tight frames in Hilbert A-modules  $\mathcal{H}$  and  $\mathcal{K}$ , respectively, with the property that  $\{x_j \oplus y_j : j \in \mathbb{J}\}$  is

a standard normalized tight frame in  $\mathcal{H} \oplus \mathcal{K}$ . For every standard frame  $\{z_j : j \in \mathbb{J}\}$ of the Hilbert A-module  $\mathcal{K}$  that is similar to  $\{y_j : j \in \mathbb{J}\}$  the sequence  $\{x_j \oplus z_j : j \in \mathbb{J}\}$  again forms a standard frame of  $\mathcal{H} \oplus \mathcal{K}$ .

*Proof.* Let C and D be the frame bounds for the standard frame  $\{x_j : j \in \mathbb{J}\}$ . Then for  $x \in \mathcal{H}$  we obtain the inequality

$$C \cdot \langle x, x \rangle = C \cdot \langle T^*(x), T^*(x) \rangle \leqslant \sum_j \langle T^*(x), x_j \rangle \langle x_j, T^*(x) \rangle$$
$$= \sum_j \langle x, T(x_j) \rangle \langle T(x_j), x \rangle \leqslant D \cdot \langle T^*(x), T^*(x) \rangle = D \cdot \langle x, x \rangle$$

by E.C. Lance's theorem ([42]) and the frame property. The additional equality in the middle of this chain of two inequalities introduces a certain expression, the comparison of which to both the ends of the chain of inequalities establishes assertion (i).

Let  $\{x_j : j \in \mathbb{J}\}\$  and  $\{y_j : j \in \mathbb{J}\}\$  be standard normalized tight frames for Hilbert A-modules  $\mathcal{H}$  and  $\mathcal{K}$ , respectively. Suppose there exists an adjointable bounded operator T such that  $T(x_j) = y_j$  for every  $j \in \mathbb{J}$ . For  $y \in \mathcal{K}$  the equality

$$\langle T^*(y)T^*(y)\rangle = \sum_j \langle T^*(y), x_j \rangle \langle x_j, T^*(y) \rangle = \sum_j \langle y, T(x_j) \rangle \langle T(x_j), y \rangle = \langle y, y \rangle$$

is valid. Thus  $T^*$  is an isometry of the Hilbert A-module  $\mathcal{K}$  into the Hilbert A-module  $\mathcal{H}$ . If T is invertible then  $\mathcal{H}$  and  $\mathcal{K}$  are unitarily isomorphic by [42]. This shows (ii).

To give some argument for (iii) fix a unitary operator  $U \in \text{End}_A(\mathcal{K})$  with the property  $U(y_j) = z_j, j \in \mathbb{J}$ . Then  $V = \text{id} \oplus U \in \text{End}_A(\mathcal{H} \oplus \mathcal{K})$  is a unitary with the property  $V(x_j \oplus y_j) = x_j \oplus z_j$ . Hence, the sequence  $\{x_j \oplus z_j : j \in \mathbb{J}\}$  is a standard normalized tight frame for  $\mathcal{H} \oplus \mathcal{K}$ . Replacing U by a merely invertible adjointable bounded operator T and repeating the considerations we obtain assertion (iv).

# 6. THE CANONICAL DUAL FRAME AND ALTERNATE DUAL FRAMES

The purpose of this section is to establish the existence of canonical and alternate dual frames of standard frames and to prove fundamental properties of them. Theorem 6.1 states the general reconstruction formula for standard frames, the existence of both the frame operator and of the canonical dual frame. Propositions 6.2, 6.3, 6.5, 6.6, 6.7 show relations between canonical dual and alternative dual frames of a given standard frame. Example 6.4 below demonstrates one of the differences of generalized module frame theory for Hilbert  $C^*$ -modules in comparison to classical Hilbert space frame theory: the appearance of zero-divisors in most  $C^*$ -algebras may cause the non-uniqueness of the dual frame of a standard Riesz basis.

Let us consider the sequence  $\{(\theta^*\theta)^{-1}(x_j) : j \in \mathbb{J}\}$  for a standard frame  $\{x_j : j \in \mathbb{J}\}$  for a finitely or countably generated Hilbert  $C^*$ -module  $\mathcal{H}$ . Denote the map that assigns to every  $x \in \mathcal{H}$  the corresponding unique pre-image in  $\theta(\mathcal{H})$  under  $\theta^*$  by  $(\theta^*)^{-1}$ . This map is well-defined since  $\theta^*$  is injective with image  $\mathcal{H}$ .

Thus  $(\theta^*)^{-1}$  is an invertible bounded A-linear operator mapping  $\mathcal{H}$  onto  $\theta(\mathcal{H})$ . Referring to the proof of Theorem 4.1 and to Theorem 4.4 we have the following chain of equalities

$$\begin{aligned} \theta(x) &= \sum_{j} \langle \theta(x), e_j \rangle_{l_2} e_j = \sum_{j} \langle x, \theta^*(e_j) \rangle e_j = \sum_{j} \langle x, \theta^*(e_j) \rangle P(e_j) \\ &= \sum_{j} \langle x, x_j \rangle (\theta^*)^{-1}(x_j) = \sum_{j} \langle \theta(x), (\theta^*)^{-1}(x_j) \rangle_{l_2} (\theta^*)^{-1}(x_j) \\ &= \theta \bigg( \sum_{j} \langle x, x_j \rangle (\theta^* \theta)^{-1}(x_j) \bigg) \end{aligned}$$

which holds for every  $x \in \mathcal{H}$  and for the standard orthonormal Hilbert basis  $\{e_j : j \in \mathbb{J}\}$  of  $l_2(A)$ . The penultimate line of the established equality shows that the sequence  $\{(\theta^*)^{-1}(x_j) : j \in \mathbb{J}\}$  is a standard normalized tight frame of  $\theta(\mathcal{H})$ . Since  $\theta$  is injective the last line gives a remarkable property of the sequence  $\{(\theta^*\theta)^{-1}(x_j) : j \in \mathbb{J}\}$ :

$$x = \sum_{j} \langle x, x_j \rangle (\theta^* \theta)^{-1} (x_j)$$

for every  $x \in \mathcal{H}$ . Applying  $\theta^*$  to this equality and replacing x by  $(\theta^*\theta)^{-1}(x)$  we obtain another equality dual to the former one:

$$x = \sum_{j} \langle x, (\theta^* \theta)^{-1}(x_j) \rangle x_j$$

being valid for every  $x \in \mathcal{H}$ . We take these two equalities as a justification to introduce a new notion. The frame  $\{(\theta^*\theta)^{-1}(x_j) : j \in \mathbb{J}\}$  is said to be the *canonical dual frame of the frame*  $\{x_j : j \in \mathbb{J}\}$ , and the operator  $S = (\theta^*\theta)^{-1}$  is said to be the *frame operator of the frame*  $\{x_j : j \in \mathbb{J}\}$ . In case the standard frame  $\{x_j : j \in \mathbb{J}\}$  of  $\mathcal{H}$  is already normalized tight the operator S is just the identity operator, and the dual frame coincides with the frame itself.

More generally, we have an existence and uniqueness result (see theorem below) that provides us with a reconstruction formula for standard frames. The proof is only slightly more complicated than in the Hilbert space case (cf. [31], Proposition 1.10, Remark 1.12) since most difficulties were already overcome while establishing the properties of the frame transform.

THEOREM 6.1. (Reconstruction formula) Let  $\{x_j : j \in \mathbb{J}\}$  be a standard frame in a finitely or countably generated Hilbert A-module  $\mathcal{H}$  over a unital C<sup>\*</sup>algebra A. Then there exists a unique operator  $S \in \operatorname{End}_A^*(\mathcal{H})$  such that

$$x = \sum_{j} \langle x, S(x_j) \rangle x_j$$

for every  $x \in \mathcal{H}$ . The operator can be explicitly given by the formula  $S = G^*G$  for any adjointable invertible bounded operator G mapping  $\mathcal{H}$  onto some other Hilbert A-module  $\mathcal{K}$  and realizing  $\{G(x_j) : j \in \mathbb{J}\}$  to be a standard normalized tight frame in  $\mathcal{K}$ . In particular,  $S = \theta^{-1}(\theta^*)^{-1} = (\theta^*\theta)^{-1}$  for the frame transform  $\theta$  with codomain  $\theta(\mathcal{H})$ . So S is positive and invertible. Finally, the canonical dual frame is a standard frame for  $\mathcal{H}$  again.

*Proof.* Let  $G \in \operatorname{End}_A^*(\mathcal{H}, \mathcal{K})$  be any invertible operator onto some Hilbert *A*-module  $\mathcal{K}$  with the property that the sequence  $\{G(x_j) : j \in \mathbb{J}\}$  is a standard normalized tight frame of  $\mathcal{K}$ . The existence of such an operator is guaranteed by Theorem 4.1 setting  $\mathcal{K} = \theta(\mathcal{H})$  and  $G = (\theta^*)^{-1}$  (cf. the introductory considerations of the present section), or by Theorem 5.3. Set  $S = G^*G$  and check the frame properties of the sequence  $\{S(x_j) : j \in \mathbb{J}\}$ :

$$\sum_{j} \langle x, G^* G(x_j) \rangle x_j = \sum_{j} \langle G(x), G(x_j) \rangle x_j = \sum_{j} \langle G(x), G(x_n) \rangle G^{-1}(G(x_j))$$
$$= G^{-1} \left( \sum_{j} \langle G(x), G(x_j) \rangle G(x_j) \right) = G^{-1} G(x) = x.$$

The equality implies  $\langle S(x), x \rangle = \sum_{j} \langle x, S(x) \rangle \langle S(x), x \rangle$  for any  $x \in \mathcal{H}$ . Since G is invertible and S is positive there exist two constants 0 < C, D such that the inequality

$$C \cdot \langle x, x \rangle \leqslant \langle S(x), x \rangle = \sum_{j} \langle x, S(x) \rangle \langle S(x), x \rangle \leqslant D \cdot \langle x, x \rangle$$

is fulfilled for every  $x \in \mathcal{H}$ . Hence the sequence  $\{S(x_j) : j \in \mathbb{J}\}$  is a standard frame of  $\mathcal{H}$  and a dual frame of the frame  $\{x_j : j \in \mathbb{J}\}$ .

To show the uniqueness of S in  $\operatorname{End}_A^*(\mathcal{H})$  and the coincidence of the dual frame found with the canonical dual frame, suppose the existence of a second operator  $T \in \operatorname{End}_A^*(\mathcal{H})$  realizing the equality  $x = \sum_j \langle x, T(x_j) \rangle x_j$  for every  $x \in \mathcal{H}$ .

Then we obtain

$$\begin{aligned} x &= \sum_{j} \langle x, T(x_j) \rangle x_j = \sum_{j} \langle x, TG^{-1}G(x_j) \rangle G^{-1}G(x_j) \\ &= G^{-1} \bigg( \sum_{j} \langle (G^*)^{-1}T^*(x), G(x_j) \rangle G(x_j) \bigg) \\ &= G^{-1}((G^*)^{-1}T^*(x)) = (G^*G)^{-1}T^*(x) \end{aligned}$$

for every  $x \in \mathcal{H}$ . Consequently,  $T = G^*G$  as required.

If  $\{x_j : j \in \mathbb{J}\}$  is a standard frame of a Hilbert A-module  $\mathcal{H}$  which is not a Hilbert basis, then there may in general exist many standard frames  $\{y_j : j \in \mathbb{J}\}$  of  $\mathcal{H}$  for which the formula

(6.1) 
$$x = \sum_{j} \langle x, y_j \rangle x_j$$

is valid. For examples in one- and two-dimensional complex Hilbert spaces we refer the reader to [31], Section 1.3. We add another example from  $C^*$ -theory which is reminiscent of the Cuntz algebras  $\mathcal{O}_n$ : let A be a  $C^*$ -algebra with n elements  $\{x_1, \ldots, x_n\}$  such that  $\sum_i x_i^* x_i = 1_A$ . Then this set is a standard normalized tight frame of A by way of its setting (where A is considered as a left Hilbert Amodule). However, any other set  $\{y_1, \ldots, y_n\}$  of A satisfying  $\sum_i y_i^* x_i = 1_A$  fulfills the analogue of equality (6.1) as well. The choice  $y_i = x_i$  is only the one that corresponds to the canonical dual frame of the initial frame. Other frames can be obtained, for example, setting  $x_1 = x_2 = \sqrt{2}^{-1} \cdot 1_A$  and  $y_1 = \sqrt{2} \cdot 1_A$ ,  $y_2 = 0_A$ . We call the other frames satisfying the equality (6.1) the alternate dual frames of a given standard frame. Note that the frame property of these alternate sequences has to be supposed since there are examples of non-frame sequences  $\{y_j : j \in \mathbb{J}\}$ fulfilling the equality (6.1) in some situations ([31], Section 1.3). The following proposition characterizes the operation of taking the canonical dual frame as an involutive mapping on the set of standard frames, cf. [31], Corollary 1.11.

PROPOSITION 6.2. Let  $\{x_j : j \in \mathbb{J}\}\$  be a standard frame of a Hilbert Amodule  $\mathcal{H}$ . Then the canonical dual frame  $\{(\theta^*\theta)^{-1}(x_j) : j \in \mathbb{J}\}\$  fulfills the equality

$$x = \sum_{j} \langle x, (\theta^* \theta)^{-1}(x_j) \rangle x_j = \sum_{j} \langle x, x_j \rangle (\theta^* \theta)^{-1}(x_j) \quad for \ x \in \mathcal{H}.$$

In other words, the canonical bi-dual frame of a standard frame is the frame itself again. The frame transform  $\theta'$  of the canonical dual frame  $\{(\theta^*\theta)^{-1}(x_j) : j \in \mathbb{J}\}$  equals  $(\theta^*)^{-1}$ , i.e. the frame transform of the canonical dual frame maps  $\mathcal{H}$  onto  $\theta(\mathcal{H}) \subseteq l_2(A)$  acting like this operator.

*Proof.* By the definition of a canonical dual frame and by the results of Theorem 6.1 above we have the equality

$$x = \sum_{j} \langle x, (\theta^* \theta)^{-1}(x_j) \rangle x_j$$

for every  $x \in \mathcal{H}$ . Applying the invertible positive operator  $(\theta^*\theta)^{-1}$  to this equality we obtain the identity

$$(\theta^*\theta)^{-1}(x) = \sum_j \langle x, (\theta^*\theta)^{-1}(x_j) \rangle (\theta^*\theta)^{-1}(x_j) = \sum_j \langle (\theta^*\theta)^{-1}(x), x_j \rangle (\theta^*\theta)^{-1}(x_j)$$

for  $x \in \mathcal{H}$ . Since the operator  $(\theta^*\theta)^{-1}$  is invertible on  $\mathcal{H}$  we can replace  $(\theta^*\theta)^{-1}(x)$  by x, and the sought equality turns out. Owing to the uniqueness result of Theorem 6.1 for the calculation of canonical dual frames and the trivial equality  $\mathrm{id}_{\mathcal{H}} = \mathrm{id}_{\mathcal{H}}^*\mathrm{id}_{\mathcal{H}}$  the canonical bi-dual frame of a given standard frame equals the frame itself. To calculate the frame transform  $\theta'$  of the canonical dual frame, consider the special description of the identity map on  $\mathcal{H}$ 

$$x \xrightarrow{\theta'} \{ \langle x, (\theta^*\theta)^{-1}(x_j) \rangle \}_{j \in \mathbb{J}} \xrightarrow{\theta^*} \sum_j \langle x, (\theta^*\theta)^{-1}(x_j) \rangle x_j = x$$

 $(x \in \mathcal{H})$ , cf. Theorem 4.1. Note that  $\{\langle x, (\theta^*\theta)^{-1}(x_j)\rangle\}_{j\in\mathbb{J}}$  belongs to  $P(l_2(A))$  since the operator  $(\theta^*\theta)^{-1}$  is positive. The equality shows  $\theta' = (\theta^*)^{-1}$  as operators from  $\mathcal{H}$  onto  $\theta(\mathcal{H})$ .

The next proposition gives us the certainty that the relation between a frame and its dual is symmetric. The equality tells us something about the relation of the associated frame transforms. (cf. [31], Proposition 1.13, 1.17.) PROPOSITION 6.3. Let  $\{x_j : j \in \mathbb{J}\}$  and  $\{y_j : j \in \mathbb{J}\}$  be standard frames in a Hilbert A-module  $\mathcal{H}$  with the property that they fulfil the equality  $x = \sum_j \langle x, y_j \rangle x_j$  for every  $x \in \mathcal{H}$ . Then the equality  $x = \sum_j \langle x, x_j \rangle y_j$  holds for every  $x \in \mathcal{H}$ , too.

Let  $\theta_1$  and  $\theta_2$  be the associated frame transforms of two frames  $\{x_j : j \in \mathbb{J}\}$ and  $\{y_j : j \in \mathbb{J}\}$  of  $\mathcal{H}$ , respectively. Then these two frames are duals to each other if and only if  $\theta_2^* \theta_1 = \mathrm{id}_{\mathcal{H}}$ .

*Proof.* By Proposition 5.6 there exists a standard Riesz basis  $\{f_j : j \in \mathbb{J}\}$ of a Hilbert A-module  $\mathcal{K}$  and an orthogonal projection P such that  $y_j = P(f_j)$ for  $j \in \mathbb{J}$ . Since the sum  $\sum_j \langle x, x_j \rangle \langle x_j, x \rangle$  is norm-bounded we can define another adjointable operator  $T : \mathcal{H} \to \mathcal{K}$  by the formula  $T(x) = \sum_j \langle x, x_j \rangle f_j$  for  $x \in \mathcal{H}$ . Then  $PT \in \operatorname{End}_A^*(\mathcal{H})$  and  $PT(x) = \sum_j \langle x, x_j \rangle y_j$  for  $x \in \mathcal{H}$ . The following equality holds for any  $x \in \mathcal{H}$ :

$$\begin{aligned} \langle x, x \rangle &= \left\langle \sum_{j} \langle x, y_{j} \rangle x_{j}, x \right\rangle = \sum_{n} \langle x, y_{j} \rangle \langle x_{j}, x \rangle = \sum_{j} \langle x, x_{j} \rangle \langle y_{j}, x \rangle \\ &= \left\langle \sum_{j} \langle x, x_{j} \rangle y_{j}, x \right\rangle = \langle PT(x), x \rangle. \end{aligned}$$

In the middle step we used the self-adjointness of  $\langle x, x \rangle$ . As a result PT is shown to be positive, and its square root to be an isometry (cf. [43], Lemma 4.1). Since  $PT = (PT)^{1/2}((PT)^{1/2})^* = ((PT)^{1/2})^*(PT)^{1/2} = \mathrm{id}_{\mathcal{H}}$  the operator  $(PT)^{1/2}$  is at the same time a unitary, and  $PT = \mathrm{id}_{\mathcal{H}}$ . This demonstrates the first assertion.

Now, let  $x, y \in \mathcal{H}$ ,  $\{e_j : j \in \mathbb{J}\}$  be the standard orthonormal Hilbert basis of  $l_2(A)$  and  $\{x_j : j \in \mathbb{J}\}$  and  $\{y_j : j \in \mathbb{J}\}$  be two frames of  $\mathcal{H}$  with their associated frame transforms  $\theta_1, \theta_2$ . We have the equality:

$$\begin{split} \langle \theta_1^* \theta_2(x), y \rangle &= \langle \theta_2(x), \theta_1(y) \rangle_{l_2(A)} = \left\langle \sum_j \langle x, y_j \rangle e_j, \sum_i \langle y, x_i \rangle e_i \right\rangle_{l_2(A)} \\ &= \sum_j \langle x, y_j \rangle \langle x_j, x \rangle = \left\langle \sum_j \langle x, y_j \rangle x_j, y \right\rangle. \end{split}$$

Since  $y \in \mathcal{H}$  is arbitrarily chosen, the equality  $\theta_1^* \theta_2(x) = \sum_j \langle x, y_j \rangle x_j$  turns out to hold for every  $x \in \mathcal{H}$ . Therefore,  $x = \sum_j \langle x, y_j \rangle x_j$  for every  $x \in \mathcal{H}$  if and only if  $\theta_2^* \theta_1 = \mathrm{id}_{\mathcal{H}}$ . We finish with a reference to the definition of a dual frame (see equation (6.1)).

In contrast to the Hilbert space situation, Riesz bases of Hilbert  $C^*$ -modules may possess infinitely many alternative dual frames due to the existence of zerodivisors in the  $C^*$ -algebra of coefficients, cf. [31], Corollary 2.26. EXAMPLE 6.4. Let  $A = l_{\infty}$  be the  $C^*$ -algebra of all bounded complex-valued sequences and let  $\mathcal{H} = c_0$  be the Hilbert A-module and two-sided ideal in A of all sequences converging to zero. The A-valued inner product on  $\mathcal{H}$  is that inherited from A. Consider a maximal set of pairwise orthogonal minimal projections  $\{p_i : i \in \mathbb{Z}\}$  of  $\mathcal{H}$ . Since  $x = \sum_i xp_i = \sum_i \langle x, p_i \rangle_A p_i$  for any  $x \in \mathcal{H}$  and since the zero element admits a unique decomposition of this kind, this set is a standard Riesz basis of  $\mathcal{H}$ , even an orthogonal Hilbert basis and a standard normalized tight frame at the same time. However, the Riesz basis  $\{p_i : i \in \mathbb{Z}\}$  possesses infinitely many alternate dual frames, for example  $\{p_i + p_{i+m} : i \in \mathbb{Z}\}$  for a fixed non-zero integer m.

PROPOSITION 6.5. Let  $\{x_j : j \in \mathbb{J}\}\$  be a standard frame of a finitely or countably generated Hilbert A-module  $\mathcal{H}$  over a unital  $C^*$ -algebra A that possesses more than one dual frame. Then for the canonical dual frame  $\{S(x_j) : j \in \mathbb{J}\}\$  and for any other alternative dual frame  $\{y_j : j \in \mathbb{J}\}\$  of the frame  $\{x_j : j \in \mathbb{J}\}\$  the inequality

$$\sum_{j} \langle x, S(x_j) \rangle \langle S(x_j), x \rangle \leqslant \sum_{j} \langle x, y_j \rangle \langle y_j, x \rangle$$

is valid for every  $x \in \mathcal{H}$ . Besides this, the equality holds precisely if  $S(x_j) = y_j$  for every  $j \in \mathbb{J}$ .

More generally, whenever  $x = \sum_{j \in \mathbb{J}} a_j x_j$  for certain elements  $a_j \in A$  and

 $\sum_{j\in\mathbb{J}}a_ja_j^*$  is bounded in norm we have

$$\sum_{j} a_{j} a_{j}^{*} = \sum_{j} \langle x, S(x_{j}) \rangle \langle S(x_{j}), x \rangle + \sum_{j} (a_{j} - \langle x, S(x_{j}) \rangle) (a_{j} - \langle x, S(x_{j}) \rangle)^{*}$$

with equality in case  $a_j = \langle x, S(x_j) \rangle$  for every  $j \in \mathbb{J}$ . Moreover, the minimal value of the summands  $a_j^* a_j$  is admitted for  $a_j = \langle x, S(x_j) \rangle$  for each  $j \in \mathbb{J}$  separately. (Cf. Example 6.4.)

*Proof.* We begin with the proof of the first statement. The convergence of the sums in the inequality above follows from the properties of the frame transforms and of the frame operators. If the standard frames  $\{S(x_j) : j \in \mathbb{J}\}$  and  $\{y_j : j \in \mathbb{J}\}$  are both dual frames of  $\{x_j : j \in \mathbb{J}\}$  then the equalities

$$x = \sum_{j} \langle x, S(x_j) \rangle x_j = \sum_{j} \langle x, y_j \rangle x_j$$

are valid for every  $x \in \mathcal{H}$ . Subtracting one sum from the other, applying the operator S to the result and taking the A-valued inner product with x from the right, we obtain

$$0 = \sum_{j} \langle x, y_j - S(x_j) \rangle \langle S(x_j), x \rangle$$

for every  $x \in \mathcal{H}$ . Therefore,

$$\sum_{j} \langle x, y_j \rangle \langle y_j, x \rangle = \sum_{j} \langle x, y_j - S(x_j) + S(x_j) \rangle \langle y_j - S(x_j) + S(x_j), x \rangle$$
$$= \sum_{j} \langle x, S(x_j) \rangle \langle S(x_j), x \rangle + \sum_{j} \langle x, y_j - S(x_j) \rangle \langle y_j - S(x_j), x \rangle,$$

demonstrating the first part of the stressed for assertion since every summand is a positive element of A.

Now suppose  $x \in \mathcal{H}$  has two decompositions  $x = \sum_{j} \langle x, S(x_j) \rangle x_j = \sum a_j x_j$ 

with coefficients  $\{a_j\}_j \in l_2(A)$ , where the index set  $\mathbb{J}$  has to be identified with  $\mathbb{N}$  to circumvent extra discussions about the conditional and unconditional convergence of series. Then the equality

$$0 = \sum_{j} (\langle x, S(x_j) \rangle - a_j) \langle x_j, S(x) \rangle = \sum_{j} (\langle x, S(x_j) \rangle - a_j) \langle S(x_j), x \rangle$$

holds by the self-adjointness of S. Consequently,

$$\begin{split} \langle \{a_j\}_j, \{a_j\}_j\rangle_{l_2(A)} &= \langle \{\langle x, S(x_j)\rangle \}_j, \{\langle x, S(x_j)\rangle \}_j\rangle_{l_2(A)} + \\ &+ \langle \{\langle x, S(x_j)\rangle - a_j \}_j, \{\langle x, S(x_j)\rangle - a_j \}_j\rangle_{l_2(A)}, \end{split}$$

and by the positivity of the summands the minimal value of  $a_j a_j^*$  is admitted for  $a_j = \langle x, S(x_j) \rangle$  for each  $j \in \mathbb{J}$  separately.

The optimality principle allows the stability of the frame property to be standard under changes of the A-valued inner product on Hilbert  $C^*$ -modules to be investigated. The result is important since countably generated Hilbert  $C^*$ -modules may possess non-adjointable bounded module isomorphisms and, consequently, a much wider variety of  $C^*$ -valued inner products inducing equivalent norms to that given than Hilbert spaces use to admit, cf. [26].

COROLLARY 6.6. Let A be a unital  $C^*$ -algebra,  $\mathcal{H}$  be a finitely or countably generated Hilbert A-module with A-valued inner product  $\langle \cdot, \cdot \rangle_1$  and  $\{x_j : j \in \mathbb{J}\} \subset$  $\mathcal{H}$  be a standard frame. Then  $\{x_j : j \in \mathbb{J}\}$  is a standard frame with respect to another A-valued inner product  $\langle \cdot, \cdot \rangle_2$  on  $\mathcal{H}$  that induces an equivalent norm to that given, if and only if there exists an adjointable invertible bounded operator Ton  $\mathcal{H}$  such that  $\langle \cdot, \cdot \rangle_1 \equiv \langle T(\cdot), T(\cdot) \rangle_2$ . In this situation the frame operator  $S_2$  of  $\{x_j : j \in \mathbb{J}\}$  with respect to  $\langle \cdot, \cdot \rangle_2$  commutes with the inverse of the frame operator  $S_1$  of  $\{x_j : j \in \mathbb{J}\}$  with respect to  $\langle \cdot, \cdot \rangle_1$ .

*Proof.* Suppose the frame  $\{x_j\}_{j \in \mathbb{J}}$  is standard with respect to both the inner products on  $\mathcal{H}$ . For  $x \in \mathcal{H}$  we have two reconstruction formulae  $x = \sum_j \langle x, S_1(x_j) \rangle_1 x_j$  and  $x = \sum_j \langle x, S_2(x_j) \rangle_2 x_j$ . By the optimality principle we obtain the equality  $\langle S_1(x), x_j \rangle_1 = \langle x, S_1(x_j) \rangle_1 = \langle x, S_2(x_j) \rangle_2 = \langle S_2(x), x_j \rangle_2$  that is satisfied for any  $x \in \mathcal{H}$  and  $j \in \mathbb{J}$ , see Proposition 6.5. Let  $y \in \mathcal{H}$ . Multiplying by  $\langle S_1(x_j), y \rangle_1$  from the right and summing up over  $j \in \mathbb{J}$  we arrive at the equality  $\langle S_1(x), y \rangle_1 = \langle S_2(x), y \rangle_2$  that has to be valid for any  $x, y \in \mathcal{H}$ . Therefore,  $0 \leq \langle z, z \rangle_1 = \langle z, (S_2S_1^{-1})(z) \rangle_2$  for any  $z \in \mathcal{H}$  forcing  $(S_2S_1^{-1})$  to be self-adjoint and

 $0 \leq \langle z, z \rangle_1 = \langle z, \langle S_2S_1 \rangle \langle z \rangle \rangle_2$  for any  $z \in \mathcal{H}$  forcing  $\langle S_2S_1 \rangle$  to be self-adjoint and positive by [43], Lemma 4.1. In particular, the operators commute since  $S_2$  itself is positive with respect to the second inner product by construction. Therefore we can take the square root of this operator in the  $C^*$ -algebra of all adjointable bounded module operators on  $\mathcal{H}$  as the particular operator T that relates the A-valued inner products to one another by  $\langle \cdot, \cdot \rangle_1 \equiv \langle T(\cdot), T(\cdot) \rangle_2$ .

Conversely, if both the A-valued inner products on  $\mathcal{H}$  are related as  $\langle \cdot, \cdot \rangle_1 \equiv \langle T(\cdot), T(\cdot) \rangle_2$  for some adjointable invertible bounded operator T on  $\mathcal{H}$  then the frame operators fulfil the equality  $S_1 = T^*S_2T$ , and the frame  $\{x_j : j \in \mathbb{J}\}$  is standard with respect to both the inner products.

Different alternate duals of a standard frame cannot be similar or unitarily equivalent in any situation, so we reproduce a Hilbert space result ([31], Proposition 1.14).

PROPOSITION 6.7. Suppose for a given standard frame  $\{x_j : j \in \mathbb{J}\}$  of a Hilbert A-module  $\mathcal{H}$  over a unital  $C^*$ -algebra A there exist two standard alternate dual frames  $\{y_j : j \in \mathbb{J}\}$  and  $\{z_j : j \in \mathbb{J}\}$  which are connected by an invertible adjointable operator  $T \in \operatorname{End}_A(\mathcal{H})$  via  $z_j = T(y_j), j \in \mathbb{J}$ . Then  $T = \operatorname{id}_{\mathcal{H}}$ .

In other words, two different standard alternate dual frames of a given frame are not similar or unitarily equivalent.

*Proof.* Suppose  $z_j = T(y_j)$  for  $j \in \mathbb{J}$  and an adjointable invertible operator T. Let us count the values of the adjoint operator  $T^*$  of T. We have  $T^*(x) = \sum_j \langle T^*(x), y_j \rangle x_j = \sum_j \langle x, T(y_j) \rangle x_j = x$  for every  $x \in \mathcal{H}$  by the dual frame property.

Consequently, T equals the identity operator on  $\mathcal{H}$ .

We conjecture that the restriction to T to be adjointable may be dropped while preserving the assertion of the proposition. To check this, techniques described in the appendix of the present paper might be helpful.

Since for every orthogonal projection P on a Hilbert  $C^*$ -module  $\mathcal{H}$  and every standard frame  $\{x_j : j \in \mathbb{J}\}$  of  $\mathcal{H}$  the sequence  $\{P(x_j) : j \in \mathbb{J}\}$  is a standard frame for the Hilbert  $C^*$ -submodule  $P(\mathcal{H})$ , the natural question is whether the canonical dual frame of this frame  $\{P(x_j) : j \in \mathbb{J}\}$  would be equal to the projected canonical dual frame of  $\{x_j : j \in \mathbb{J}\}$ , or not. If the frame  $\{x_j : j \in \mathbb{J}\}$  is tight then we obtain a global affirmative answer. However, if  $\{x_j : j \in \mathbb{J}\}$  is not tight then the projection P has to commute with the frame operator S related to the frame  $\{x_n\}$  to guarantee the square of these mappings to commute. However, every orthogonal projection of the canonical dual frame is still a standard alternate dual frame because

$$x = P(x) = \sum_{j} \langle P(x), S(x_j) \rangle P(x_j) = \sum_{j} \langle x, PS(x_j) \rangle P(x_j)$$

for every  $x \in P(\mathcal{H})$ . Unfortunately, the set of orthogonal projections on a Hilbert  $C^*$ -module may be very small, in extreme cases reducing to the zero and the identity operator. Nevertheless, for existing projection operators the analogous to [31], Proposition 1.15, Corollary 1.16 facts hold:

PROPOSITION 6.8. Let  $\{x_j : j \in \mathbb{J}\}$  be a standard frame of a Hilbert C<sup>\*</sup>module  $\mathcal{H}$  and  $S_x \ge 0$  be its frame operator. If P is an orthogonal projection on  $\mathcal{H}$  then the frame operator of the projected frame  $\{P(x_j) : j \in \mathbb{J}\}$  is  $S_{P(x)} = PS_x$ if and only if  $PS_x = S_x P$ .

The standard frame  $\{x_j : j \in \mathbb{J}\}$  is tight if and only if  $S_x$  equals the identity operator multiplied by the inverse of the frame bound. In this situation the equality  $S_{P(x)} = PS_x$  is fulfilled for every orthogonal projection on  $\mathcal{H}$ . Conversely, the latter condition alone does not imply the frame  $\{x_j : j \in \mathbb{J}\}$  to be tight in general.

*Proof.* Considering the first pair of equivalent conditions, the product of the two positive elements  $S_x$  and P of the  $C^*$ -algebra  $\operatorname{End}_A^*(\mathcal{H})$  can only be positive if they commute. Consequently,  $S_{P(x)} = PS_x$  forces them to commute since  $S_{P(x)} \ge 0$  by construction, cf. Theorem 6.1.

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Conversely, if  $PS_x = S_x P$  then by the equality  $x = \sum_n \langle x, S_x(x_n) \rangle x_n$  for  $x \in \mathcal{H}$  we obtain

$$P(x) = \sum_{n} \langle P(x), S_x(x_n) \rangle P(x_n) = \sum_{n} \langle P(x), PS_x(x_n) \rangle P(x_n)$$
$$= \sum_{n} \langle P(x), S_x P(x_n) \rangle P(x_n) = \sum_{n} \langle P(x), (S_x P)(P(x_n)) \rangle P(x_n).$$

Owing to the positivity of  $PS_x = S_x P$ , the free choice of  $x \in \mathcal{H}$ , Theorem 6.1 and Proposition 6.7, the equality  $S_{P(x)} = PS_x$  turns out to hold.

The second statement is nearly obvious. Since there are  $C^*$ -algebras with very small sets of projections, like A = C([0, 1]), the property of the frame operator  $S_x$  of a one-element frame  $\{x = a\} \in A$  to commute with any projection  $P \in \text{End}_A^*(A)$  does certainly not imply the frame to be tight. In our example any invertible element  $a \in A$  has this property despite its possibly unequal to one norm or frame bounds.

We add a few more remarks on the properties of the frame transform  $\theta$  and of the operator  $(\theta^*)^{-1} : \mathcal{H} \to \theta(\mathcal{H})$ . For this aim consider the operator  $R = \theta S$ . This operator R has the property that  $R^*\theta = \mathrm{id}_{\mathcal{H}} = \theta^* R$  by the definition of S and  $\theta$ , cf. Theorem 6.1. Moreover, the equality  $\theta(R^*\theta) = (\theta R^*)\theta = \theta$  and the injectivity of  $\theta$  imply  $\theta R^* = P$  on  $l_2(A)$ . Also,  $\theta R^* = R\theta^*$  as can be easily verified. Therefore,  $R^*$  restricted to  $\theta(\mathcal{H})$  is an inverse to the operator  $\theta$ , and R is an inverse of the operator  $\theta^*$  if  $\theta^*$  has been restricted to  $\theta(\mathcal{H})$ . Hence, alternative descriptions of the situation can be given in terms of a quasi-inverse operators for the extension of the frame transform  $\theta$  to an operator on  $\mathcal{H} \oplus l_2(A)$ .

#### 7. A CLASSIFICATION RESULT

We would like to obtain a better understanding of the unitary and similarity equivalence classes of frames in a Hilbert  $C^*$ -module with orthogonal basis. Comparing the result with the results of Section 5 we receive general insights into necessary conditions for frame equivalence in Hilbert  $C^*$ -modules, even in the absence of an orthogonal Riesz basis for them. For the Hilbert space situation we refer to [31], Proposition 2.6.

PROPOSITION 7.1. Let A be a  $C^*$ -algebra and  $\mathcal{H}$  be a countably generated Hilbert A-module with orthogonal Hilbert basis  $\{f_j : j \in \mathbb{J}\}$ . For two orthogonal projections  $P, Q \in \operatorname{End}^*_A(\mathcal{H})$  set  $\mathcal{M} = P(\mathcal{H})$  and  $\mathcal{N} = Q(\mathcal{H})$ . Let the sequences  $\{x_j = P(f_j) : j \in \mathbb{J}\}$  and  $\{y_j = Q(f_j) : j \in \mathbb{J}\}$  be the derived standard normalized tight frames for  $\mathcal{M}$  and  $\mathcal{N}$ , respectively. Then the frames  $\{x_j : j \in \mathbb{J}\}$  and  $\{y_j : j \in \mathbb{J}\}$  are similar if and only if they are unitarily equivalent, if and only if P = Qand the frames coincide elementwise.

*Proof.* Suppose there exists an adjointable invertible bounded A-linear operator  $T : \mathcal{M} \to \mathcal{N}$  with  $T(x_j) = y_j$  for every  $j \in \mathbb{J}$ . Continuing the operator T and its adjoint on the orthogonal complements of  $\mathcal{M}$  and  $\mathcal{N}$ , respectively, as the zero operator we obtain an adjointable bounded A-linear operator T on  $\mathcal{H}$  that possesses a polar decomposition in  $\operatorname{End}_A^*(\mathcal{H}), T = V \cdot |T|$  (cf. [58], Theorem 15.3.7).

The partial isometry V has the property  $VV^* = Q$ ,  $V^*V = P$  by construction. Furthermore,  $y_j = T(x_j) = V \cdot |T|(x_j)$ . Since  $\{y_j : j \in \mathbb{J}\}$  is normalized tight, since V is an isometry of  $\mathcal{M}$  with  $\mathcal{N}$  and because T is invertible, the standard frame  $\{|T|(x_i): j \in \mathbb{J}\}$  has to be a standard normalized tight frame for  $\mathcal{M}$ . Also,  $|T| = \mathrm{id}_{\mathcal{M}}$ . Thus similarity implies unitary equivalence.

Let us continue with the partial isometry V obtained above. The operator V canonically arises if we suppose the frames  $\{x_j : j \in \mathbb{J}\}\$  and  $\{y_j : j \in \mathbb{J}\}\$  to be unitarily equivalent. Since V = VP we obtain  $V(f_j) = VP(f_j) = Q(f_j)$  for every  $j \in \mathbb{J}$ . Since  $\{f_j : j \in \mathbb{J}\}$  is an (orthogonal) Hilbert basis of  $\mathcal{H}$  we find V = Q and hence, P = Q and  $x_j = y_j$  for every  $j \in \mathbb{J}$ .

The next theorem and the corollary derived from it give us a criterion of similarity and unitary equivalence of frames in Hilbert  $C^*$ -modules. They generalize [31], Corollary 2.8, 2.7 and [34], Theorem B, and tie these observations together.

THEOREM 7.2. Let A be a unital  $C^*$ -algebra and  $\{x_j : j \in \mathbb{J}\}$  and  $\{y_j : j \in \mathbb{J}\}$ be standard normalized tight frames of Hilbert A-modules  $\mathcal{H}_1$  and  $\mathcal{H}_2$ , respectively. Then the frames  $\{x_j : j \in \mathbb{J}\}\$  and  $\{y_j : j \in \mathbb{J}\}\$  are unitarily equivalent if and only if their frame transforms  $\theta_1$  and  $\theta_2$  have the same range in  $l_2(A)$ , if and only if the sums  $\sum_{j} a_{j}x_{j}$  and  $\sum_{j} a_{j}y_{j}$  equal zero for exactly the same Banach A-submodule of sequences  $\{a_j : j \in \mathbb{J}\}\$  of  $l_2(A)'$ .

of sequences  $\{u_j : j \in J\}$  of  $i_2(i_1)$ . Similarly, two standard frames  $\{x_j : j \in J\}$  and  $\{y_j : j \in J\}$  of Hilbert A-modules  $\mathcal{H}_1$  and  $\mathcal{H}_2$ , respectively, are similar if and only if their frame transforms  $\theta_1$  and  $\theta_2$  have the same range in  $l_2(A)$ , if and only if the sums  $\sum_j a_j x_j$  and  $\sum_j a_j y_j$ equal zero for exactly the same Banach A-submodule of sequences  $\{a_j : j \in \mathbb{J}\}$  of

 $l_2(A)'$ .

*Proof.* If we assume that the frame transforms  $\theta_1, \theta_2$  corresponding to the two initial standard normalized tight frames have the same range in  $l_2(A)$ , then the orthonormal projection P of  $l_2(A)$  onto this range  $\theta_1(\mathcal{H}_1) \equiv \theta_2(\mathcal{H}_2)$  maps the elements of the standard orthonormal basis  $\{e_j : j \in \mathbb{J}\}$  of  $l_2(A)$  to both  $\theta_1(x_i) = \theta_2(y_i), j \in \mathbb{J}$ , by the construction of a frame transform, cf. Proposition 5.1 and Theorem 4.1. Then

$$\langle x_j, x_j \rangle_1 = \langle \theta_1(x_j), \theta_1(x_j) \rangle_{l_2} = \langle \theta_2(y_j), \theta_2(y_j) \rangle_{l_2} = \langle y_j, y_j \rangle_2$$

for every  $j \in \mathbb{J}$ , and the mapping  $U : \mathcal{H}_1 \to \mathcal{H}_2$  induced by the formula  $U(x_j) = y_j$ for  $j \in \mathbb{J}$  is a unitary isomorphism since the sets  $\{x_j\}$  and  $\{y_j\}$  are sets of generators of  $\mathcal{H}_1$  and  $\mathcal{H}_2$ , respectively. Moreover, the set of bounded A-linear functionals on  $l_2(A)$  annihilating the ranges of the frame transforms  $\theta_1, \theta_2$  are exactly the same and can be identified with a Banach A-submodule of  $l_2(A)'$ .

The converse statement for standard normalized tight frames follows directly from Proposition 7.1.

If we suppose merely  $\{x_j : j \in \mathbb{J}\}$  and  $\{y_j : j \in \mathbb{J}\}$  to be standard frames in  $\mathcal{H}_1$  and  $\mathcal{H}_2$ , respectively, then the assumption  $\theta_1(\mathcal{H}_1) \equiv \theta_2(\mathcal{H}_2)$  yields  $P(e_i) = \theta_1(x_i) = \theta_2(y_i)$  again, cf. Theorems 4.1 and 4.4. Consequently, there is an adjointable invertible bounded operator  $T \in \operatorname{End}_A(\mathcal{H}_1, \mathcal{H}_2)$  with  $T(x_i) = y_i$ for  $j \in \mathbb{J}$  by the injectivity of frame transforms.

COROLLARY 7.3. Let A be a unital C\*-algebra. Let  $\mathbb{J}$  be a countable (or finite, respectively) index set. The set of unitary equivalence classes of all standard normalized tight frames indexed by  $\mathbb{J}$  is in one-to-one correspondence with the set of all orthogonal projections on the Hilbert A-module  $l_2(A)$  (or  $A^{|\mathbb{J}|}$ , respectively). Analogously, the set of similarity equivalence classes of all frames indexed by  $\mathbb{J}$ is in one-to-one correspondence with the set of all orthogonal projections on the Hilbert A-module  $l_2(A)$  (or  $A^{|\mathbb{J}|}$ , respectively). The one-to-one correspondence can be arranged by fixing an orthonormal Hilbert basis of  $A^{|\mathbb{J}|}$  or  $l_2(A)$ , respectively.

The established interrelation allows the partial order structure of projections as well as homotopy and other topological properties of the set of projections to be transferred to properties of equivalence classes of standard frames. The resulting structures may strongly depend on the choice of some orthonormal Hilbert basis realizing the correspondence. However, the partial order does not depend on the choice of the orthonormal Hilbert basis since orthonormal Hilbert bases of  $l_2(A)$ (or of  $A^{|\mathbb{J}|}$ ) are unitarily equivalent. Despite the special situation for Hilbert spaces  $\mathcal{H}$ , the  $C^*$ -algebra  $\operatorname{End}_A^*(l_2(A))$  has a partial ordered subset of projections which lacks the lattice property for many  $C^*$ -algebras A.

#### 8. FINAL REMARKS

We would like to add some remarks on non-standard frames in  $C^*$ -algebras and Hilbert  $C^*$ -modules. As we mentioned in the introduction, a good theory can be developed for non-standard frames in self-dual Hilbert  $C^*$ -modules over von Neumann algebras or monotone complete  $C^*$ -algebras since a well-defined concept of a generalized Hilbert basis exists for this class of Hilbert  $C^*$ -modules, cf. [48], [3], [23], [16], [21]. However, because of numerous Hilbert  $C^*$ -module isomorphisms in this class, non-trivial examples may be only obtained, first, in the case of finite  $W^*$ algebras of coefficients, or secondly, for cardinalities of the index set of the frame greater than the cardinality of every decomposition of the identity into a sum of pairwise orthogonal and equivalent to one projections in the complementary case of infinite  $W^*$ -algebras of coefficients. The target space for the frame transform is always  $l_2(A, I)'$  for an index set I of the same cardinality as the index set J of the frame under consideration. The first steps towards a frame theory for self-daual Hilbert  $W^*$ -modules can be found in a paper by Y. Denizeau and J.-F. Havet ([16]) where a weak reconstruction formula appears.

In the case of non-standard frames for Hilbert  $C^*$ -modules over general  $C^*$ algebras A, we have the difficulty of defining a proper target space for the frame transform where the image of the frame transform becomes a direct summand. The choice of the  $C^*$ -dual Banach A-module  $l_2(A, I)'$  for a suitable index set I of the same cardinality as the index set of the frame may not always be the right choice since the  $C^*$ -dual Banach A-module of the initial Hilbert  $C^*$ -module carrying the frame set may not fit into  $l_2(A, I)'$ . The latter phenomenon is mainly caused by the sometimes complicated multiplier theory of ideals of A. A better candidate for the target space seems to be  $l_2(A^{**}, I)'$  where  $A^{**}$  denotes the bidual von Neumann algebra of A. To embed the original Hilbert A-module  $\mathcal{H}$  into  $l_2(A^{**}, I)'$  by a frame transform, we have to enlarge  $\mathcal{H}$  to an Hilbert  $A^{**}$ -module by the techniques described in the appendix and afterwards to "self-dualize" it as described in [48]. The frame will preserve its properties, i.e. the frame will still be a frame for the larger Hilbert  $A^{**}$ -module with the same frame bounds. For tight frames we obtain a proper reconstruction formula with weak convergence of the occurring sum that can be restricted to the original module  $\mathcal{H}$  in such a way that any trace of the extensions made vanishes. In particular, non-standard tight frames are always generator sets in a weak sense. However, the frame transform is only a modified one and does not map  $\mathcal{H}$  to a direct summand of  $l_2(A^{**}, I)'$ . (An alternative view on these aspects can be given using linking  $C^*$ -algebra techniques.)

To make use of the complete boundedness of bounded  $C^*$ -module maps between Hilbert  $C^*$ -modules and of injectivity properties of objects, one could also consider taking the atomic part of  $A^{**}$  or the injective envelope I(A) of A instead of  $A^{**}$  and repeating the construction presented in the appendix accordingly. This would lead to operator space and operator module methods. All in all we can say that a general theory of non-standard frames in Hilbert  $C^*$ -modules and  $C^*$ algebras does not exist at present. Steps towards such a theory have to involve results from Banach space and operator space theory, as well as from operator and operator algebra theory.

PROBLEM 8.1. Does every Hilbert  $C^*$ -module over a unital  $C^*$ -algebra admit a normalized tight frame?

# 9. APPENDIX

In proofs we need a canonical construction for a canonical switch from a given Hilbert A-module  $\mathcal{M}$  to a bigger Hilbert  $A^{**}$ -module  $\mathcal{M}^{\#}$  while preserving many useful properties and guaranteeing the existence and uniqueness of extended operators and A-( $A^{**}$ -)valued inner products. The much better properties of Hilbert  $W^*$ -modules in comparison to general Hilbert  $C^*$ -modules (cf. [48]) and facts from non-commutative topology form the background for such a manner of changing objects.

REMARK 9.1. (cf. H. Lin [45], Definition 1.3; [48], Section 4) Let  $\{\mathcal{M}, \langle \cdot, \cdot \rangle\}$ be a left pre-Hilbert A-module over a fixed  $C^*$ -algebra A. The algebraic tensor product  $A^{**} \odot \mathcal{M}$  becomes a left  $A^{**}$ -module defining the action of  $A^{**}$  on its elementary tensors by the formula  $a(b \otimes h) = ab \otimes h$  for  $a, b \in A^{**}, h \in \mathcal{M}$ . Setting

$$\left[\sum_{i} a_i \otimes h_i, \sum_{j} b_j \otimes g_j\right] = \sum_{i,j} a_i \langle h_i, g_j \rangle b_j^*$$

on finite sums of elementary tensors we obtain a degenerate  $A^{**}$ -valued inner preproduct. Factorizing  $A^{**} \odot \mathcal{M}$  by  $N = \{z \in A^{**} \odot \mathcal{M} : [z, z] = 0\}$  we obtain a pre-Hilbert  $A^{**}$ -module subsequently denoted by  $\mathcal{M}^{\#}$ . The pre-Hilbert  $A^{**}$ module  $\mathcal{M}^{\#}$  contains  $\mathcal{M}$  as a A-submodule. If  $\mathcal{M}$  is Hilbert, then  $\mathcal{M}^{\#}$  is Hilbert, and vice versa. The transfer of self-duality is more difficult. If  $\mathcal{M}$  is self-dual, then  $\mathcal{M}^{\#}$  is also self-dual by [26], Theorem 6.4, and [48], [20]. PROBLEM 9.2. Suppose the underlying  $C^*$ -algebra A is unital. Does the property of  $\mathcal{M}^{\#}$  of being self-dual imply that  $\mathcal{M}$  was already self-dual?

A bounded A-linear operator T on  $\mathcal{M}$  has a unique extension to a bounded  $A^{**}$ -linear operator on  $\mathcal{M}^{\#}$  preserving the operator norm, cf. [45], Definition 1.3.

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